The biabsolutive construction in Lak and Tsez

Annie Gagliardi a, Michael Goncalves a, Maria Polinsky a,*, Nina Radkevich b

a Harvard University, USA
b University of York, United Kingdom

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Abstract
In ergative constructions, the agent of a transitive verb is in the ergative case and the theme is in the absolutive case. By contrast, in biabsolutive constructions, both the agent and theme of a transitive verb appear in the absolutive case. This paper presents and analyzes the biabsolutive construction in two Nakh-Dagestanian languages, Lak and Tsez. Despite many surface similarities, the biabsolutive constructions in Lak and Tsez call for different syntactic analyses. We argue that the biabsolutive construction in Lak is an instance of restructuring in the presence of an aspectual head bearing a progressive (imperfective) feature. Tsez biabsolutive constructions, on the other hand, are biclausal; we argue that the theme and the lexical verb are contained in a PP complement selected by a light verb. Related languages may be classified as "Lak-type" or "Tsez-type" based on the behavior of their biabsolutes. The existence of two underlying structures for one surface pattern in Nakh-Dagestanian poses a learnability problem for a child acquiring a language with biabsolutive constructions. We outline a set of strategies used by a learner who must compare the available input data with a set of structural hypotheses. © 2014 Elsevier B.V. All rights reserved.

Keywords: Ergative; Absolutive; Biabsolutive; Noun class agreement; Restructuring; Separate clausal domains; Structural ambiguity; Control; Nakh-Dagestanian languages; Lak; Tsez

1. Introduction

In a number of Nakh-Dag(h)estanian (ND) languages, a contrast exists between transitive constructions in which the subject (the agent of the event) is in the ergative case and the object (theme) is in the absolutive, (1), and constructions in which both core arguments appear in the absolutive case, (2).

(1) A-li-l čawaxulu t’i’ilaj b-ur.
Ali-ERG window.III.SG.ABS III.open.PROG III-AUX
‘Ali is opening a/the window.’

1 Unless otherwise indicated, language examples are from the authors’ field notes. The abbreviations used in the glosses follow the Leipzig glossing rules. Additional abbreviations: AOR – aorist, ASSRT – assertive, CONTR – contrastive, EVID – evidential, GER – gerund, MDR – masdar, NONEVID – non-evidential, OS – oblique stem, POT – potential. Roman numerals in the glosses indicate noun class agreement, and Arabic numerals indicate person agreement. Because noun class agreement occurs only with the absolutive, we indicate only the class of absolutive arguments in the glosses.

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The latter construction is known as the biabsolutive (see Forker, 2012 for an overview) or binominative (Kibrik, 1975). These differences in case marking are accompanied by different agreement patterns: in (1), both verbs agree with the absolutive theme, while in (2), the auxiliary ‘be’ agrees with the agent absolutive.

This paper presents and analyzes the biabsolutive construction in two ND languages, Lak (lbe) and Tsez (also known as Dido, ddo). In choosing to examine these particular languages, we pursue two related goals. Our first goal is descriptive. The biabsolutive construction is widely attested in ND languages; with the exception of some Lezgic languages such as Rutul, Kryz, Budukh (Forker, 2012), and Tabasaran (Natalia Bogomolova, p.c.), all languages of the family exhibit this construction. However, except for Archi (Kibrik, 1975) and Avar (Harris and Campbell, 1995), there are few detailed descriptions of the construction in individual languages. By focusing on Lak and Tsez in greater detail, we hope to simulate similar descriptive work within other languages of the family.

Our second and principal goal is to develop a syntactic analysis of the biabsolutive construction. We use the comparison between Lak and Tsez to demonstrate that superficial similarities between biabsolutive constructions in different languages may actually mask different syntactic structures. We conclude that the syntactic structure of the biabsolutive construction varies across ND languages; however, within a particular language, the construction receives a consistent analysis that connects all the surface properties in a principled way. This analysis breaks from Forker’s (2012) proposal, in which a prototypical biabsolutive construction is characterized by a cluster of properties, each of which a given member of the family may or may not exhibit.

The paper is organized as follows. In section 2, we present a brief overview of the syntax of Lak and Tsez and introduce the main properties of biabsolutive constructions in these two languages. In section 3, we propose an analysis of certain case and agreement facts in Lak and Tsez that will serve as a basis for our account of the biabsolutive construction. In sections 4 and 5, we propose that Lak and Tsez biabsolutives require two different analyses. In particular, we argue in section 4 that Lak biabsolutives have a monoclausal structure; we attribute the appearance of the second absolutive-marked argument in the Lak construction to the lack of an [erg] case feature and the concomitant presence of an additional aspectual feature on the v head. By contrast, in section 5 we propose that Tsez biabsolutives involve a PP complement selected by a light verb. The latter analysis is similar to the analysis of biabsolutive constructions offered for Basque (Laka, 2006); we discuss the parallels between the Tsez and Basque analyses in section 6. In section 6, we also evaluate the pseudo noun incorporation analysis of the biabsolutive construction proposed by Forker (2009, 2012) against the data from Lak and Tsez introduced in this paper, and show that Forker’s analysis cannot fully capture all the facts. In section 7, we take up several outstanding issues, concluding that at least some restrictions on biabsolutive constructions are semantic, rather than syntactic, in nature. The existence of multiple possible syntactic structures underlying a superficially similar biabsolutive construction in different languages introduces a potential learnability problem, which we also address in section 7. Section 8 presents our conclusions.

2. Overview of Lak and Tsez clause structure

Nakh-Dagestanian, also known as Northeast Caucasian, is a family of languages spoken in the northern Caucasus region of the Russian Federation, between the Caucasus Mountains and the Caspian Sea. The family includes the Nakh branch (Chechen, Ingush, and Batsbi) and the Dagestanian languages, which include several genetic subgroups. In this paper, we specifically investigate two of these languages. Lak alone constitutes the Lak subgroup of the family; it is spoken by roughly 153,000 people, mostly living in the Republic of Dagestan, with smaller groups of speakers in Central Asia, Azerbaijan, Ukraine, and Turkey (Lewis et al., 2013). The Lak data presented in the paper were collected from speakers of the Kumukh dialect. Tsez belongs to the Tsezic subgroup of the Dagestanian languages and is spoken by 12,500 people in the Tsuntinsky District in the Republic of Dagestan, as well as in Georgia and villages along the Caspian Sea (Lewis et al., 2013); heritage speakers of Tsez also live in Turkey.

ND languages are quite diverse but share a number of similarities, including head-final characteristics, morphological ergativity, and verbal agreement in noun class (grammatical gender) with the absolutive argument. In section 2.1, we discuss these properties in detail, as they are important for understanding biabsolutive constructions. In section 2.2, we introduce key facts about the biabsolutive construction, including the case and agreement patterns, interpretive differences between biabsolutive and ergative constructions, and the apparent optionality of biabsolutes.
2.1. Basics of Lak and Tsez grammar

2.1.1. Morphology and word order

Lak and Tsez are both ergative languages – i.e., languages in which the subjects of intransitive verbs pattern with the objects of transitive verbs in terms of agreement – as illustrated in examples (3)–(4) from Lak and (5)–(6) from Tsez. Both languages are head-final, exhibiting postpositions and prenominal relative clauses. Like other ND languages, they are heavily pro-drop: both core arguments are often omitted.²

   father.IABS I-got.up
   ‘Father got up.’ (Kibrik, 2003:466)
   mother.IIABS II-got.up
   ‘Mother got up.’

   father-ERG mother.IIABS II-protect.PROG II-AUX
   ‘Father protects/is protecting mother.’
   mother.II-ERG father.IABS I-protect.PROG I-AUX
   ‘Mother protects/is protecting father.’

(5) a. Tušman Ø-ay-s(i)³
   enemy.IABS I-come-PST.EVID
   ‘The enemy came.’
   b. ĬAl b-ay-s(i).
   strength.IIIABS III-come-PST.EVID
   ‘Strength increased (came).’

