Reconstruction of a semi-arid late Pleistocene paleocatena from the Lake Victoria region, Kenya

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The effect of changing environment on the evolution of Homo sapiens is heavily debated, but few data are available from equatorial Africa prior to the last glacial maximum. The Karungu deposits on the northeast coast of Lake Victoria are ideal for paleoenvironmental reconstructions and are best studied at the Kisaaka site near Karungu in Kenya (94 to >33 ka) where paleosols, fluvial deposits, tuffs, and volcaniclastic deposits (tuffs) are exposed over a ~2 km transect. Three well-exposed and laterally continuous paleosols with intercalated tuffs allow for reconstruction of a succession of paleocatenas. The oldest paleosol is a smectitic paleo-Vertisol with saline and sodic properties. Higher in the section, the paleosols are tuffaceous paleo-Inceptisols with Al-sodic properties. Mean annual precipitation (MAP) proxies indicate little change through time, with an average of 764 ± 108 mm yr −1 for Vertisols (CALMAG) and 813 ± 182 to 963 ± 182 mm yr −1 for all paleosols (CIA-K). Field observations and MAP proxies suggest that Karungu was significantly drier than today, consistent with the associated faunal assemblage, and likely resulted in a significantly smaller Lake Victoria during the late Pleistocene. Rainfall reduction and associated grassland expansion may have facilitated human and faunal dispersals across equatorial East Africa.

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Introduction

Climate-driven environmental change is a commonly proposed mechanism for the dispersals of humans within and out of Africa through its effects on population distributions and demographics, biogeographic barriers, and resource availability (e.g., Ambrose and Lorenz, 1990; Scholz et al., 2007; Cowling et al., 2008; Blome et al., 2012; Eriksson et al., 2012; Soares et al., 2012; Rito et al., 2013; Faith et al., in press). The earliest fossil remains of Homo sapiens are known from East Africa at ~195 ka, and by as early as 80 to 60 ka populations had dispersed throughout Africa and also into Eurasia (e.g., McDougall et al., 2005; Brown et al., 2012; Soares et al., 2012; Rito et al., 2013). Few empirical data on climate or environment at relevant spatial or temporal scales are associated with archeological or early human fossil sites from equatorial East Africa prior to the last glacial maximum (LGM) (e.g., Blome et al., 2012), which limits understanding the ecology of early human populations and the mechanisms underlying their dispersals. Sediment cores from Lake Victoria provide continuous records of regional hydrology and vegetation back to the LGM (Kendall, 1969; Johnson et al., 1996; Talbot and Laerdal, 2000; Stager et al., 2002, 2011; Berke et al., 2012), but paleoenvironmental data prior to the LGM are sparse.

Deposits identified along the northeastern shores of Lake Victoria near Karungu, Kenya, dated to between 94 ka and >33 ka (Tryon et al., 2010; Beverly et al., 2015; Blegen et al., 2015; Faith et al., 2015), have the potential to provide fundamental paleoenvironmental and paleoclimatic information about equatorial East Africa during this critical interval of human evolution and dispersal (Fig. 1A and B). The sediments at Karungu preserve abundant vertebrate fossils and Middle Stone Age (MSA) artifacts (Owen, 1937; Pickford, 1984; Faith et al., 2015), which are considered the archeological signature of early H. sapiens in East Africa (McBrearty and Brooks, 2000; Tryon and Faith, 2013). The pre-LGM Karungu dataset complements, refines, and expands those from correlative deposits on Rusinga and Mfangano Islands – 40 km to the north (Tryon et al., 2010; Faith et al., 2011; Van Plantinga, 2011; Faith et al., 2012; Tryon et al., 2012; Faith et al., 2014; Tryon et al., 2014; Faith et al., 2015; Garrett et al., 2015). Previous evidence from MSA archeological and paleontological sites from Rusinga and Mfangano Islands suggests that the contraction of Lake Victoria and expansion of grasslands during the late Pleistocene may have facilitated the dispersal of large-bodied mammals, including humans, across Africa (e.g., Faith...
et al., 2015, in press). However, the modeled reduction in the size of Lake Victoria requires a reduction in late-Pleistocene precipitation (Broecker et al., 1998; Milly, 1999), for which we had no direct evidence. Here, we provide the first quantitative estimates of paleoprecipitation through a multi-proxy analysis of paleosols from Kisaaka (Fig. 1C), one of seven Pleistocene artifact- and fossil-bearing

Figure 1. Location maps. A) Inset shows the location of Lake Victoria in East Africa. B) Location of Pleistocene sites along the eastern margin of Lake Victoria. C) Mapped lithologies exposed at the Kisaaka site with key geologic and microfaunal locations identified. Modified from Beverly et al. (2015).

Figure 2. Measured stratigraphic sections from Kisaaka correlated using the base of the laterally extensive Nyamita Tuff as the datum and tephrostratigraphy by Blegen et al. (2015). Localities are arranged from north to south over 1.5 km transect. See Fig. 1 for location of sites. Pedogenic features, soil and tuff colors, soil horizons, and lithology are described in detail with three paleosols identified.
sites at Karungu, originally noted by Owen (1937, 1938, 1939), later mapped by Pickford (1984) and the focus of fieldwork by our team over the last several years (Faith et al., 2015). The deposits at Kisaaka are predominantly made up of paleosols, which provide invaluable records of paleoenvironmental information through the use of paleosol paleoclimate proxies (reviewed in Sheldon and Tabor, 2009; Tabor and Myers, 2015). In addition, correlative tuffs blanketing the landscape allow for lithostratigraphic correlation between outcrops and in some instances the preservation of the original topography (Figs. 1C, 2, and 3A; Faith et al., 2015; Blegen et al., 2015). Milne (1936) originally defined the relationship of soil development to changes in topography as a catena, and soil properties can change dramatically across a landscape with topography due to changes in hydrology (Birkeland, 1999). The correlative volcanic ashes burying these surfaces form a succession of paleocatenas at Kisaaka. The objectives of the present study are to: 1) use field and micromorphological descriptions of paleosols and paleoenvironmental proxies or pedotransfer functions derived from their bulk geochemical composition to reconstruct a series of paleocatenas at Kisaaka; 2) provide context for faunal and archeological records at Kisaaka; and 3) integrate paleoprecipitation estimates into the regional paleoclimate and paleoenvironment of the late Pleistocene in equatorial East Africa to quantify some of the factors that may have contributed to human evolution and dispersal in the late Pleistocene.

