Uni-directional coupling between tibiofemoral frontal and axial plane rotation supports valgus collapse mechanism of ACL injury

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A B S T R A C T

Despite general agreement on the effects of knee valgus and internal tibial rotation on anterior cruciate ligament (ACL) loading, compelling debate persists on the interrelationship between these rotations and how they contribute to the multi-planar ACL injury mechanism. This study investigates coupling between knee valgus and internal tibial rotation and their effects on ACL strain as a quantifiable measure of injury risk. Nineteen instrumented cadaveric legs were imaged and tested under a range of knee valgus and internal tibial torques. Posterior tibial slope and the medial tibial depth, along with changes in tibiofemoral kinematics and ACL strain, were quantified. Valgus torque significantly increased knee valgus rotation and ACL strain (p < 0.020), yet generated minimal coupled internal tibial rotation (p = 0.537). Applied internal tibial torque significantly increased internal tibial rotation and ACL strain and generated significant coupled knee valgus rotation (p < 0.001 for all comparisons). Similar knee valgus rotations (7.3° vs 7.4°) and ACL strain levels (4.4% vs 4.9%) were observed under 50 Nm of valgus and 20 Nm of internal tibial torques, respectively. Coupled knee valgus rotation under 20 Nm of internal tibial torque was significantly correlated with internal tibial rotation, lateral and medial tibial slopes, and medial tibial depth (R² > 0.30; p < 0.020). These findings demonstrate uni-directional coupling between knee valgus and internal tibial torque contribution to increased ACL strain, knee valgus rotation has the ultimate impact on ACL strain regardless of loading mode.

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1. Introduction

Injuries to the anterior cruciate ligament (ACL) are one of the most common and devastating knee injuries sustained as a result of sports participation with over 125,000 injuries occur each year in the U.S. (Kim et al., 2011). Non-contact injuries (without direct blow to the knee) are the predominant mechanism of ACL injury, accounting for > 70% of all ACL injuries (Griffin et al., 2000). These injuries often occur during landing from a jump or lateral cutting maneuvers during athletic activities such as basketball and soccer (Myklebust et al., 1998). Neuromuscular control deficits during dynamic movements are postulated to be the primary causal factors for ACL injury (Hewett et al., 2013). Deficits in dynamic active neuromuscular control manifest as excessive joint loads and ultimately lead to detrimental ACL stresses/strains and failure. Therefore, injury prevention strategies such as neuromuscular training are an appealing option to avoid long-term joint instability, pain and early development of osteoarthritis associated...
with ACL injury. Identification of high-risk maneuvers that lead to non-contact ACL injury is a major step in the development of new, as well as optimization of existing, neuromuscular training programs in an effort to prevent these devastating injuries more effectively.

Recent experimental studies support multi-planar loading, including knee valgus and internal tibial torques, as the most probable non-contact ACL injury mechanism (Kiapour et al., 2014a; Levine et al., 2013; Oh et al., 2012; Quatman et al., 2014). The presence of multi-planar loading at the time of non-contact ACL injury is also supported by the findings of systematic reviews of the ACL injury literature (Quatman et al., 2010; Shimokochi and Shultz, 2008). Despite general agreement on the multi-planar mechanism of these injuries and the well-characterized effects of isolated knee valgus and internal tibial rotation on ACL loading (Fukuda et al., 2003; Kiapour et al., 2014a; Levine et al., 2013; Meyer and Haut, 2008; Oh et al., 2012; Quatman et al., 2014; Shin et al., 2011; Withrow et al., 2006), there is a compelling and ongoing debate regarding the relationships between these rotations and the multi-planar injury mechanism. Therefore, the current study was designed to investigate the anatomical coupling between tibiofemoral frontal (valgus) and axial (internal tibial rotation) plane rotations, and to determine how these rotations affect ACL strain, as a valid quantifiable measure of injury risk, in a cadaveric model. We hypothesized that frontal and axial rotations are coupled, and that knee valgus rotation mediates ACL loading, whether the knee is loaded under a valgus or internal tibial torque. We further hypothesized that coupling between knee frontal and axial plane rotations is significantly affected by tibial plateau topology, in particular posterior tibial slope and medial tibial depth.

2. Methods

Nineteen (10 females and 9 males) unembalmed fresh-frozen cadaveric lower limbs, with a mean age at death of 45 (SD=7) years, were used in this study. Specimens were imaged using a 3 T magnetic resonance (MR) imaging scanner (GE Signa Excite HD 3.0 T, Waukesha, WI, USA) with a surface knee coil in sagittal, frontal and axial planes. An experienced, board certified orthopedic surgeon inspected the specimens both visually and with MR-imaging to confirm that specimens were free from soft or hard tissue pathology including indications of prior surgery, mal-alignment deformities and ACL disruption. MR-imaging data were further used for anatomical index measurements. Specimens were then stored at −20 °C for subsequent testing.