(6) a. Už-ā kid y-iqir-si.
   boy-ERG girl.IIABS II-catch-PST.EVID
   ‘The boy caught the girl.’
   b. Kid-bā uži Ø-iqir-si.
   girl-ERG boy.IABS I-catch-PST.EVID
   ‘The girl caught the boy.’

Despite their head-final nature, Lak and Tsez show relatively free word order in the matrix clause, as illustrated in (7) for Lak; see Comrie et al. (1998), Polinsky and Potsdam (2001, 2002) for Tsez.

   Ali-ERG money.IVABS son-OS-LAT send IV-leave.PROG IV-AUX
   ‘Ali sends money to his son.’
   Ali-ERG son-OS-LAT send IV-leave.PROG IV-AUX money.IVABS
   ‘Ali sends money to his son.’
   money.IVABS Ali-ERG son-OS-LAT send IV-do.PROG IV-AUX

² Statistics on argument drop in Lak and Tsez are not presently available. However, in Avar, a related ND language, intransitive subjects are dropped 47% of the time, transitive subjects are dropped 70% of the time, and absolutive objects are dropped 5% of the time (Polinsky et al., 2012).
³ Depending on the dialect, the final vowel may be omitted from verb forms whose stem ends in a glide; we indicate this variation by placing the optional vowel in parentheses. See Bokarev (1959:209), Imnajsˇvili (1963:24–27, 177–183), and Comrie (1997, 2001).
⁴ The Lak verb ‘send’ is a complex verb, which consists of two parts: tajla, a short form of the adjective tajlas:a ‘straight, even’, and (d)uk:an ‘leave (intransitive)’ (Khaidakov, 1962:259–260).
Embedded clauses, on the other hand, exhibit a rigid verb-final word order. Consider the contrast between (7) and (8) in Lak; see Polinsky and Potsdam (2001, 2002) for data from Tsez.5

(8) a. Rasul-l-ul buwsuni, [Aʕli-l puʕ run γawγişwu].
Rasul-OS-ERG said, Ali-ERG glass.IV.ABS broke.IV
'Rasul said that Ali broke the window.'

b. Rasul-l-ul buwsuni, [puʕ run Aʕli- l γawγişwu].
Rasul-OS-ERG said, glass.IV.ABS Ali-ERG broke.IV

c. *Rasul-l-ul buwsuni, [Aʕli-l γawγişwu puʕ run].
Rasul-OS-ERG said, Ali-ERG broke.IV glass.IV.ABS

d. *Rasul-l-ul buwsuni, [γawγişwu Aʕli-l puʕ run].
Rasul-OS-ERG said, broke.IV Ali-ERG glass.IV.ABS

Both languages have a rich system of (finite and non-finite) verbal forms; we will not describe them comprehensively, focusing only on those forms that are relevant for the discussion below. In Lak, different tense–aspect–mood distinctions are expressed through morphophonological alternations, such as vowel changes in the stem, reduplication, and inflexion (see Khaidakov, 1966; El'darova, 1995; Sylak, 2008). For alternations in Tsez verb stems, see Bokarev (1959:203–217), Imnajsˇvili (1963:163–183), Comrie (1997), and Comrie et al. (1998).

2.1.2. Agreement
ND languages vary with respect to the number of noun classes (genders) they preserve,6 from two in Tabasaran to eight in Batsbi. In Lezgian (Haspelmath, 1993), Aghul (Magometov, 1970), Udi (Harris, 2002), and some dialects of Tabasaran (Magometov, 1965), noun classes are absent altogether. Both Lak and Tsez have four noun classes. Their noun class systems reflect a mix of gender, animacy, and number features (for discussion, see Polinsky and Jackson, 1998; Gagliardi and Lidz, 2014; Plaster et al., 2013).

Tables 1 and 2 show Lak and Tsez class exponents, which are revealed in agreement. Lak agreeing forms differ with respect to inflection for noun class: some verbs take prefixes, while others take infixes (Khaidakov, 1966). Tsez verbs and adjectives always take agreement prefixes. However, not all verbs/adjectives show overt class agreement. The basic generalization is that class agreement prefixes never surface on verbs/adjectives with initial consonants; agreement is overtly marked only on a subset of vowel-initial verbal/adjectival stems, though the conditions blocking agreement on some vowel-initial stems remain unclear (see Kibrik and Kodzasov, 1988; Comrie et al., 1998, for some considerations regarding possible blocking factors).

Both lexical and auxiliary verbs show noun class agreement only with absolutive arguments.7

2.1.3. Clauses with one-place and two-place predicates
This section presents an overview of case marking in intransitive and transitive clauses. Within Tsez intransitive verbs, unergatives can be distinguished from unaccusatives in that only unergatives form iteratives (see Comrie and Polinsky, 1999b, and see example (51)). Diagnostics for unaccusativity in Lak are not known, but we present some examples that follow the typical semantics for unergatives (9) and unaccusatives (10). Regardless of putative unaccusativity, all intransitive verbs take an absolutive argument and show surface agreement with that argument if the morphophonological factors cooperate; thus, there is no split intransitive marking.

(9) Na Ø-izlaj Ø-ur.
1SG.I.ABS I-get.up.PROG I-AUX
'I am getting up.'
Table 1
Lak noun class agreement markers (Sylak, 2008:13).

<table>
<thead>
<tr>
<th>Class, singular</th>
<th>Prefix</th>
<th>Infix</th>
<th>Class, plural</th>
<th>Prefix</th>
<th>Infix</th>
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<tr>
<td>I</td>
<td>Ø-</td>
<td>-w-</td>
<td>I, II, III</td>
<td>b-</td>
<td>-w-</td>
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<tr>
<td>III</td>
<td>b-</td>
<td>-w-</td>
<td>IV</td>
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<td>II, IV</td>
<td>d-</td>
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Table 2
Tsez noun class agreement markers (Polinsky and Jackson, 1998).

<table>
<thead>
<tr>
<th>Class, singular</th>
<th>Prefix</th>
<th>Class, plural</th>
<th>Prefix</th>
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<td>IV</td>
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(10) Ninu d-awxun-di. mother.II.ABS II -fell-3
‘Mother fell down.’

(11) Uži c’ok’inay-s(i). boy.I.ABS swear-PST.EVID
‘The boy swore.’

(12) Eniy y-izi-s. mother.II.ABS II -rise-PST.EVID
‘Mother rose to her feet.’

Two-place predicates form three main types of constructions: ergative (transitive), affective (sometimes referred to as the ‘dative construction’), and biabsolutive (see Comrie, 2000; Comrie and van den Berg, 2006 for an overview). The ergative construction was illustrated in examples (4) and (6): the higher argument receives ergative case, the lower argument receives absolutive case, and both lexical and auxiliary verbs agree with the absolutive argument. In the affective construction, the higher argument is marked with the dative or locative case and bears an experiencer (affectee) theta role; such constructions are commonly found with psych-verbs and with potential/optative verb forms. In the affective construction, as in the ergative construction, the verb agrees with the absolutive argument. This is shown in (13) and (14).

(13) T:u-n ga k:awk:-un-di. Lak
1SG-DAT he.I.ABS i.see-AOR-3
‘I saw him.’ (Kibrik, 2003:465)

(14) Kid-ber uži Ø-eti-x. Tsez
girl-DAT boy.I.ABS i-like/love/want-PRS
‘The girl loves the boy.’

Lexical and auxiliary verbs agree in noun class with the absolutive argument, but never with the dative or ergative argument.

2.1.4. Ergative and dative in the vP structure
Data from binding and control illustrate that ergative arguments are structurally higher than absolutive objects. In the following pair of sentences from Lak, ergative arguments can bind absolutive anaphors, but not vice versa.8

(15) a. Rasul-l-ul i (calal) cuwa i awt:-un-ni. Lak
Rasul-OSS-ERG self.ERG self.I.ABS i.beat-PST-3
‘Rasul beat himself up.’

b. *(Calal) calal Rasul_l awt:-un-ni. self.ERG self.ERG Rasul.ABS i.beat-PST-3

8 For similar facts on Tsez binding, see Comrie and Polinsky (1999c) and Polinsky and Comrie (2003).
In Tsez, all reflexive forms must be compounds (in contrast, the compound form in Lak is optional, as shown in (15)a). The first part of the compound reflexive is homophonous with the ergative, as in Lak. The second part matches the case of the bound argument (Polinsky and Comrie, 2003). Ergative reflexive forms of the type illustrated in (16) simply do not exist.