**Background**

**Lake Victoria basin**

Lake Victoria is the largest freshwater lake in the tropics by surface area (~66,400 km²), spanning the equator in a depression between the eastern and western branch of the East African Rift System (EARS). The lake is very shallow with a maximum depth of ~68 m (Stager and Johnson, 2008) in comparison to the other African Great Lakes.
Malawi and Tanganyika, which are 700 and 1470 m deep, respectively (Bootsma and Hecky, 2003). Lake Victoria likely began to form between ~1.6 and ~0.4 Ma when uplift associated with the western arm of the EARS began to dam westward-flowing rivers, causing ponding between the western and eastern arms of the EARS (Kent, 1944; Doornkamp and Temple, 1966; Bishop and Trendall, 1967; Ebinger, 1989; Johnson et al., 1996; Talbot and Williams, 2009).

The Intertropical Convergence Zone (ITCZ) is the primary control on precipitation in the Lake Victoria region, which today crosses the region twice a year bringing long rains in March and shorter rains in October (Song et al., 2004). Mean annual precipitation (MAP) at Mbita, Kenya, which is proximal to the study area (Fig. 1B), is ~1400 mm yr$^{-1}$ (Crul, 1995; Fillinger et al., 2004). Up to 80% of the water input is from direct precipitation on the lake surface, and most of the water loss (up to 90%) is from evaporation (Crul, 1995). Thus, small changes in precipitation likely have a significant influence on water input and lake volume (Brockeler et al., 1998; Milly, 1999).

Geological evidence suggests that Lake Victoria increased in size compared to present surface area and desiccated multiple times, with the most recent desiccation occurring at 15 ka (Heinrich Event 1) (Johnson et al., 1996; Talbot and Laerdal, 2000; Stager et al., 2002, 2011). The desiccation at 16 ka led to the formation of a paleo-Vertisol across much of the basin that has been identified in multiple cores across Lake Victoria, but none of the cores penetrated more than a few cm beneath the paleo-Vertisol (Johnson et al., 1996; Stager et al., 2002, 2011). This 15 ka paleo-Vertisol surface can be identified in seismic profiles across the entire lake basin, and similar underlying surfaces identified in the seismic data suggest that the lake desiccated multiple times prior to Heinrich Event 1 (Johnson et al., 1996; Stager et al., 2002, 2011).

Karungu

Karungu (0.84°S, 34.15°E) is located on the Kenyan margin of Lake Victoria (Fig. 1), ~40 km south of Pleistocene localities on Rusinga and Mfangano Islands that have been the focus of research by our team since 2009 (Tryon et al., 2010; Faith et al., 2011; Van Plantinga, 2011; Faith et al., 2012; Tryon et al., 2012; Faith et al., 2014; Tryon et al., 2014; Beverly et al., 2015; Garrett et al., 2015). The Pleistocene deposits at Karungu are exposed at seven sites around the town of Sori. They were originally noted by Owen (1937) and mapped by Pickford (1984). The best-exposed sites (Kisaaka, Aringo, Onge, Obware, and Aoch Nyasaya) were further investigated and mapped in greater detail (Beverly et al., 2015, Faith et al., 2015, and Blegen et al., 2015). The Kisaaka locality has the most laterally extensive (~2 km) and karstic sequence measured and described at the cm scale. All lithologic and pedologic features were recorded and photographed. Wavelength and amplitude of gilgai topography, typical of soils affected by shrinking and swelling of clays, were measured in the field and averaged by location for comparison across the landscape. Paleocurrent measurements on imbricated cobbles were collected from seven locations. Samples were collected for bulk geochemistry and clay mineralogy at 10 cm vertical intervals through the paleosols, and where applicable, samples were collected from gilgai topography micro-lows where erosion is less likely and pedogenic processes are greatest (Driese et al., 2000, 2003). Oriented samples for micromorphological analysis were collected from each identified soil horizon.

Samples were pulverized for mineralogical and geochemical analysis. Paleosol mineralogical analysis was conducted at Baylor University on a Siemens D-5000 0–20 X-ray diffractometer (XRD) using Cu Kα radiation at 40 Kα and 30 mA. Samples were scanned from 2 to 60° 2θ at a 0.05° step per 1.5 s for bulk powder and ~2 μm fraction of four oriented aggregate treatments (MgCl, MgCl plus glycerol, KCl at 25°C, KCl heated to 550°C for 24 h) using the Millipore system described in Moore and Reynolds (1997).

Bulk geochemical samples were sent for commercial analysis to ALS Geochemistry (Renon, NV) for major, rare, and trace element analyses using a combination of inductively coupled plasma atomic emission spectroscopy (ICP–AES) and inductively coupled plasma mass spectrometry (ICP–MS). The complete geochemical analyses of all samples are available in Supplementary Table 2. All bulk geochemical data were normalized to molecular weight for application to molecular weathering ratios and pedotransfer functions developed to reconstruct soil properties of paleo-Vertisols after Retallack (2001) and Nordt and Driese (2010a), respectively. Molecular weathering ratios were considered to examine relative changes in weathering such as hydrolysis or salinization down profile (Retallack, 2001). Pedotransfer functions were developed to relate the bulk geochemistry of paleosols to physical and chemical properties determined by the USDA-NRCS using regression based transfer functions (Nordt and Driese, 2010a).