2.1. Quasi-static cadaveric testing

2.1.1. Specimen preparation

Frozen specimens were slowly thawed to room temperature 24 h prior to testing. Specimens were sectioned at the mid-femoral diaphysis (30 cm above the joint line) and potted following removal of all soft tissues from femoral head to 15 cm proximal to the knee joint line. The quadriceps and hamstrings (medial and lateral) tendons were then isolated, and clamped to allow for simulation of trans-
knee muscle loads. The remaining musculature and skin (from 15 cm above the knee joint line to toes) remained intact.

2.1.2. Instrumentation

ACL strain was calculated based on the measurements of a differential variable reluctance transducer (DVTR) (MicroStrain Inc., Williston, VT, USA) arthroscopically placed on the distal third of the anterior medial (AM) bundle through two parapatellar incisions. This system allows for quantification of displacement with an accuracy of 0.1% and the repeatability of 1 μm. Two rigid arrays of three non-collinear infrared light-emitting diodes (irLED), rigidly attached to the mid-shaft of the tibia and femur using bone screws, were used to quantify tibiofemoral kinematics via an Optotrack 3020 3D motion capture system (Northern Digital, Waterloo, Ontario, Canada). This system allows for the tracking of rigid body motion with a resolution of 0.01 mm and an accuracy of 0.1 mm.

2.1.3. Test set-up and loading conditions

Specimens were tested using a custom, passive 6-DOF Force Couple Testing System (FCTS, Fig. 1A) (Kiapour et al., 2011, 2012a, 2012b). This system utilizes a combination of servo-electric actuators and static weights to drive multiple light-weight low friction cable-pulley systems that generate unconstrained forces and pure moments in all three anatomical planes (Fig. 1B). The unconstrained nature of this setup allows for physiologic characterization of the joint with regard to kinematics and kinetics. The use of 6-DOF passive control offered by the FCTS results in natural join motion as defined by native geometry, particularly topology of the articular surfaces and surrounding soft tissue constraints. Each specimen was rigidly attached to the fixture at the proximal femur with the knee positioned at 25° of flexion, to simulate the orientation of the knee joint during ACL injury (Koga et al., 2010). Cable-pulley systems and static weights were used to apply constant forces to the quadriceps (400 N) and hamstrings (200 N; 100 N each to the medial and lateral sides) tendons in order to stabilize the knee joint and simulate trans-knee muscle forces. The simulated muscle forces have been derived from our previous unpublished work in order to provide a baseline joint stability under applied external loads with magnitudes used in this study without over protecting the knee joint or causing any soft tissue injuries. Adjustable pulley systems were used to maintain the physiologic line of action of each muscle group (Fig. 1C). Knee extension due to quadriceps-to-hamstrings force imbalance was countered by preventing anterior translation of the foot using a high stiffness cable connecting the foot to the back of the test frame. This fixation constrained anterior translation of the foot (knee extension) while preserving the other five-degrees of freedom (2 translations and 3 rotations) across the knee joint.

An external fixation frame with an integrated pulley system was rigidly attached to the tibia in order to apply external loads (Fig. 1D). Specimens were tested under 0–50Nm knee valgus and 0–20Nm of internal tibial torques resulting from the applied knee valgus moments (torques), their action was independent of the point of application (Fig. 1D). To allow for unconstrained application of external loads, the distal extremity (lower leg and foot) was free to rotate and translate in all degrees of freedom except knee extension during loading. Exposed tissue about the knee joint was kept moist with 0.9% buffered saline solution at all times during testing. After testing, specimens were inspected arthroscopically to document any tissue damage or failure of knee joint structures.