(16) a. Rasul-āi nes-ā že_i žek'-si. 
   Rasul-ERG [self-ERG self-ABS].REFL.ABS hit-PST.EVID
   'Rasul beat himself up.'

   b. *nes-ā nes-āi Rasul_i žek'-si. 
   [self.ERG self-ERG].REFL.ERG Rasul.ABS hit-PST.EVID

Under obligatory control, only the ergative argument (and, if volitionality can be inferred, the dative argument) of a transitive verb can be targeted (see Polinsky and Potsdam, 2002 for a detailed discussion). Superiority effects also point to a higher structural position for the ergative (Polinsky and Potsdam, 2001:631).

With respect to dative arguments, we need to distinguish between dative experiencer subjects and all other dative arguments. The former appear in the affective construction, where the dative experiencer argument combines with a psych-verb predicate, as illustrated in (15) and (16). Lak and Tsez differ with respect to the binding relationship between the dative and the absolutive in the affective construction. In Lak, the dative experiencer argument behaves like the ergative: it can bind absolutive anaphors, but not be bound by them (17). In Tsez, however, the dative and absolutive can bind one another in either direction, as shown in (18) (see also Comrie and Polinsky, 1999c; Polinsky and Comrie, 2003).

   father.I-DAT REFL.I.ABS in.mirror I.saw-3
   'Father saw himself in the mirror.'

   father.I.ABS REFL -DAT.I in.mirror I.saw-3

(18) a. Irbahin_i nes-ā nesi-r_i Ø-eti-x-ānu. 
   'Ibrahim does not like himself.'

   b. Irbahin-er_i nes-ā že_i Ø-eti-x-ānu.
   'Ibrahim does not like himself.'

The alternation illustrated in (18)a,b is only found with psych-verbs in Tsez. Based on these facts, we suggest that Tsez (but not Lak) permits the experiencer theta role of a psych-verb to map to either the dative or the absolutive argument. Such differential mapping is reminiscent of the familiar fear/frighten alternation in English (Belletti and Rizzi, 1988, a.o.), where the experiencer can map to either the subject position (fear) or to the object position (frighten). In each case, the logic of the comparison is the same: the experiencer argument is either in a relatively high structural position (from which it can bind the absolutive) or in a lower structural position, that of an internal argument. The differential mapping of the experiencer argument in Tsez will not come into play in the discussion below, so the structure we propose in (20) is sufficient for our purposes.

To summarize, the ergative argument is structurally higher in the derivation than the absolute argument, as schematized in (19).

(19) 

The dative used with psych-verbs, an inherent case whose use is limited to a handful of predicates, is licensed in the same position as the ergative. The structure in (19) captures the Lak binding facts in (17) and the Tsez binding facts in (18)a; we assume that dative subjects, which occur in complementary distribution with ergative subjects, are always licensed in spec, vP in Lak, and are licensed in that position for the fear-type verbs in Tsez.
Dative objects in ND languages cannot bind the ergative, but can be bound by it, as shown in (21) and (22) for Lak and Tsez, respectively.

   Lak  
   3SG-OS-ERG REFL-DAT.III hat.III.ABS III.buy-3  
   ‘He bought himself a hat.’ (Kibrik, 2003:477–478)  

   REFL-ERG.III 3SG-OS-DAT hat.III.ABS III.buy-3

(22) a. Uz-ā i nes-ā nesi-ɬ ni r-is-si.  
   Tsez  
   boy-ERG [self-ERG self-DAT].REFL.DAT ice-cream.ABS IV-buy-PST.EVID  
   ‘The boy bought himself ice cream.’  

b. *uži-ɬ ni nes-ā nes-ā marožni r-is-si.  
   boy-DAT [self-ERG self-ERG].REFL.ERG ice-cream.ABS IV-buy-PST.EVID

Similarly, dative objects can bind the absolutive but cannot be bound by it, as shown below (this binding asymmetry does not depend on the order of the two objects):8

(23) a. Eni-ā šibaw uži-ɬ nesi-ɬ nesi-si k’etu teɬ-si.  
   Tsez  
   mother-ERG each cat. ABS [self-ERG self-GEN1].REFL.GEN1 cat.ABS give-PST.EVID  
   ‘Mother gave each cat to its boy-owner.’

b. *eni-ā šibaw k’etu neɬi neɬo-zi uži-r teɬ-si.  
   mother-ERG each cat.ABS [self-ERG self-GEN2].REFL.GEN2 boy-DAT give-PST.EVID

These facts indicate that dative objects are licensed in a lower vP, as is standardly assumed for ditransitives (Larson, 1988, 1990); the structure in (24) straightforwardly accounts for the binding facts in (22) and (23):

(24)

As mentioned above, Tsez has four noun classes, but Tsez reflexives only distinguish between class I (male referents) and all other classes (II–IV); see Polinsky and Comrie (2003:271). In (23)a, the reflexive belongs to class I because uži ‘boy’ is class I. In (23)b, the reflexive could be coindexed with either the object ‘cat’ (class III) or the subject ‘mother’ (class II). The irrelevant reading (where the reflexive is coindexed with ‘mother’) is possible, but the crucial reading where the reflexive is coindexed with the object ‘cat’ is impossible.
2.2. The biabsolutive construction

Besides the transitive constructions discussed above, where the highest argument is either dative- or ergative-marked and the lower argument is absolutive, most ND languages have a biabsolutive construction, where both arguments are marked with the absolutive. In this section, we present the core properties of this construction.

2.2.1. Ergative vs. biabsolutive

The biabsolutive has a different case and agreement pattern from the canonical ergative construction. Consider the following sentences: (25)a is an example of a regular ergative construction in Lak; in (25)b, however, the agent is marked with the absolutive rather than ergative case. The two sentences in (26) illustrate the same ergative/biabsolutive contrast for Tsez.

(25) a. \( \text{Ali-ERG house.III.ABS III-do.PROG III-AUX} \) ‘Ali is building a house.’
   b. \( \text{Ali.I.ABS house.III.ABS III-do.PROG I-AUX} \) ‘Ali is building/in the state of building a house.’

(26) a. \( \text{Ali-ERG house.IV.ABS IV-make-PST.EVID} \) ‘Ali built a house.’
   b. \( \text{Ali-I.ABS house.IV.ABS IV-make-XO I-stay-RES be-PST.EVID} \) ‘Ali was building/was in the state of building a house.’

Agreement in the biabsolutive construction also differs from that found in the ergative. It is the absolutive DP that controls agreement in the ergative and dative constructions, while dative and ergative arguments can never participate in class agreement. In the biabsolutive construction, on the other hand, the lexical verb shows agreement with the lower absolutive argument (the theme), while auxiliary verbs agree with the higher absolutive (the agent or agent-like argument). Combining all these facts, the case and agreement patterns for ND are illustrated in (27): agreement on the lexical verb is controlled by the theme (in a box), while the auxiliary shows agreement with the agent (underlined).

(27) \( \text{man.I.ABS food.IV.ABS IV-eat-XO I-stay-RES be.PRS} \) ‘Ali was building/was in the state of building a house.’

The meanings of (28) and (29) are similar; however, the second sentence emphasizes the fact that the agent referent is in the state of building the house and that this state of affairs has an effect on the agent. Both sentences are perfectly grammatical, which means that the imperfective/progressive is compatible with both constructions.

The ergative/dative and biabsolutive constructions also contrast with respect to clause specifications for tense–aspect–mood (TAM): ergative/dative constructions are available in all TAM combinations, whereas the biabsolutive construction only occurs in the imperfective or progressive aspect. However, biabsolutives are not obligatory in Lak and Tsez progressives. Consider the following minimal pair.