Bulk geochemical data were also used to calculate paleoprecipitation using the chemical index of alteration minus potassium (CIA–K) for all soil types (Sheldon et al., 2002), and the CALMAG proxy, specific to paleo-Vertisols (Nordt and Driese, 2010b). CIA–K is defined as $\frac{Al_2O_3}{K_2O}$.
(Al₂O₃ + CaO + Na₂O) × 100 and is a weathering index that measures clay formation and base loss associated with fieldspar weathering and was designed to be universal for all paleosol types in which there has been sufficient time of soil formation to equilibrate with climate conditions (Sheldon et al., 2002). The application of the CIA-K proxy to Vertisols can be problematic because CIA-K measures the hydrolysis of weatherable minerals, and hydrolysis in Vertisols is very limited due to the stability of the smectite and illite clay minerals. The smectitic clay is often pre-weathered in Vertisols due to inheritance of clays from the parent material. Therefore, the CALMAG weathering index was developed specifically for paleo-Vertisols, which is defined as Al₂O₃/(Al₂O₃ + CaO + MgO) × 100 where all oxides are normalized to their molar ratios. CaO and MgO accounts for 90% of the variation with climate in Vertisols and therefore MgO is substituted for Na₂O. This substitution also reduces the influence of primary sodium-bearing minerals. The CIA-K and CALMAG weathering indices in modern soils have a strong correlation to MAP and these indices can be used to estimate paleo-rainfall using stepwise linear regression.

Nineteen thin-sections were prepared commercially by Spectrum Petrographics, Inc. Oriented samples were stabilized in the field and lab with epoxy and then vacuum-impregnated with epoxy prior to thin section preparation. Micromorphological study of paleosols was conducted at Baylor University using techniques established by Fitzpatrick (1993) and Stoops (2003) on an Olympus BX-51 polarized-light microscope equipped with a 6.5 MPx Leica digital camera and an ultraviolet fluorescence (UV) attachment. Changes in organic matter content were visually estimated by subjecting the thin section to UV causing the organic matter to autofluoresce. Photomicrographs of unique and representative features were taken using three different UVF wavelength filters, NU, NB, and TXRED, in addition to those taken with cross-polarized light (XPL) and plane-polarized light (PPL).

Results

Field and micromorphological descriptions

Of the sequence of three paleosols at Kisaaka, Paleosol 3 is the easiest to identify and correlate because the Nyamita Tuff forms a thick, locally distinctive marker bed that caps it throughout the Kisaaka locality (Figs. 2 and 3H). Paleosol 3 varies in thickness from 1.5 to 3.5 m, and where the base of the stratigraphy is exposed, it overlies a tufa-cemented conglomerate, the rhyolitic tuff, or the Wakondo Tuff. This conglomerate is part of a fining upward sequence and is often imbricated allowing for paleocurrent measurements (Fig. 3B). The measurements indicate that the paleoflow direction was generally to the west (N 254° ± 10°) in the direction of modern Lake Victoria, paralleling modern drainage patterns. Paleosol 3 is identified as a paleo-Vertisol in outcrop by the medium to coarse wedge peds, pedogenic slickensides and modern drainage patterns. Paleosol 3 is the easiest to identify and correlate because the Nyamita Tuff forms a thick, locally distinctive marker bed that caps it throughout the Kisaaka locality (Figs. 2 and 3H). Paleosol 3 is the easiest to identify and correlate because the Nyamita Tuff forms a thick, locally distinctive marker bed that caps it throughout the Kisaaka locality (Faith et al., 2015). Paleosol 3 is the easiest to identify and correlate because the Nyamita Tuff forms a thick, locally distinctive marker bed that caps it throughout the Kisaaka locality (Stoops et al., 2010). Burrows with meniscate backfill were also identified in thin section and can also be attributed to earthworms (Fig. 4C; Stoops et al., 2010).

Paleosol 2 overlies the Nyamita Tuff and has 4 soil horizons: Bk1b2, Btk1b2, Btk2b2, and Btk2b2. This is a paleo-Inceptisol with Alfisol-like soil characteristics, but the lack of E horizon prevents classification as an Alfisol (Soil Survey Staff, 1999). In outcrop, this paleosol is thin (40–80 cm) and poorly developed with only granular to subangular blocky peds, FeMn coatings on peds, tephra-filled burrows, and poorly developed pedogenic carbonate nodules and rhizoliths (Fig. 3G). In thin section, the carbonate often has diffuse boundaries filling in pore spaces and engulfing paleosol matrix. The micromorphology also reveals a much more complex pedogenesis with abundant illuviated clay coatings of up to 3% in the Btk1b2 and Btk2b2 horizons (Fig. 4H). These coatings were not visible in outcrop because the majority of the coatings are covered with a second layer of FeMn that prevented field identification (Figs. 3G, 4H, and J). MSA artifacts have been collected from the surface at Kisaaka, but systematic excavations have yet to be conducted and few artifacts have been found in situ at this site (Faith et al., 2015). Paleosol 2 has evidence for microdebitage from on-site tool production where several large, angular grains of chert have been identified (Fig. 4K). Artifacts made from this material were collected at Kisaaka (Faith et al., 2015). These grains are much larger than the dominantly silt- to fine-sized coarse fraction and contain percussion fractures typical of microdebitage (Angelucci, 2010).

Paleosol 1 is a paleo-Inceptisol with Alfisol-like soil characteristics, like Paleosol 2. Paleosol 1 is thicker (2.2 m) and better developed and has 4 horizons: ABkb1, Btkb1, Btk2b1, and BC1b1 (Table 1). The ABkb1 horizon contains granular peds and all other horizons are dominated by subangular blocky peds (Fig. 4G). FeMn coatings, tephra-filled burrows (Fig. 4D), carbonate rhizoliths are abundant throughout the profile (Figs. 3G, 4D, and H). Similar to Paleosol 2, the micromorphology reveals an abundance of features not visible in outcrop due to the abundance of FeMn coatings. Earthworm fecal pellets (~500 μm) are present throughout the matrix and commonly fill burrows (Fig. 4E). These burrows generally allowed for preferential flow and greater accumulations of illuviated clay (Fig. 4D, E, and F). Much smaller fecal pellets (50–100 μm) are also preserved in carbonate rhizoliths (Fig. 4H and I) and were likely made by termites, which produce fecal pellets ~100 μm in diameter (Jungersius et al., 1999; Stoops et al., 2010). The carbonate rhizoliths and nodules are poorly developed in comparison to Paleosol 3 and often have diffuse boundaries (i.e. Fig. 4A vs. D and H). In addition, coatings on pores crosscutting relationships record the time of features: 1) illuviated clay; 2) FeMn coatings; and 3) carbonate (Fig. 4G and J). The illuviated clay coatings are well developed and comprise up to 5% of the paleosol in the Btk2b1 horizon (Fig. 4G). In some areas, pores have multiple generations of illuviation and >10 bands of illuviated clay, which range from 5 to 10 μm in width (Fig. 4F and L).