2.1.4. Data acquisition and processing

Data were collected at 100 Hz and all data acquisition systems were synchronized utilizing a simultaneous trigger. Data were processed using a custom macro developed in Matlab 7.1 (The MathWorks Inc., Natick, MA, USA). In order to calculate absolute strain values, ACL reference length was calculated based on established methods (Fleming et al., 1994; Howe et al., 1990). The use of 6-DOF passive control offered by the FCTS results in natural join motion as defined by native geometry, particularly topology of the articular surfaces and surrounding soft tissue constraints. Each specimen was rigidly attached to the fixture at the proximal femur with the knee positioned at 25° of flexion, to simulate the orientation of the knee joint during ACL injury (Koga et al., 2010). Cable-pulley systems and static weights were used to apply constant forces to the quadriceps (400 N) and hamstrings (200 N; 100 N each to the medial and lateral sides) tendons in order to stabilize the knee joint and simulate trans-knee muscle forces. The simulated muscle forces have been derived from our previous unpublished work in order to provide a baseline joint stability under applied external loads with magnitudes used in this study without over protecting the knee joint or causing any soft tissue injuries. Adjustable pulley systems were used to maintain the physiologic line of action of each muscle group (Fig. 1C). Knee extension due to quadriceps-to-hamstrings force imbalance was countered by preventing anterior translation of the foot using a high stiffness cable connecting the foot to the back of the test frame. This fixation constrained anterior translation of the foot (knee extension) while preserving the other five-degrees of freedom (2 translations and 3 rotations) across the knee joint.

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3. Results

The specimens had an average posterior tibial slope of 7.8° (SD=2.4°) and 5.2° (SD=1.7°) across the lateral and medial tibial plateau along with an average medial tibial depth of 2.5° (SD=0.7°) mm. At 25° of knee flexion, and in presence of simulated muscle loads, gradually increased knee valgus torque, from 0 to 50Nm, resulted in significant increases in knee valgus rotation (p < 0.001) by up to 7.3° (SD=2.3°); Fig. 3A. Similarly, a significantly
increasing trend ($p < 0.001$) in coupled knee valgus rotation, by up to 7.4 (SD=2.9)°, was observed with incremental increases in internal tibial torque from 0 to 20 Nm (Fig. 3A). Gradually increased knee valgus torque, from 0 to 50 Nm, resulted in almost no coupled internal tibial rotation ($p=0.537$; Fig. 3B). However, the increased internal tibial torque, from 0 to 20 Nm, significantly increased the internal rotation of the tibia ($p < 0.001$) by up to 20.3 (SD=5.3)°; Fig. 3B. Increased magnitudes of knee valgus (0 to 50 Nm) and internal tibial (0 to 20 Nm) torques resulted in significant ($p \leq 0.017$) elevations in ACL strain by as much as 4.4 (SD=2.3)% and 4.9 (SD=2.4)% respectively (Fig. 3C). Similar knee valgus rotations were achieved under both the 50 Nm of knee valgus and 20 Nm of internal tibial torques (Difference: 0.2 (SD=3.6)°; $p=0.839$; Fig. 3D). However, significantly greater internal tibial rotation was observed under 20 Nm of internal tibial torque than under 50 Nm of knee valgus torque (Difference: 19.3 (SD=5.1)°; $p < 0.001$; Fig. 3D). Knee valgus (50 Nm) and internal tibial (20 Nm) torques resulted in similar ACL strain levels (Difference: 0.5 (SD=1.8)%; $p=0.224$; Fig. 3D).

Regression coefficients for all linear models are presented in Table 1. Under 50 Nm of knee valgus torque, no significant correlations were observed between the knee valgus and coupled internal tibial rotations ($R^2=0.00$; $p=0.917$). However, coupled knee valgus and internal tibial rotations were correlated under 20 Nm of internal tibial torque ($R^2=0.39$; $p=0.004$; Fig. 4). There were no significant correlations between any of the anatomical indices and coupled internal tibial rotation under 50 Nm of knee valgus torque ($R^2 < 0.02$; $p > 0.650$). In contrast, coupled knee valgus rotation under 20 Nm of internal tibial torque was significantly correlated with lateral tibial slope ($R^2=0.38$; $p=0.005$), medial tibial slope ($R^2=0.54$; $p<0.001$) and medial tibial depth ($R^2=0.32$; $p=0.011$); Fig. 5. Greater coupled knee valgus was observed in knees with steeper posterior slope of the tibial plateau (both lateral and medial) and with flatter medial tibial plateau (lower medial tibial depth).

Fig. 3. Changes in knee valgus rotation (A), internal tibial rotation (B) and ACL strain (C) under 0–50 N m of knee valgus and 0–20 N m of internal tibial torques along with comparisons between tibiofemoral frontal and axial rotations and ACL strain under 50 N m of knee valgus and 20 N m of internal tibial torques (D).