(28) \( \text{Ali-ERG house.III.ABS III-do.PROG III-AUX} \) ‘Ali is building the house.’
   (29) \( \text{Ali.I.ABS house.III.ABS III-do.PROG I-AUX} \) ‘Ali is in the state of building a house (=house-building currently affects his life).’

The meanings of (28) and (29) are similar; however, the second sentence emphasizes the fact that the agent referent is in the state of building the house and that this state of affairs has an effect on the agent. Both sentences are perfectly grammatical, which means that the imperfective/progressive is compatible with both constructions.

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10 In cases involving multiple auxiliaries, all auxiliaries agree with the highest absolutive-marked argument, as shown in (i).

(i) \( \text{Amma harajzu ... duš cama-na-n b-ulun čaj Ø-us:a Ø-ur} \) ‘They say that the mill owner wants to give the girl away to another man.’
   \( \text{Lak} \) (Kazenin, 2013:61)

11 Kazenin (1998) shows that Lak also allows biabsolutives in perfective contexts when a completed event is viewed as having an effect on or consequences for the agent.
Besides the properties discussed above, the biabsolutive is also distinguished from the ergative based on certain pragmatic properties. In particular, Forker (2012) shows that animate subjects are preferred in the biabsolutive construction, while no animacy restriction on agents is found in the ergative construction. In addition, the biabsolutive construction denotes a state of affairs that primarily affects the agent (according to Forker, 2012:80, the "agent is the semantic centre of the construction"). Researchers also suggest that typical functions of the biabsolutive construction include emphasis on the state of the agent and concomitant patient demotion (Kazenin, 1998; Forker, 2012). The foregrounding of the agent referent (what Forker refers to as "agent focusing") goes hand-in-hand with the perception of the agent as affected by the ongoing state of affairs denoted by the construction. In our analysis, we will concentrate on the structural aspects of the biabsolutive construction; as a result, some of these interpretive properties will not be fully explored.

2.2.2. Contrasts between Lak and Tsez with respect to biabsolutives

Despite the similarities presented above, there are several clear differences between Lak and Tsez biabsolutives – namely, the morphology of the predicate, the range of constructions that alternate with the biabsolutive, and the possibilities for extraction.

2.2.2.1. Morphology of the predicate. The first contrast between the Lak and Tsez biabsolutives has to do with the morphological build-up of the predicate in this construction. In both languages, tense and aspect can be encoded synthetically, within the verb form, or analytically, with the use of auxiliaries.\footnote{12} Compare the Lak synthetic verb form in (3) to the Lak analytic form in (1)–(2). The synthetic form in Tsez was illustrated in numerous examples above, including (5), (6), (18), and (22)a; the analytic form is shown in example (30), where the auxiliary ‘be’ (past tense stem zow-) combines with the imperfective gerund.\footnote{13}

\begin{verbatim}
(30) Už-ā kid k’ik’ek’-xo zow-s(i).
    boy-ERG girl.II.ABS tease-GER be-PST.EVID
   'The boy was teasing/ridiculing the girl.'
\end{verbatim}

The gerundial form in (30) is particularly relevant for our discussion because it is homophonous not only with the present tense finite form ending in -x(o) (Bokarev, 1959:210ff.; Imnajsˇvili, 1963:176–179), but also with the form found in the biabsolutive construction. We defer further discussion of this form until section 5.

In Lak, the predicates of the ergative and biabsolutive constructions can exhibit the same morphological patterns (modulo differences in agreement). Compare the synthetic TAM form in the ergative clause in (31)a, to the biabsolutive one in (31)b:

\begin{verbatim}
    3SG-OS-ERG 1SG.I.ABS catch.I.PROG-ASSRT-1SG
   'He is catching me.'

b. Ga na uhlahi-s:a-r.
    3SG.ABS 1SG.I.ABS catch.I.PROG-ASSRT-3SG
   'He is catching me.' (Kazenin, 2013:59)
\end{verbatim}

In Tsez, on the other hand, the predicate of the biabsolutive construction is always analytic. Thus, (32)a is ungrammatical. The biabsolutive predicate contains three parts: a lexical verb ending in --xo, which agrees with the theme absolutive\footnote{14}; the resultative participle of the verb --iča 'stay', which agrees with the agent absolutive; the auxiliary (which can be omitted).\footnote{15} No agreement occurs on the consonant-initial auxiliary; recall that only vowel-initial stems take agreement prefixes in Tsez.

\begin{verbatim}
(32) a. Už-ā kid k’ik’ek’-xo zow-s(i).
    boy-ERG girl.II.ABS tease-GER be-PST.EVID
   'The boy was teasing/ridiculing the girl.'

b. Už-ā kid k’ik’ek’-x(o).
    boy-ERG girl.II.ABS tease-GER be-PST
   'The boy was teasing/ridiculing the girl.'
\end{verbatim}

\footnote{12} We adopt the terminology used in Kazenin (1998) and Kibrik (2003), among others, which distinguishes between synthetic and analytic TAM forms based on the presence/absence of a free-standing auxiliary. An anonymous reviewer points out that Lak synthetic forms contain suffixes that look identical to the person agreement segments of copulas, thus making the distinction between synthetic and analytic forms less clear. There are different views on this problem; for example, Burčuladze (1979:224–226) uses phonological evidence to suggest that synthetic forms in Lak are synchronically distinct from analytic forms, although diachronically they go back to the same source. Nothing in our analysis hinges on the distinction between the two types of TAM forms of Lak verbs.

\footnote{13} The construction in (30) is monoclausal; it can only have a single negation and single adverbial modification.

\footnote{14} In section 5, we will discuss the status of the form in --xo and show that it is not the same as the gerund in (30), (32)a; for now, we will be simply glossing it as --XO.

\footnote{15} Auxiliaries can be dropped freely anywhere in Tsez, not just in the biabsolutive construction. The resultative participle of --iča can be dropped in sentence fragments, which is why we show it in parentheses in (32).
To summarize, Tsez biabsolutive constructions always have an analytical predicate, whereas Lak allows both synthetic and analytic verb forms in the biabsolutive.

### 2.2.2.2. Alternation with non-biabsolutive constructions

Another distinction between Lak and Tsez has to do with the range of constructions that can alternate with the biabsolutive. Recall that, in addition to the ergative construction, Lak and Tsez each have an affective construction, containing an experiencer subject, and a potential construction, whose subject surfaces in a spatial case.

For at least some speakers of Lak, the affective construction has a possible biabsolutive counterpart, as shown in (33)b (one of our language consultants accepted this sentence, whereas the others rejected it). Lak is rather unusual in this respect; few ND languages allow affective (experiencer) verbs to appear in the biabsolutive construction (see also Forker, 2012:78, 82–83; Kazenin, 2013:67, 197).

(33) a. ʕal-n matematika q:a-durčlaj d-ur.
   Ali-DAT math.IV.ABS NEG—understand.PROG IV-AUX
   ‘Ali does not understand math.’

   b. %ʕal matematika q:a-durčlaj Ø-ur.
   Ali.I.ABS math.IV.ABS NEG—understand.I-AUX
   ‘Ali does not understand math.’

In Tsez, biabsolutive variants of affective constructions with an experiencer subject are impossible:

(34) a. Kid-ber keč’ šuλ’ai-x.
   girl-DAT poem.III.ABS forget-PRS
   ‘The girl forgot the poem.’

   b. *Kid keč’ šuλ’ai-x y-ičă-si (yoł).
   girl.II.ABS poem.III.ABS forget-XO II—stay-RES be.PRS
   (‘The girl is in the state of having forgotten the poem.’)

Likewise, Tsez potential constructions, in which the subject appears in one of the spatial case forms (Comrie, 2000; Comrie et al., 1998), cannot alternate with the biabsolutive:

   this girl-LOC cow.III.ABS lift-POT
   ‘This girl can lift a cow.’

   b. *Yedu kid ziya b-izir-of-xo y-ičă-si (zow-s(i)).
   this girl.II.ABS cow.III.ABS lift-POT-XO II—being be—PST.EVID
   (‘This girl was in the state of being able to lift a cow.’)

The structural analysis of Tsez we present in section 4 will account for this restriction. To account for the variation in Lak, however, we will need to resort to semantic and/or pragmatic considerations; we bring up those considerations in section 7.