Mineralogy

Mineralogy of paleosols was analyzed by horizon but showed little variability, and therefore only examples from Paleosols 1 and 3 are significantly thicker (Kisaaka 14A) or thinner (Kisaaka 4F or 12), but the paleosol has remarkably similar features across the landscape (Fig. 2). The paleo-Vertisol contains both carbonate nodules and rhizoliths throughout the profile (Figs. 2, 3D, and 4A). The carbonate rhizoliths are commonly poorly developed and powdery, but the nodules are dense micrite with septarian and circumgranular cracks (Fig. 4A). Carbonate was also identified along ped boundaries especially at the lower horizons (Fig. 2). Throughout the paleo-Vertisol are tephra-filled burrows found in areas with associated with fecal pellets that are likely attributed to earthworms due to their size (~200–500 μm) (Figs. 2, 3D, and 4C; Stoops et al., 2010). Burrows with meniscate backfill were also identified in thin section and can also be attributed to earthworms (Fig. 4C; Stoops et al., 2010).

Paleosol 3 is identifiably by the lack of laminations and identical grain size morphologies (Fig. 4B) by the lack of laminations and identical grain size morphologies (Fig. 4B). Parallel striated and granostriated b-fabrics are also common in the Bkss horizons and with some areas of more developed cross-striated b-fabric (Table 1). The type section for Paleosol 3 is divided into five soil horizons: ABkb3, Bkb3, Bkss1b3, Bkss2b3, and Bkss3b3 that are described in detail in Table 1. At some sites, the A horizon, characterized by granular peds, has been eroded (Kisaaka 4F). In other areas the paleo-Vertisol is
Bulk geochemistry

Bulk geochemistry is commonly used to determine paleosol weathering trends with depth using molecular weathering ratios, and constitutive mass balance models have been used in the past to quantitate these changes (Brimhall and Dietrich, 1987; Chadwick et al., 1990; Sheldon and Tabor, 2009). Mass balance is a powerful tool because it compares the ratio of the weathered material to the parent material and takes into account changes in bulk density (Brimhall and Dietrich, 1987; Chadwick et al., 1990). When bulk density is not accounted for, increasing porosity can have the effect of making it appear that the ratio of weathered material to the parent material is constant (Brimhall and Dietrich, 1987; Chadwick et al., 1990). However, all Kisaaka paleosols were weathered throughout the profile leaving no unweathered parent material to calculate mass balance. Molecular weathering ratios can only be used to examine relative changes down profile for an individual paleosol because the molecular weathering ratios do not account for parent material and density changes (Retallack, 2001). For this reason, it is difficult to compare between paleosols and across the landscape and through time using molecular weathering ratios. There is little change in parent material across the landscape, but density can fluctuate significantly due to varying contributions of tephra. The geochemistry of the Kisaaka paleosols show little consistent variability with depth likely due to the limitations of molecular weathering ratios rather than a lack of weathering due to insufficient pedogenesis. Therefore, the bulk geochemistry has been averaged by paleosol, in addition to the molecular weathering ratios and paleoprecipitation proxies calculated from the bulk geochemistry, to show general trends between paleosols and across the landscape (Table 2). All paleosols show evidence of pedogenesis, seen by comparing the additions of CaO, MgO, and Fe₂O₃ and losses of Na₂O in the paleosols to the bulk geochemistry of Nyamsingula and Nyamita tuffs, which are possible parent materials (Table 2). Paleosols 1 and 2 show no variability across the landscape, but Paleosol 3 indicates some variability, such that paleosols at Kisaaka 10 and Kisaaka 13 have higher CaO and lower Fe₂O₃ and Al₂O₃ in comparison to the paleosols at the
and leaching, which is calculated using CaO content in the paleosols. Kisaaka 4F and 12 localities (Table 2). There is also very little variability through time between Paleosols 1, 2, and 3 with the exception of CaO and leaching, which is calculated using CaO content in the paleosols.

Pedotransfer functions were applied to the paleosols with vertic features (Paleosol 3 only) to reconstruct colloidal based physical and chemical properties used in modern soil characterization (Nordt and
Driese, 2010a). Some pedotransfer functions developed by Nordt and Driese (2010a) were not applicable due to the presence of carbonate and only those applicable are presented here: total clay, fine clay, the ratio of fine clay to total clay (FC/TC), coefficient of linear extensibility (COLE), cation exchange capacity (CEC), the ratio of CEC to clay (CEC/clay), pH, base saturation (BS), exchangeable sodium percentage (ESP), electrical conductivity (EC), crystalline Fe oxide (Fe₅₆), and percent CaCO₃. These properties all yield further information on soil fertility and are summarized in Table 3. Detailed explanation of these modern soil properties can be found in Burt (2011).