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<tr>
<th>Variables</th>
<th>Loading</th>
<th>Model coefficients</th>
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<td>Dependent</td>
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<td>Coupled valgus rotation</td>
<td>Internal tibial rotation</td>
<td>20 N m of internal tibial torque</td>
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<td>Coupled valgus rotation</td>
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<td>Coupled valgus rotation</td>
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<td>Coupled valgus rotation</td>
<td>Medial Tibial Depth (MTD)</td>
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<td>Coupled internal tibial rotation</td>
<td>Valgus rotation</td>
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<td>Coupled internal tibial rotation</td>
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<td>Coupled internal tibial rotation</td>
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* Slope (95% confidence intervals).

Table 1
Regression coefficients for univariate linear regression models.

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4. Discussion

A more complete understanding of the complex ACL injury mechanisms and associated risk factors is instrumental to improvement of prevention strategies aimed to reduce injury risk. Despite strong evidence supporting the knee valgus and internal tibial torques as integral components of multi-planar ACL injury mechanism, the mechanism through which these loadings interact, and jointly result in increased ACL loading, is not well understood. Thus, a combination of cadaveric experiments and imaging were used to investigate the anatomical coupling between knee valgus and internal tibial rotation and determine how they affect ACL strain as a measure of injury risk. Data revealed uni-directional coupling between these two rotations and demonstrated that knee valgus rotation is tightly coupled to internal tibial rotation under externally applied tibial torque. Conversely, minimal coupled internal tibial rotation was observed under knee valgus torque. These results further support our first hypothesis that knee valgus rotation most significantly impacts ACL strain, whether the knee is loaded under a valgus or internal tibial torque. Findings also support our second hypothesis that there is significant correlation between coupled knee valgus rotation, and posterior slope of the tibial plateau (medial and lateral) and the concavity of the medial tibial plateau.

4.1. Uni-directional coupling between knee valgus and internal tibial rotation

As expected, significant increases in knee valgus and internal tibial rotation were observed under externally applied knee valgus and internal tibial torques, respectively. In addition to these observed in-plane rotations, the applied internal tibial torque generated a significant coupled knee valgus rotation. Under 20 Nm of internal tibial torque, greater degrees of coupled knee valgus rotation were observed in knees that demonstrated higher internal tibial rotations. Moreover, similar average knee valgus rotations (7.3° vs. 7.4°) were observed under 50 Nm of knee valgus or 20 Nm of internal tibial torque. This observed coupling might be associated with the interaction between the meniscus and the contour of the tibial plateau with the femoral condyles. The articular surfaces of the tibiofemoral joint consist of many unique features that play an important role in knee joint biomechanics (Hashemi et al., 2008; Sturnick et al., 2015). In particular, it has been demonstrated that the geometry of the tibial plateau has a direct influence on tibiofemoral joint translation, the location of instantaneous center of rotation, the screw-home mechanism and ACL strain (Lipps et al., 2012; McLean et al., 2010, 2011; Simon et al., 2010). The posterior slope of the tibial plateau (anterior elevation higher than posterior elevation) is one of the most widely studied morphological features of the tibia with respect to ACL loading and injury risk (Beynnon et al., 2014; Lipps et al., 2012; McLean et al., 2011; Sturnick et al., 2015). Previous studies have linked increased ACL strain and risk of injury in individuals with higher medial and lateral tibial slopes (Beynnon et al., 2014; Hashemi et al., 2010; Lipps et al., 2012; McLean et al., 2011). Smaller medial tibial depth, indicating a flatter medial tibial plateau, has also been associated with increased ACL injury risk (Hashemi et al., 2010; Sturnick et al., 2015). Moreover, posterior slope of the tibial plateau has also been associated with landing induced anterior tibial shear force (McLean et al., 2011) and acceleration (McLean et al., 2011), knee valgus (McLean et al., 2010) and internal tibial (McLean et al., 2010; Simon et al., 2010) rotations.

Under applied internal tibial torque, the lateral femoral condyle translates posteriorly (Freeman and Pinskerova, 2003; Simon et al., 2010), which results in inferior translation of lateral condyle due to the posterior slope of the lateral tibial plateau. The externally applied internal tibial torque will also result in anterior translation of the medial femoral condyle relative to the medial tibial surface (Freeman and Pinskerova, 2003; Simon et al., 2010), which is coupled with superior medial condylar translation resulting from the posterior slope of the medial tibial plateau. Coupled inferior and superior translations of the lateral and medial femoral condyles result in a valgus knee alignment under internal tibial torque. This is supported by direct correlations between the coupled...
knee valgus rotation and posterior tibial slope across both the medial and lateral compartments. Additionally, a significantly greater coupled valgus rotation was observed in knees with flatter medial tibial plateau (lower medial depth). A flatter medial tibial plateau may facilitate anterior translation of the medial femoral condyle, thus generating greater coupled valgus rotation under externally applied internal tibial torque. In contrast, insignificant internal tibial rotation was generated under knee valgus torque. While this finding may appear counterintuitive, externally applied knee valgus torque predominantly results in medial joint distraction. This occurs in the absence of anterior–posterior translations of femoral condyles against the sloped tibial plateau, and thus, results in minimal coupled internal tibial rotation.