### 2.2.2.3. A’-extraction

Lak and Tsez biabsolutes also differ in terms of A’-extraction. In describing this difference, we will rely on three established syntactic properties of ND languages: (i) the clause-bound nature of A’-movement; (ii) the ability of core arguments to undergo A’-movement, leaving a gap at the extraction site; (iii) the acceptability of in situ topicalization marked by topic particles.

---

16 Rudnev (2012) argues that what is traditionally identified as A’-movement is actually A-movement in Avar (we are grateful to an anonymous reviewer for pointing out this work to us). Reconstruction and binding facts indicate that the relevant constructions in Lak and Tsez are instances of A’-movement (see Polinsky and Potsdam, 2001, 2002 for Tsez). Whether or not ND languages show parametric variation in the syntax of wh-questions, relativization, topicalization, and focusing may call for further study, but this question does not affect our discussion.
Clause-boundedness of A’-movement. In both Lak and Tsez, A’ operations are clause-bound: long-distance wh-questions and long-distance scrambling are disallowed, as shown for Lak in (36) and (37); see Polinsky and Potsdam (2001:603) for Tsez.

(36) a. Nit:i-n k’ul-s:a-r-iw, _Lak_
mother-DAT know-ASSRT-PRS-Q
‘Does mother know what Rasul is building?’

b. ‘Cl ni nit:i-n k’ul-s:a-r-iw, Rasul t d-ullaj-s:a-r-iw?
what.IV.ABS mother-DAT know-ASSRT-PRS-Q Rasul.IABS iv-build-ASSRT-PRS-Q
(‘Does mother know what Rasul is building?’)

(37) a. Rasul-lu-l buwsunni, [Ali-l duš-n-in t’ut’iw d-ullushiwu].
Rasul-OS-ERG said Ali-ERG girl-DAT flower.IV.PL.ABS iv-gave
‘Rasul said that Ali gave flowers to the girl.’

b. *duš-n-ini Rasul-lu-l buwsunni, [Ali-l t’ut’iw d-ullushiwu].
girl-OS-DAT Rasul-OS-ERG said Ali-ERG flower.IV.PL.ABS iv-gave
(‘Rasul said that, to the girl, Ali gave flowers.’)

flower.IV.PL.ABS Rasul-OS-ERG said Ali-ERG girl-DAT iv-gave
(‘Rasul said that flowers, Ali gave to the girl.’)

Relativization with a gap. Lak and Tsez allow relativization with a gap in all argument positions (see Polinsky et al., 2012 for Avar, Comrie and Polinsky, 1999a, 1999b, Polinsky and Potsdam, 2001 for Tsez; Kazenin, 2013 for Lak).

(38) a. Žek’-ā bišwa r-ac’-no. _Tsez_
man-ERG food.IV.ABS iv-eat-PST.NONEVID
‘The man ate the food.’

b. [t, bišwa r-ac’-ru] Žek’u_i
food.IV.ABS iv-eat-PTCP man.ABS
‘the man that ate the food’

c. [žeK’-ā t, r-ac’-ru] bišwa_i
man-ERG iv-eat-PTCP food.ABS
‘the food that the man ate’

Marking of information-structural categories. Several ND languages have dedicated topic particles which mark an A-topic in situ. This property is discussed in detail for Tsez in Polinsky and Potsdam (2001:593–597), who show that the topic particles –no and –gon (illustrated in (39)) are subject to island constraints (see also Imnajšvili, 1963:265, 272–273 on the meaning of these particles). As (39) shows, XPs marked with these particles can co-occur in the same utterance.

(39) a. Už-ā-gon keč’ qašix. _Tsez_
boy-ERG-TOP.CONTR song.III.ABS sing.PRS
‘As for the boy, he is singing a song.’

b. Už-ā keč’-gon qašix.
boy-ERG song.III.ABS-TOP.CONTR sing.PRS
‘As for the song, the boy is singing it.’

c. Už-ā-n yedu keč’-gon qašix.
boy-ERG-TOP this song.III.ABS-TOP.CONTR sing.PRS
‘The boy, this song, is singing.’

Lak does not have a topic particle, making it impossible for us to compare the two languages with respect to this property.17

Forker (2012:89) lists Lak among those languages that have “patient-focus/topicalization with particle,” but in her table summarizing different properties of ND biabsolutives, gives both “yes” and “no” values for this property in Lak. Neither our own data nor the available descriptions of Lak (Murkelinskij, 1971; Kazenin, 1998, 2013) include topic particles.

17
With these three properties in mind, let us consider the possibilities for A'-extraction out of biabsolutive constructions in Lak and Tsez. In Lak, A'-extraction works the same way in ergative, dative, and biabsolutive constructions: both core arguments (agent/experiencer and theme) can be scrambled, wh-questioned (with fronting of the wh-word), relativized, and topicalized. The word order in Lak biabsolutes is relatively free; in particular, the theme argument can scramble to a clause-initial position, as in (40)a, or appear to the right of the verb, as in (40)b.

   ‘Ali is building the house.’

   ‘Ali is building the house.’

Both absolutive DPs in the biabsolutive construction can undergo wh-movement and relativization, as shown in (41) and (42), respectively.

(41) a. Cu i tɁ q:at:a b-ullaj Ø-ur?  
   Who.I.ABS house.III.ABS III-do.PROG I-AUX
   ‘Who is building the house?’

   b. Ci i tɁ tɁ b/d-ullaj Ø-ur Aʕli q仔?  
   what.IV.do.PROG I-AUX Ali.I.ABS
   ‘What is Ali building (doing)?’

   c. Ci i Aʕli tɁ b/d-ullaj Ø-ur?  
   ‘What is Ali building?’

(42) a. Admina lu itabaq in-tɁ i-s:a-r.  
   ‘The man will publish a book.’

   b. [tɁ lu itabaq in-tɁ i-s:a] admina  
   the man that will publish a book

   c. [admina tɁ itabaq in-tɁ i-s:a] luɁ  
   the book that the man is going to publish

In contrast to Lak, the biabsolutive construction in Tsez is subject to restrictions on A'-operations. The theme argument cannot scramble, (43), and cannot undergo wh-fronting, (44); in the ergative construction, however, no such restrictions are found.

(43) a. *Ţek'u r-ac'-xo biśwa Ø-ič-āsi (yol).  
   man.I.ABS IV-eat-XO food.ABS I-stay-RES be.PRS
   (‘The man is still in the state of eating (the) food.’)

   b. *Ţek'u r-ac'-xo Ø-ič-āsi (yol) biśwa.  
   man.I.ABS IV-eat-XO I-stay-RES be.PRS food.ABS
   (‘The man is still in the state of eating (the) food.’)

   c. *Biśwa źek'u r-ac'-xo Ø-ič-āsi (yol).  
   food.ABS man.I.ABS IV-eat-XO I-stay-RES be.PRS
   (‘The man is still in the state of eating (the) food.’)

(44) a. Žek'u šebi r-ac'-xo Ø-ič-āsi (yol)?  
   man.I.ABS what.IV.ABS IV-eat-XO I-stay-RES be.PRS
   ‘What is the man engaged in eating?’

   b. *ŠebiɁ žek'u tɁ r-ac'-xo Ø-ič-āsi (yol)?  
   what.IV.ABS man.I.ABS IV-eat-XO I-stay-RES be.PRS
   (‘What is the man engaged in eating?’)

Next, the theme argument in the Tsez biabsolutive construction cannot be relativized at all, (45)c, whereas the agent argument can relativize with a gap at the extraction site (45)b:
Finally, dedicated topic markers cannot appear on the theme in the biabsolutive construction; no such restriction occurs in the ergative construction. The examples below illustrate the relevant contrast — compare (39)a–b, where either the ergative DP or the absolutive object DP can appear with the contrastive topic marker, and (46)a–b, where only the agent absolutive can be topic-marked.18

(46) a. Uẕi-gon/uẕi-n keč’ qa̱ḻix Ø-ič̱äsi (yol).

 boy.I.Abs-top.contr/-top song.III.Abs sing.xo I-stay-Res be.PRS

 ‘THE BOY is singing a song.’

 b. *Uẕi keč’-gon/ keč’-no qa̱ḻix Ø-ič̱äsi (yol).

 boy.I.Abs song.III.Abs-top.contr/-top sing.PRS I-stay-Res be.PRS

 (‘The boy is singing THE SONG.’)