The ratio of FC/TC indicates no translocation with depth, paralleling observations in the field and in thin section (Tables 1 and 2). The high proportion of clay and specifically smectitic clay (Fig. 5B) gives the paleosols a high shrink-swell potential, high COLE of 0.07 to 0.09 cm⁻¹ (high is defined as 0.06 to 0.09 cm⁻¹ by the NRCS (Burt, 2011), and high CEC between 30.7 and 44.2 cmolc kg⁻¹ (Table 3). The CEC is the total number of exchangeable cations that a soil can absorb and depends on the types of clays and amount of organic matter present in the soil that hold these exchangeable cations (Brady and Weil, 2008). Smectite has a high CEC (~80 to 130 cmolc kg⁻¹) and for this reason modern Vertisols often have a high CEC of ~35.6 cmolc kg⁻¹ (Brady and Weil, 2008). Bas saturation (BS) is a measure of how many base cations the soil potentially holds (Brady and Weil, 2008), and the Kisaaka paleosols are all base saturated at 99 to 100%. A higher pH also increases the effective CEC and the Kisaaka paleosols have alkaline pH of between 7.4 and 8.2. The CEC, BS and pH are all buffered by the presence of carbonate, which ranges from 3 to 11%, with the highest carbonate at Kisaaka 13 and the lowest at Kisaaka 4F and 12 (Table 3). ESP is a measure of the sodicity of the soil, which affects both physical and chemical soil properties that are detrimental to plant growth. A soil classified as normal has an ESP of ~15% and indicates that plants with a typical tolerance will be unaffected by sodicity. All but the upper horizons in Kisaaka 12 have an ESP > 10%, and most horizons are >15%. The Fe₅₆ is often used as a measure of total pedogenic Fe from minerals such as goethite, hematite, lepidocrocite, and ferrihydrite and with >1% indicative of oxidizing soil conditions (Nordt and Driese, 2009, 2010a). The Fe₅₆ ranges from 8 to 14% in Paleosol 3 (Table 3).

Paleoprecipitation was also calculated using CALMAG for those paleosols identified as Vertisols (Paleosol 3) and CIA-K for all paleosols. The paleoprecipitation estimates for Paleosol 3 averages 764 ± 108 and 823 ± 182 mm yr⁻¹ for CALMAG and CIA-K, respectively. Paleosol 2 is higher with an average of 963 ± 182 mm yr⁻¹ and Paleosol 1 has an average of 813 ± 182 mm yr⁻¹ (Table 2).

Discussion

Depositional environment

The three laterally continuous tuffs deposited at Kisaaka (the Nymata Tuff, the Nyamsingula Tuff, and the BTP) preserve a succession of buried landscapes and allow for the reconstruction three separate paleocatenas. The Kisaaka paleosols often have a similar grain size (clay-sized) throughout the profile, few erosive scour surfaces, and well-developed pedogenic features. Paleosols with these features often form in a fluvial system, distal to the active fluvial channel, with steady depositional conditions where pedogenesis is able to keep up with constant additions and forming well-developed, but cumulative soils (Kraus, 1999).

Gilgai topography

The oldest and best preserved paleocatena (Paleosol 3), formed between 94 and ~49 ka, is a paleo-Vertisol with pedogenic slickensides (Fig. 5E) that are indicative of intensive shrink-swell processes due to wetting and drying of smectite (Fig. 5B). The shrinking and swelling of the clay during wet and dry seasons formed gilgai topography that is preserved by the rapid deposition of the Nymata Tuff at ~49 ka. Gilgai topography is rarely preserved in the rock record as the granular peds of the A horizon are easily eroded prior to the next depositional event and eroding the gilgai topography in the process (Caudill et al., 1996; Mora and Driese, 1999; Driese et al., 2000, 2003). The wavelengths and amplitudes of these well-preserved gilgai vary across the landscape and may reflect changes in gilgai type. The wavelengths and amplitudes of gilgai identified at the Kisaaka 12, 4F, 3, and 2 localities (Fig. 3H) ranges from 0.8 to 1.6 m. In comparison, the average wavelength from Kisaaka 15 is much larger at 6.4 m with amplitudes of 0.55 m on average. These large wavelengths and amplitudes can form in linear gilgai that form on sloping landscapes, commonly, 1° to 3° (Hallsworth

Linear gilgai are commonly identified in Australia (Hallsworth and Beckman, 1969; Beckmann et al., 1973), but are rare in Africa or not reported in the literature. Examples of both normal and linear gilgai have been identified at Rustenburg, South Africa, and the normal gilgai have shorter wavelengths of 5 m, but the linear gilgai have wavelengths of ≥8 m (Verster et al., 1973; Fey et al., 2010). This suggests that specific conditions may be needed to form these features. The physical and chemical soil properties of the modern Rustenburg Vertisols with 62% to 69% clay, a CEC of 34 to 58, pH of 7.7 to 8.6, and a range of carbonate from 0 to 15.5% are remarkably similar to those reconstructed using pedotransfer functions for the Kisaaka paleo-Vertisols (Table 2; Verster et al., 1973). This suggests that the Kisaaka paleopedography may have been similar to Rustenburg where specific conditions allow for gilgai formation. Seasonal rainfall ranging from 600 to 700 mm yr⁻¹, and the smectitic mineralogy create ideal conditions for normal gilgai formation. When combined with a sloping landscape of 1 to 3°, these conditions form linear gilgai (Fig. 6).