4.2. Change in ACL strain due to knee valgus rotation

Interestingly, similar mean ACL strain levels (4.4% vs. 4.9%) were observed under either 50 Nm of knee valgus or 20 Nm of internal tibial torque. The fact that similar knee valgus rotations and ACL strain levels generated under these torques indicates that ACL strain is mainly mediated by knee valgus rotation, regardless of the mechanism by which this valgus motion was generated. Knee valgus (Fukuda et al., 2003; Levine et al., 2013; Quatman et al., 2014; Shin et al., 2011; Withrow et al., 2006) and internal tibial (Meyer and Haut, 2008; Oh et al., 2012; Quatman et al., 2014; Shin et al., 2011) torques have been associated with increased ACL loading and potential risk of injury, particularly under dynamic impacts at shallow knee flexion angles. The findings of the current study and the established role of knee valgus and internal tibial torques on ACL loading indicate a direct causal relationship between the knee valgus rotation and ACL injury risk. This is supported by in vivo biomechanical and video analyzes that show increased risk and/or frequency of non-contact ACL injuries in the presence of knee valgus rotation (Hewett et al., 2005; Koga et al., 2010; Krosshaug et al., 2007; Olsen et al., 2004). A prospective study by Hewett et al. (2005) demonstrated that subjects who subsequently went on to ACL injury had greater knee valgus angles at initial contact and at peak valgus torque compared to uninjured controls. Koga et al. (2010) showed significant knee valgus rotation within 40 ms of initial contact in 10 cases of non-contact ACL injuries during handball and basketball utilizing model-based image matching techniques. These findings are in agreement with those of Olsen et al. (2004) and Krosshaug et al. (2007) who reported dynamic knee valgus collapse as the most common mechanism for ACL injury in handball and basketball, respectively. A similar concept has been previously described by Stergiou et al. (1999) with regards to potential role of lack of coupling and coordination between subtalar and knee joints rotation in injuries during running.

4.3. Study limitations

As with any experimental study, this study has its inherent limitations. Potential differences in tissue properties associated with cadaveric specimens compared with the in vivo tissue properties of young athletes might affect accuracy of the absolute reported values. This factor was minimized through the selection of relatively young specimens. The effect of knee flexion angle on observed anatomical coupling was not evaluated as all the specimens were tested at 25° of knee flexion. Yet, this flexion angle is within the reported range of in vivo ACL injury (Koga et al., 2010). ACL strain was represented by local strain measurements across the AM-bundle. However, the attachment of a second DVRT to the posterolateral bundle of the ACL would have compromised the posterior joint capsule and lead to potential measurement artifacts (Bach and Hull, 1998). The choice to place a single DVRT on the ACL AM-bundle was based on previous work that found AM-bundle strain to be representative of overall ACL strain (Markolf et al., 1990). Finally, specimens were only tested under non-injurious loading magnitudes (Shin et al., 2011) in a uni-planar and quasi-static manner. Further studies are needed to investigate the nature of the observed anatomical coupling and its role on ACL loading under multi-planar dynamic loading conditions with greater loading magnitudes and more realistic representation of muscle loads, simulating the injurious event. Care was taken to understand these limitations during interpretation of the findings.

5. Conclusions

To the authors’ knowledge, this is the first study of the complex interrelationships between tibiofemoral anatomy, frontal and axial plane rotational coupling, and ACL strain. The current findings support our hypotheses and highlight the role of knee valgus rotation, irrespective of the mode of loading, in ACL strain and potential injury risk. Overall, the results of this study help to explain the high incidence of knee valgus collapse at the time of non-contact ACL injury, as reported in prior in vivo studies. Improved understanding of the mechanism of non-contact ACL injury may provide insight that would improve current, and develop new, prevention and rehabilitation strategies, therein limiting the risk of these devastating injuries. Prevention strategies that neglect to address the multi-planar injury mechanisms may not be optimally suited to reduce ACL injury incidence. Intervention programs that address multiple planes of loading are needed to effectively mitigate the risk of ACL injury, in turn minimizing associated long-term clinical sequelae associated with these injuries, in particular, the devastating consequences of posttraumatic knee osteoarthritis.

Conflict of interest

There are no conflicts of interest.

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