 Forker (2012) proposes a discourse-pragmatic explanation for the ungrammaticality of (46)b; she suggests that the agent is the “pragmatic center” of the biabsolutive construction, which presumably rules out the possibility of topicalizing or contrasting the theme. However, an utterance can have more than one “pragmatic center.” Above, we presented an example of an ergative utterance that contained both a (regular) topic-marked DP and a contrastive topic-marked DP (39)c. If such co-occurrence is possible in Tsez ergative constructions, why should it be ruled out in the biabsolutive construction?

 While a pragmatic explanation may well be relevant for some ND languages, in Tsez, the restriction against topic particles on the lower absolutive is part of a family of A’-constraints (see Polinsky and Potsdam, 2001 for an extensive discussion). In other words, the constraint illustrated in (46)b is consistent with the other restrictions on A’-movement of the theme in the Tsez biabsolutive construction. We account for this restriction in section 5.

2.2.3. Biabsolutives: summary

The biabsolutive differs from the ergative and dative constructions in case, agreement, meaning, and optionality. Concerning these basic facts, Lak and Tsez biabsolutives are superficially similar, but they also differ in a number of significant ways. Critical properties of biabsolutives in the two languages are laid out below.19

While Lak and Tsez show surface similarities in the biabsolutive construction, constraints on scrambling and A’-movement suggest that the construction merits distinct analyses in the two languages. Before we can delve into the derivation of the biabsolutive, however, we first need to establish how case and agreement are licensed in these two languages.

3. Case and agreement licensing in Lak and Tsez

In this section, we present a description and analysis of the licensing of the ergative, absolutive, and dative cases, and outline the syntax of agreement in the two languages we are examining.

3.1. Case licensing

3.1.1. Basic assumptions and structures

Our case-licensing proposal relies on several analytical ingredients. First, we assume the presence of functional heads, which bear agreement features and are responsible for licensing case. Both Tsez and Lak have auxiliary verbs such as ‘do’ and ‘be’/’stay’. We assume, uncontroversially, that auxiliaries, like lexical verbs, head their own projections (Cinque, 2004; Bošković, 2007, 2014, a.o.).

18 Forker (2012:88) presents a similar example from Tsez but with the resultative form omitted (as it appears in fragments).

19 In addition to the properties listed in Table 3, in her survey of the biabsolutive construction across ND, Forker (2012) mentions the alternation of the biabsolutive with the affective construction, which we will discuss in sections 5 and 7.1, and “agent focusing.”
Our next assumption is that the absolutive case is structural, whereas the ergative and the dative are inherent cases licensed by different kinds of $v$; the basis for this assumption is that the heads licensing dative and ergative case have specific semantics, while the absolutive is checked structurally (cf. Aldridge, 2004, 2008; Legate, 2008; Woolford, 2006, a.o.). In at least some languages, there is reason to identify two possible licensing heads for the absolutive: the $v$ head, which licenses the absolutive object, and the inflectional head, which licenses the absolutive subject (cf. Aldridge, 2004, 2008; Legate, 2008). In Lak and Tsez, however, absolutive subjects and absolutive objects can be licensed equally low, inside the $vP$, as we will show presently.

The evidence for case licensing comes from deverbal nouns in Lak and Tsez. Deverbal nouns or nominalizations are typically referred to as masdars in the literature on ND languages; we adopt this terminology below.

Following the Distributed Morphology framework (cf. Halle and Marantz, 1993), deverbal nouns can be formed from a $vP$ base (e.g., Alexiadou, 2009, Harley, 2009), as shown schematically in (47).

\[(47)\]
\[
\text{nP} \\
\text{DP}_{\text{AGENT}} \\
\text{vP} \\
\text{DP}_{\text{THEME}} \\
\text{n} \\
\text{v} \\
\text{vP} \\
\text{v} \\
\text{V}
\]

Lak has two types of masdars: the first type is formed with a suffix/-awu/, while the latter type is marked with /-šiwu/. These two masdars are characterized by different morphosyntactic properties, a detailed discussion of which goes beyond the scope of this paper. For our purposes, it is relevant that masdars ending in /-awu/ can express only Aktionsart meaning, whereas /-šiwu/ masdars express aspect, tense and mood (Eldarova, 1995; Magomedova, 2008). Based on this, we assume that Lak /-awu/ masdars are $vP$ nominalizations.

Tsez also has two types of masdars: IP-nominalizations in -\(\sim\) (which appear as complement clauses, marked for aspect and mood – see Polinsky and Potsdam, 2001), and low nominalizations in -(a)ni, which are formed from the verb stem and cannot mark aspect, tense or mood.

Thus, both languages offer low nominalizations (masdars), and it is these small-size structures that we will explore with respect to case marking.

Assuming the derivation in (47), if both absolutive and ergative arguments are generated in the $vP$, we expect case in masdars to be the same as in clauses with finite verbs. This is confirmed for both languages. In Lak intransitive masdars, as in finite contexts, arguments are marked with the absolutive case; this observation holds for masdars based on both (arguably) unaccusative verbs (48) and unergative verbs (49).

\[(48)\]
\[
a. \quad \text{duš} \quad \text{b-uč’-awu} \\
\text{girl.III.ABS III-come-MSDR} \\
\text{‘the girl’s arrival’} \\
b. \quad \text{šin} \quad \text{d-uč’-awu} \\
\text{year.IV.ABS IV-come-MSDR} \\
\text{‘coming of the year’} \\
\text{(Magomedova, 2008:48)}
\]

\[(49)\]
\[
a. \quad \text{duš} \quad \text{qaq-awu} \\
\text{girl.III.ABS III-laugh-MSDR} \\
\text{‘girl’s laughing’} \\
\text{(Magomedova, 2008:48)}
\]

Similarly, in Tsez, both unaccusative (50) and unergative (51) masdars retain the absolutive DP.21

\[(50)\]
\[
\text{mow} \quad \text{nex\textsuperscript{w}-ani} \\
\text{tear.III.ABS arrive-MSDR} \\
\text{‘a tear dropping down’} \\
\text{(Abdulaev and Abdullaev, 2010:58)}
\]

\[(51)\]

\[20\] An anonymous reviewer points out that Lak masdars can combine with negation, which is shown in (53)b; since it is traditionally assumed that negation is located between $vP$ and TP, this property of /-awu/masdars is not inconsistent with our proposal and does not have a bearing on the analysis presented here.

\[21\] Examples (50)–(51) are from traditional texts, and example (54) is a slight modification of an attested example. Some verbs do not form masdars in -(a)ni, possibly for lexical-semantic reasons. We would also like to note that some younger speakers prefer to use the genitive subject instead of the ergative or absolutive with the masdar, possibly under the Russian influence.
The absolutive subject in both languages is therefore licensed uniformly low, inside the vP. Next, Lak and Tsez masdars provide evidence that the ergative and dative cases are licensed in the specifier of vP. These masdars preserve the case pattern of two-place predicates: ergative-absolutive (52) or dative-absolutive (53) in Lak, and ergative-absolutive in Tsez, (54):


b. Aʿrab-n-al γumuči las-awu Arab-OS-ERG Kumukh.ABS.III III .take-MSDR ‘the conquest of Kumukh by the Arabs’ (Kazenin, 2013:27)


(54) a. li-yā uži Ø-iži-n water-ERG boy.I.ABS I-carry-PST.NONEVID ‘The water carried the boy.’

b. li-yā uži Ø-iž-ani water-ERG boy.I.ABS I-carry-MSDR ‘the water’s carrying of the boy’ (based on Abdulaev and Abdullaev, 2010:33)

All these data converge on the generalization that ergative and dative are inherent cases licensed in spec, vP, whereas absolutive arguments are licensed inside the vP.