**Paleosol characteristics and productivity**

There is little variability in the reconstructed soil characteristics in profiles sampled across the landscape with exception of Kisaaka 10 and Kisaaka 13, which have high CaO of 5% (Table 2) and are very close to tufa deposits mapped in Figure 1C. The lowest horizons of Paleosol 3 closest to these spring deposits have evidence for higher proportion of carbonate as syndepositional cement (Beverly et al., 2015). For example, the lowest horizon at Kisaaka 14A is much lighter in color due to the increased carbonate content (Fig. 2). Deposition of tufa ceased due to the influx of sediment, but lower horizons were likely still affected by supersaturated groundwater. These effects would disappear up section as cumulative pedogenesis continued, but may have
High CEC and BS (30.7–43.9 cmolc kg⁻¹ and 99–100%, respectively) indicate that the Paleosol 3 (paleo-Vertisol) would have been fertile with many plant available nutrients with an alkaline pH (7.4–8.2) that would not have affected plant size by limiting nutrient availability, which can be lost with an acidic pH (Brady and Weil, 2008). Burrows from earthworms and termites would have provided macropores favorable to root growth and microbial activity (Figs. 3C and 4L; Jongmans et al., 2001). Although the paleo-Vertisol was fertile and had a high water storage capacity due to high clay content that would support abundant vegetation, high ESP and EC indicate that this soil was affected by both high salinity and sodicity, which would have limited the types of plants able to grow on the landscape to those that were tolerant of these conditions. A saline-sodic soil is defined by a BS > 15%, an EC > 4 dS m⁻¹, and pH < 8.5 (Brady and Weil, 2008). With the exception of the upper two horizons from Kisaaka 12, all other horizons are classified as saline-sodic. Some plants are affected by as little as 2 dS m⁻¹ for EC, and the saline-sodic conditions would have affected nutrient uptake and microbial activity (Brady and Weil, 2008). The saline-sodic conditions would have also caused a decrease in plant size in more tolerant species or have completely prevented the growth of species intolerant to saline-sodic conditions. Due to the trachytic–phonolitic composition of the tephra, which adds sodium-bearing primary minerals to the paleosols, the ESP and EC are likely to be maximal estimations (Nordt and Driese, 2010a). Although Inceptisols are weakly developed pedotransfer functions developed for paleo-Vertisols are not applicable, weakly developed pedogenic slickensides are present (Table 1). Pedotransfer functions developed for paleo-Vertisols, as has been demonstrated in modern soils where the clay coatings are common in soils with high percent-salinities, indicate that the paleosols were forming on the landscape for intervals of time long enough to bring the paleo-Inceptisols into equilibrium with climate. Clay coatings are common in soils with high percentage of volcanic fragments (Jongmans et al., 1994), and clay coatings of up to 3% in Paleosol 2 and 5% in Paleosol 1 indicate perhaps a few thousand years of stability on the paleolandscape (Fig. 4B, C, and G–I; Soil Survey Staff, 1999; Ufnar, 2007). The age-estimate model for clay coating accumulation by Ufnar (2007) gives an estimate of ~3 ka for Paleosol 2 and ~7 ka for Paleosol 1. The illuviated clay chronofunctions developed by Ufnar (2007) were established using deeply weathered, subtropical soils from southeastern Mississippi, and therefore, a combined value of 10 ka of deposition may be an overestimation for an East African monsoonal climate. Radiometric estimates from gastropods from correlative deposits on Rusinga and Mfangano Islands (Tryon et al., 2010; Blegen et al., 2015) suggest that both Paleosols 1 and 2 were formed between 49 and ~33 ka, consistent with the clay chronofunction estimate. Although Inceptisols are weakly developed soils, the degree of development of clay coatings (an Alfisol-like characteristic) provides evidence for continuous pedogenesis that would have brought the paleosols into equilibrium with the climate, supporting the MAP estimates. In addition, modern Inceptisols were included in the Marbut (1935) database used to develop the CIA-K proxy where CIA-K varied with changes in MAP (Sheldon et al., 2002).

Evidence suggests that with development of additional illuviated clay, Paleosols 1 and 2 would have eventually developed into paleo-Vertisols, as has been demonstrated in modern soils where the clay coatings reach a threshold (Stoops et al., 2010). In the Btk1b2 horizon of Paleosol 2 where the highest concentrations of clay coatings accumulated, weakly developed pedogenic slickensides are present (Table 1). Because Paleosols 1 and 2 are identified as paleo-Inceptisols, the pedotransfer functions developed for paleo-Vertisols are not applicable (Nordt and Driese, 2010a). However, the upper paleosols (1 and 2) have similar evidence of earthworms and termites that suggests that soil conditions were similar (Fig. 4A, B, E, and F; Table 1). In addition, with the exception of CaO (discussed earlier) average geochemical compositions and molecular weathering ratios indicate that Paleosols 1 and 2 likely had similar colloidal properties to Paleosol 3 that would have provided abundant nutrients (Table 2). High salinity and sodicity also likely affected Paleosols 1 and 2 due to their similarities in wt% Na₂O and Al₂O₃, which are used to estimate the ESP and EC (Table 2).

All three paleosols show evidence of redoximorphic features in which Fe and Mn have been depleted within the matrix, or concentrated contributed to greater carbonate (up to 11% CaCO₃) in the soil matrix at Kisaaka 10 and 13, making the pH more alkaline than at other localities (Table 3). In Paleosol 3 volcaniclastic material is very limited (Fig. 4A–C) and tephra is only occasionally found filling burrows (Fig. 3D), which are likely related to burrowing following burial and termination of the soil by the Nyamita Tuff. Burrowing infill is not limited to tephra, and the meniscate backfill is often composed of the clay matrix (Fig. 4C). This indicates that the burrowing occurred for the duration of soil formation. However, as Paleosol 3 has very little volcaniclastic material in the matrix, it is unlikely that tephra accumulated throughout the life of the paleosol.

Following the deposition of the Nyamita Tuff at ~49 ka, tephra becomes much more abundant on the landscape and was likely frequently deposited during the development of Paleosols 1 and 2. Both paleo-Inceptisols (Paleosols 1 and 2) deposited between 49 and ~33 ka have significantly more tephra within burrows and the matrix (Fig. 4D). The additional tephra had physical effects on these paleosols. Although Paleosols 1 through 3 are smectitic (Fig. 5A and B) and MAP estimates suggest that the climate is similar, the addition of tephra into the depositional system seems to have limited the shrink–swell behavior of the smectite within Paleosols 1 and 2, and thus prevented the development of a Vertisol.