3.1.2. Case checking: derivation summary

The first DP merged in any Lak or Tsez derivation invariably gets absolutive case from v. This is illustrated in (55).

(55) \[
\begin{array}{c}
\text{DP} \\
\text{v} \\
\text{v} \\
\text{vP} \\
\end{array}
\]

If the verb involved in this nascent absolutive construction is unergative, then the sole argument is merged in the specifier of vP, as shown in (56). At this point in the derivation, only the v head can value [uCASE] on DP, since no other potential case-feature-valuing heads have yet been merged. Thus, the unergative argument gets absolutive case from v. This captures the uniform case assignment on intransitives mentioned in section 2 and illustrated by the masdar data in section 3.1.1.

(56) \[
\begin{array}{c}
\text{DP} \\
\text{v} \\
\text{v} \\
\text{v} \\
\text{vP} \\
\end{array}
\]

22 The Lak verb qama bitan ‘forget’ consists of two elements, the verb bitan ‘to leave’, and a second element, qama, which is found only in this particular combination (Khaidakov, 1962:282).
In the ergative construction or a construction with a fear-type psych-verb, the first part of the derivation proceeds as in (55). Next, a second argument is merged in the specifier of vP, as shown in (57). We propose that v licenses inherent cases in transitive clauses. The crucial assumption here is that v has an [ERG]/[DAT] case feature only when it also has [TRANSITIVE] and [AGENT]/[PATIENT] features (cf. Wurmbrand, 2013). We illustrate this case-licensing pattern for the ergative-absolutive structure below (the dative-absolutive structure is derived similarly):

(57) \[
\begin{array}{c}
\text{DP} \\
\text{VP} \\
\text{vP} \\
\end{array}
\begin{array}{c}
[u\text{Case}] \\
[\text{uCase}] \\
\end{array}
\begin{array}{c}
\text{v'} \\
\text{v} \\
\text{V} \\
\end{array}
\begin{array}{c}
[\text{TRANS}; [\text{ABS}; [\text{ERG} \\
\text{DP} \\
\text{VP} \\
\end{array}
\]

3.2. Noun class agreement

Noun class agreement is always determined by an absolutive argument, and the agreement exponent always appears on both lexical and auxiliary verbs, as in (58)–(59).

(58) \[\text{Ali}_\text{I-ERG} \text{ fast} \text{ two problem.}_\text{III.ABS} \text{ III-solved} \]
\[\text{Ali quickly solved two problems.}^\text{Lak}\]

(59) \[\text{Ali}_\text{I-ERG} \text{ spoon.IV-OBL-TRANSLATIVE} \text{ rice.ABS.III} \text{ eat.PROG} \text{ III-AUX} \]
\[\text{Ali eats rice with a spoon.}^\text{Lak}\]

To account for the class agreement facts, we suggest that v heads and auxiliary heads, regardless of their position, have unvalued class features, as shown in (60).

(60) \[
\begin{array}{c}
\text{AuxP} \\
\text{DP} \\
\text{vP} \\
\text{v'} \\
\text{v} \\
\text{VP} \\
\text{v} \\
\end{array}
\begin{array}{c}
[u\text{CL}] \\
[u\text{Case}] \\
[\text{uCase}] \\
[\text{uCase}] \\
\end{array}
\begin{array}{c}
\text{Aux} \\
\text{v} \\
\text{v} \\
\text{V} \\
\text{V} \\
\end{array}
\begin{array}{c}
[\text{TRANS}; [\text{ABS}; \text{uCL}; [\text{ERG} \\
\text{DP} \\
\text{VP} \\
\end{array}
\]

The evidence for v heads as a locus of class agreement again comes from small-size masdars. If v is responsible for class agreement, we should be able to find class agreement exponents in masdars (low nominalizations). This prediction is borne out: masdars with a DP complement in absolutive case show class agreement with this DP, as illustrated in (61).

(61) a. \[\text{cuppa} \text{ b-aq’-awu} \]
\[\text{self.III.ABS} \text{ III-agree-MSDR} \]
\[‘agreement/peace with oneself’^\text{Lak}\]

b. \[\text{curda} \text{ d-aq’-awu} \]
\[\text{self.II.ABS} \text{ II-agree-MSDR} \]
\[‘agreement/peace with oneself’^\text{Lak}\]
\[\text{from aq’in ‘be in agreement with someone’}\]

\[\text{We assume that the absolutive case gets assigned first. Thus, when the v head gets merged, the theme DP gets its case valued; by the time the higher, external argument is merged, only inherent case features are left.}\]
Since neither dative- nor ergative-marked DPs can determine agreement in ND, the only argument available to value [uCL] on these heads is the absolutive-marked DP. We can account for this restriction by adopting the proposal that languages differ with respect to which arguments are accessible for agreement (Bobaljik, 2008; Preminger, 2011, 2014). Bobaljik (2008) proposes an agreement accessibility hierarchy for both nominative-accusative languages and ergative languages, (63), which states that there are three options made available by UG: agreement with only the absolutive argument; agreement with absolutive and ergative but not with dative arguments; agreement with all three argument types. ND languages are of the first type, with agreement determined exclusively by absolutive arguments.

\[(63) \text{DAT} \ll \text{ERG} \ll \text{ABS}\]

According to the derivation in (60), the absolutive DP can value the class features on \(v\). Class features on the auxiliary verb are valued by the auxiliary probing down within its c-command domain to retrieve the first fully-valued feature it comes across (cf. Bošković, 2007, 2014). To illustrate, consider the following Lak example with two auxiliaries ‘be’, non-finite and finite:

\[(64) \text{Rasul-l-ul} \text{ sual-lu} \text{ b-ullaj-s:a} \text{ biwk’un} \text{ b-ur.} \]

‘Rasul was asking questions.’

The structure of this sentence is shown in (65), with irrelevant details omitted:

\[(65)\]

The derivation proceeds as follows. The internal argument (‘questions’) gets its absolutive case from \(v\), and the external argument gets its inherent ergative case from the same functional head. Next, DP_ABS values [uCL] on \(v\). Once the first auxiliary is added, it probes for a DP that can value its [uCL] features. Upon failing to find such a DP, the auxiliary resorts to agreement with the immediately adjacent head, \(v\). Auxiliary 2 also has unvalued class features and lacks a DP to value them. It likewise enters into an agreement relationship with an immediately adjacent head in its c-command domain.

In this section, we have sketched a brief analysis of the structure of vP in ND. We contend that the functional head \(v\) bears both [uCL] features and case features. Noun class [CL] feature valuation observes locality, in that it can be provided either by an absolutive DP (the original goal) or by an immediately adjacent \(v\) head that has valued class features.

4. The syntax of Lak biabsolutives

We can now build on the syntactic derivations established in the previous section to analyze the biabsolutive construction in Lak and Tsez.

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24 The proposals by Bobaljik and Preminger are similar, but differ with respect to the timing of agreement. Bobaljik (2008) argues that agreement is a post-syntactic operation, whereas Preminger (2011, 2014) advocates for agreement in narrow syntax.

25 The agreement hierarchy for nominative-accusative languages is not relevant to the present discussion.
Recall the differences between Lak and Tsez biabsolutives presented in Table 3. Using constraints on A'-movement as diagnostics, we demonstrated that, while the biabsolutive constructions in Lak and Tsez appear identical on the surface, at their core, these constructions are based on different syntactic structures. Accordingly, we present different analyses for these two constructions in the discussion that follows. In this section, we argue for a restructuring analysis of Lak biabsolutives; in section 5, we present an analysis of Tsez biabsolutives that relies on the presence of a PP complement.

Let us revisit an example of the biabsolutive construction in Lak:


Lak


‘Ali is in the state of building a house.’ (=(29) above)

Several facts about Lak biabsolutives are relevant for the discussion here. First, biabsolutive constructions always have a progressive or durative reading. Second, the biabsolutive construction is an optional alternative to the ergative construction. Third, biabsolutives are equally possible with synthetic and analytic verb forms.26 All these facts, for which we provided evidence in section 3, suggest that Lak biabsolutives involve restructuring. The optionality of restructuring is well known (Wurmbrand, 2001, 2004; Cinque, 2004, a.o.), and the presence of aspectual heads in restructuring is also cross-linguistically well established (see Fukuda, 2008; Takahashi, 2012 for a recent discussion).