The lack of vertic features and less developed carbonates, which are powdery or hard masses with diffuse boundaries, would suggest that Paleosols 1 and 2 underwent shorter periods of pedogenesis (consistent with radiometric estimates of maximum formation times); however, clay coatings indicate the paleosols were forming on the landscape for intervals of time long enough to bring the paleo-Inceptisols into equilibrium with climate. Clay coatings are common in soils with high percentage of volcanic fragments (Jongmans et al., 1994), and clay coatings of up to 3% in Paleosol 2 and 5% in Paleosol 1 indicate perhaps a few thousand years of stability on the paleolandscape (Fig. 4B, C, and G–I; Soil Survey Staff, 1999; Ufnar, 2007). The age-estimate model for clay coating accumulation by Ufnar (2007) gives an estimate of ~3 ka for Paleosol 2 and ~7 ka for Paleosol 1. The illuviated clay chronofunctions developed by Ufnar (2007) were established using deeply weathered, subtropical soils from southeastern Mississippi, and therefore, a combined value of 10 ka of deposition may be an overestimation for an East African monsoonal climate. Radiometric estimates from gastropods from correlative deposits on Rusinga and Mfangano Islands (Tryon et al., 2010; Blegen et al., 2015) suggest that both Paleosols 1 and 2 were formed between 49 and ~33 ka, consistent with the clay chronofunction estimate. Although Inceptisols are weakly developed soils, the degree of development of clay coatings (an Alfisol-like characteristic) provides evidence for continuous pedogenesis that would have brought the paleosols into equilibrium with the climate, supporting the MAP estimates. In addition, modern Inceptisols were included in the Marbut (1935) database used to develop the CIA-K proxy where CIA-K varied with changes in MAP (Sheldon et al., 2002).

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as coatings on pedds or pores, which suggest that, at times, the soil was poorly drained. Redoximorphic features can form quickly and possibly within one wet season (Vepraskas, 1992, 2001; Vepraskas and Faulkner, 2001), but all three paleosols show evidence for drier periods as well with the precipitation of pedogenic carbonates. Paleosols 1 and 2 also have evidence for the relative timing of these features: 1) illuviated clay coatings indicative of drier climate with low water table; 2) redoximorphic FeMn coatings characteristic of a higher water table and impeded soil drainage; and 3) carbonate coatings suggestive of a return to drier conditions (Figs. 4G and 5J). The third coating of carbonate is not always present, but when it occurs, it is always in this order. This suggests that the paleosols underwent a long period of drier climate where many layers of illuviated clay coatings were deposited, followed by wetter conditions, and a return to dry conditions with the deposition of carbonate. The features in the micromorphology are likely a result of higher frequency (e.g., decadal) climate changes that occurred within an overall drier period, as indicated by the MAP estimates.

Implications for paleoclimate and paleoenvironment

Evidence from Rusinga Island and Karungu suggests that at ~94 ka the Lake Victoria region underwent a significant change in paleoclimate. Spring-fed rivers were present on the late Pleistocene landscape at Rusinga Island and Karungu, after which MAP crossed a critical recharge threshold such that the springs were choked with fluvial sediments and tufa precipitation was terminated (Beverly et al., 2015). After ~94 ka, the landscape became distinctly more fluvial with fining upward overbank floodplain deposits and paleosols. Mean annual precipitation proxies from these paleosols suggest that between 94 ka and ~33 ka the Lake Victoria region experienced a significantly drier climate and environment than today.

The paleosols indicate an average paleoprecipitation range from 813 ± 108 to 963 ± 108 mm yr\(^{-1}\) using CIA-K for Paleosols 1 to 3 (Table 2). There is no evidence for a distinct change in MAP between 94 and ~33 ka when these paleosols were formed, thus climate seems to have been significantly drier than modern for an extended period of time. The estimates from the Vertisol using CALMAG are lower with an average CIA-K value of 579 ± 108 mm yr\(^{-1}\); CALMAG). The estimate is likely anomalous when compared to the Marbut database used to develop the CIA-K proxy (Fig. 4A and H). In addition, the physical evidence from the paleosols (vertic features, illuviated clay and pedogenic carbonates) and chemical evidence from pedotransfer functions (high salinity and sodicity) all suggest a highly seasonal environment and support the interpretation of an environment significantly drier than modern (~1400 mm yr\(^{-1}\)). With average CIA-K values from Paleosols 1 to 3 of 813 to 963 mm yr\(^{-1}\), this represents a considerable reduction in precipitation relative to the present (31–42% reduction), and the first quantitative paleoprecipitation estimate for the region.

However, applying proxies developed in the United States to Eastern Africa may potentially bias the precipitation estimates because of differences in the mineralogy and chemistry of East African soils relative to those of the United States that were used in the paleosol proxy calibration datasets. Mineralogically the paleo-Vertisols of Kisaaka are similar to those of Texas used to develop the proxy: a predominantly smectitic clay mineralogy from a pre-weathered parent material (Fig. 5B; Nordt and Driese, 2010b). However, the effect of constant additions of tephras is uncertain because none of the Texas Vertisols used to develop the CALMAG proxy include volcanically influenced soils (Nordt and Driese, 2010b). The Marbut (1935) database used to develop the CIA-K proxy includes some soils with volcanically derived parent materials, but they are not abundant (Sheldon et al., 2002). Further research is needed to determine if the addition of volcanioclastic material into soils has any influence on these geochemical proxies.

Bulk geochemical analyses for each paleosol were duplicated between different localities, and in the case of Paleosol 3, samples from four localities were analyzed to capture any potential variability. The variability between individual paleosols within Paleosol units 1 and 2 is minimal and within the standard error of ±182 for CIA-K. Paleosol 3 has more variability and at the Kisaaka 13 locality has a low MAP estimate (579 ± 108 mm yr\(^{-1}\); CALMAG). The estimate is likely anomalously low and probably due to the proximity of the paleosol to the freshwater springs that were disappearing during the deposition of these sediments that subsequently underwent pedogenesis. The groundwater moving through these sediments would still have been supersaturated with respect to carbonate and would have resulted in additional carbonate precipitation resulting in a high average CaO of 5% in the soil matrix in comparison to other sites. MAP estimates from Paleosol 3 at other sites distal to the spring, i.e., Kisaaka 4F and 12 have CALMAG estimates of 812 ± 108 and 826 ± 108 mm yr\(^{-1}\), respectively. Alternatively, this variability in Paleosol 3 could be attributed to problems with applying the CIA-K and CALMAG proxies to volcanically influenced East African paleosols. Regardless, the proxies still suggest that paleoprecipitation was less than modern, and they are not the only line of evidence supporting this interpretation.

The paleosol evidence is supported by the analysis of tooth enamel using the aridity index of Levin et al. (2006), which suggests a water deficit much higher than modern and a >20% reduction in MAP in the late Pleistocene (Garrett et al., 2015). Potential evapotranspiration (ET) for the Lake Victoria region greatly exceeds MAP and ranges from 2000 to 2200 mm yr\(^{-1}\) (Dagg et al., 1970). Assuming comparable values in the past, these much drier conditions during the Late Pleistocene would have resulted in a negative hydrologic budget and a significantly reduced Lake Victoria due to the sensitivity of the lake to local precipitation (Broecker et al., 1998; Milly, 1999).

In addition, Rusinga and Mfangano Islands and Karungu have the most diverse fauna of any late Pleistocene site from East Africa and abundant extinct taxa (Tryon et al., 2012; Faith, 2014; Faith et al., 2015, in press). The presence of gregarious and migratory grazers on Mfangano Island, which is too small to support viable populations of large ungulates, suggests a connection to the mainland. This requires a lake-level decline of at least 25 m (Tryon et al., 2010, 2012, 2014; Faith et al., 2011, 2012, 2014, 2015) and comparisons with existing models suggest that such a decline is only possible with a significant rainfall reduction (Broecker et al., 1998; Milly, 1999). Analyses by Faith (2013) indicate peak ungulate diversity in sub-Saharan African game reserves at ~800 mm yr\(^{-1}\) and evidence from the paleosols provide quantitative support to explain this high diversity of ungulates. Isotopic and mesowear analyses of the teeth of both ungulates and microfauna indicate an animal community dominated by a C\(_4\) grass diet (Faith et al., 2011, 2015; Garrett et al., 2015). This C\(_4\) grassland contrasts with the evergreen bushland, thicket, and forest habitats historically present in the region and supports the paleosol evidence for a significant reduction in precipitation. This paleosol evidence supports the hypothesis that a reduction in precipitation, coupled with expansion of grasslands and a reduced Lake Victoria facilitated the dispersal of fauna – and possibly human populations – across equatorial Africa (Cowling et al., 2008; Lorenzen et al., 2012; Faith et al., 2015, in press).

Modern analogs

The modern Vertisols of Rustenburg, South Africa have similar physical features such as grain size and gilgai topography and chemical properties such as CEC and pH. These features suggest that Rustenburg may be an appropriate modern analog. In addition, due to their low precipitation and volcanic parent material (Sinclair, 1979; Belsky, 1990), modern soils of the Serengeti (only ~150 km southeast of Karungu) are similarly saline and alkaline to the saline-sodic paleo-Vertisols and paleo-Inceptisols identified at Kisaaka. Together with MAP, soil texture
and the salinity and sodicity of the soils is strongly associated with vegetation type in the Serengeti (Belsky, 1990). Generally, soil catenas in the Serengeti have shallow sandy soils on ridges with short, shallowly rooted grasses and thicker, clay-rich soils (i.e. Vertisols) in the valleys with taller grasses (Bell, 1970; Belsky, 1995). Although parts of the Serengeti have enough precipitation to support trees, trees growth is limited on the Serengeti Plains due to the saline-sodic conditions (Vesey-Fitzgerald, 1973; Belsky, 1990).

The Johnson/Tothill model, a simple abiotic model for African savannas, illustrates how soil texture and precipitation greatly affect the type of vegetation (Fig. 7; Johnson and Tothill, 1985) and has been used previously to interpret paleosols in the rock record in northern Kenya (Wynn, 2000). With a MAP of ~800, the Kisaaka paleosols could support either a savanna woodland or grassland, depending on the soil texture (Johnson and Tothill, 1985; Belsky, 1990). With the higher clay contents of soils in the valleys, water penetration is poor and rivers may seasonally flood the soil, which prevents the growth of trees and shrubs (Johnson and Tothill, 1985; Belsky, 1990). Both the high salinity and sodicity and the clay-rich soil texture of Kisaaka may have contributed to the development of open grassland, which is consistent with faunal community composition and the C3 diet of mammals from Rusinga and Mfangano Islands (Garrett et al., 2015) and Karungu (Faith et al., 2015).

Conclusions

The Kisaaka paleosols provide valuable paleoenvironmental and paleoclimatic information during a critical interval of human evolution. At ~94 ka, reduced precipitation translated to change from spring-fed rivers to soil formation. The paleosols are cumulative such that pedogenesis on the floodplain, and distal to the active channel, exceeded the rate of additions of sediments, and allowed the paleosol to come into equilibrium with the climate. The three paleosols are separated by continuous tuffs that allow for reconstruction of the landscape as a paleocatena. The oldest catena was deposited between ~94 and 49 ka and has paleo-Vertisols with abundant evidence of vertic features and exceptionally well-preserved gilgai. Pedotransfer functions suggest that paleo-Vertisols were fertile, but had saline-sodic conditions that would have affected plant growth and the types of plants growing on the landscape. The upper paleo-Inceptisols were deposited between 49 and ~33 ka and the abundance of tephas had significant effects on physical characteristics by inhibiting shrinking and swelling of clays. The weathering tepha formed illuviated clay, and the degree of development of this illuviated clay suggests that these paleo-Inceptisols were in equilibrium with the climate and provides support for the paleoprecipitation estimates.

The paleosol MAP estimates suggest a similar paleoclimate between ~94 and 49 ka with MAP ranges from 764 ± 182 mm yr⁻¹ for Vertisols (CALMAG) and 813 to 963 ± 108 mm yr⁻¹ for all other paleosols (CIANK) with no significant changes in paleoprecipitation between paleosols. This reduction in precipitation would have resulted in a significant reduction in the size of Lake Victoria, and represent the first paleoprecipitation estimates for the region during the late Pleistocene. The drop in precipitation and the saline-sodic conditions of these paleosols supports the interpretation of the expansion of semi-arid C₄ grasslands made based on fossil and stable C and O isotope analyses. Together, all lines of evidence suggest that the Serengeti may represent a modern analog for the late Pleistocene paleolandscape at Kisaaka.

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References


Figure 7. The Kisaaka paleosols are plotted on the Johnson/Tothill model of tropical savannas, which uses soil texture and annual precipitation. Modified from Johnson and Tothill, 1985.