We propose that the functional head $v$ of a Lak biabsolutive is specified for the aspectual feature [progressive]. The special feature [ASP] on this functional head is responsible for the difference in meaning between ergative/dative constructions and biabsolutives. The structure of the biabsolutive construction is that of standard restructuring verbs.

Under restructuring, the matrix verb or the functional head can select a complement of smaller-than-clause size, between VP and TP (Wurmbrand, 2001, 2004; Fukuda, 2008). We propose that, in Lak, it is a VP that the functional head selects. This VP contains the verb and its complements, but no subject or higher functional heads. The subject in such a structure is never projected; the object (DP$_1$) is assigned case by the aspectual head $v$. The subject of the entire clause (DP$_2$) is then assigned absolutive case by T.

The proposed structure for the biabsolutive construction in Lak is shown in (67).

26 The example in (66) shows an analytic form; see (41), as well as examples throughout the discussion below, for synthetic forms.
The main evidence for this structure comes from masdar formation and the morphology of aspectual marking. Starting with the masdars, recall that /-awu/ embeds a Vp, not a TP. Such masdars can be formed from ergative (68)a or dative constructions, but cannot be formed from the biabsolutive construction (68)b:

(68) a. A^li-l q:at:a b-ulla-la-wu
   Ali-ERG house.III.ABS III-do-PROG-MSDR
   ‘Ali’s building of the house’

   Ali.ABS house.III.ABS III-do-PROG-MSDR

The other type of masdars, with the suffix /-siwu/, embed TPs and can be formed from both constructions, the ergative (69)a and the biabsolutive (69)b; in both instances, the case marking of the finite clause is preserved.

   Ali-ERG house.III.ABS III-do.PROG III-AUX.NEG-MSDR
   ‘Ali’s not building of the house’ (=‘the fact that Ali is not building the house’)

   AliABS house.III.ABS III-do.PROG I-AUX.NEG-MSDR

The restructuring analysis is also morphologically supported by the structure of synthetic verb forms that appear in the biabsolutive construction. Lak verbs can have aspectual markers inside synthetic forms (El’darova, 1995; Kazenin, 2013),27 thus:

(70) a. Ga na uhlahi-s:a-r.
   3SG.ABS 1SG.I.ABS catch.I.PROG-ASSRT-3SG
   ‘He is catching me.’ (Kazenin, 2013:65)

b. *Ga na uwhu-s:a-r
   3SG.ABS 1SG.I.ABS catch.I.PRF-ASSRT-3SG
   (‘He has caught me.’)

Since restructuring is always optional, the presence of the progressive does not guarantee that the clause will appear as a biabsolutive – however, in the absence of a progressive verb form, the biabsolutive is impossible. In other words, the presence of progressive aspect on the predicate licenses that predicate to appear in the biabsolutive.

Because the biabsolutive construction is monoclusal, the order of its constituents should not differ from the standard order found in other constructions. This prediction is borne out. Lak biabsolutive constructions, like ergative and dative constructions, do not have restrictions on word order – i.e., both core arguments can undergo scrambling. Compare the ergative construction in (71) and the corresponding biabsolutive in (72):

   Ali-ERG house.III.ABS III-do.PROG III-AUX

   A^li ABS house.III.ABS III-do.PROG III-AUX


d. b-ullaj b-ur q:at:a A^li-l.

   ‘Ali is building the house.’

   Ali.ABS house.III.ABS III-do.PROG I-AUX

   A^li ABS house.III.ABS III-do.PROG I-AUX


   ‘Ali is building the house.’

27 Lak verbs may include aspectual marking in the root: progressive verbs include the infix/la/ followed by a reduplicated root consonant, as in (70)a, while some perfective roots may bear an extra class agreement exponent, which is reanalyzed as an aspectual marker; cf. /w/ in (70)b (El’darova, 1995:90).
Under the monoclausal analysis, A'-movement in biabsolutives should be no different from A'-movement elsewhere. In particular, the theme absolutive should be accessible to A'-movement in biabsolutives, just as it is in Lak ergative or dative constructions. Recall that the theme argument in Lak biabsolutives can undergo both wh-movement and relativization. Example (41)c is repeated below; for other data, see examples (41)b and (42):

(73)  Ci lĩ tĩ b/d-ullaj Ø-ur?  
     "What is Ali building?" (=41)c

The restructuring analysis of Lak biabsolutives correctly predicts that biabsolutives can have only a single negation, as shown in the examples below.

     "Ali is not building a house."

    Ali.abs house.iii.abs neg-iii-do.prog i-aux

     "Ali is building a house."

   Ali.abs house.iii.abs neg-iii-do.prog i-aux

Our monoclausal analysis of the biabsolutive construction in Lak is similar to a proposal for Archi biabsolutives put forward by Kibrik (1975), who argues for the monoclausal status of the Archi construction based on the possibility of different word orders. However, a monoclausal analysis of the biabsolutive cannot be directly extended to all other ND languages. In the next section, we will show that the behavior of Tsez biabsolutives calls for a different type of structural analysis.

5. Tsez biabsolutives

In section 2.2, we showed that Tsez biabsolutives are similar to Lak biabsolutives in that the lexical verb obligatorily agrees with the theme argument, whereas the resultative verb -iča- 'stay' and the auxiliary agree with the absolutive-marked agent. However, unlike Lak, the theme and the lexical verb form an island for A'-operations in Tsez, and their order is rigidly fixed. To account for these properties, we propose an analysis in which the predicate of the biabsolutive (-iča- 'stay; be engaged in') takes an absolutive subject and a PP complement, which in turn includes a nominalized verb phrase.

The main components of our analysis are as follows:

(75) a.  The theme argument and the lexical verb are embedded under a postpositional head (-xo);

b.  The complement of the adposition is a nominalized verb phrase;

c.  The PP complement is selected by the light verb -iča- 'stay; be engaged in', which appears in the resultative form;

d.  The absolutive argument of -iča- 'stay' and the silent argument of the nominalized vP form a control chain.

5.1. Theme and lexical verb are inside a PP

The motivation for (75)a derives from certain facts about the suffix -xo. Consider the following sentence.

(76)  Uži t'ek t'et'er-xo Ø-iča-si (yol).
     "The boy is engaged in reading the/a book."

    boy.i.abs book.ii.abs read-xo i-stay-RES be.prs

The lexical verb t'et'ra 'read' is inflected with -xo, a suffix that also denotes the imperfective gerund (77)a, the present tense, (77)b, and spatial case.

(77) a.  Už-ã t'ek t'et'er-xo yol/zow-s(i).
     "The boy is/was reading the/a book."

    boy-erg book.ii.abs read-ger be.prs/be-pst.evid

b.  Už-ã t'ek-mabi t'et'er-xo.
    "The boy reads books."

    boy-erg book-pl.abs read-prs
It would be reasonable to analyze the biabsolutive form in –xo as an imperfective gerund (cf. for example, Comrie and Polinsky, 2002); indeed, the analysis of this form as a gerund or some other non-finite verbal exponent is somewhat expected, since biabsolutives in other ND languages include participles (compare Kibrik, 2001:394–399 on Bagwali). However, gerundial complements in Tsez are generally transparent. Consider the data in (78) for illustration. In (78)a, we observe a transitive gerund in –xo and an auxiliary. In (78)b, the absolutive object of the transitive gerund is questioned with the wh-word scrambled to the left, and in (78)c, the object is relativized. Since Tsez does not allow cross-clausal A-movement (see Polinsky and Potsdam, 2001:590–591, and section 2.2.2.3), the grammaticality of (78)b,c is evidence that gerundial complements do not induce island effects.

(78) a. Mamalay-ā netintow at'iy qaca r-ayir-xo zow-n(o). Tsez
   rooster-ERG always wet firewood IV.ABS IV-bring-GER be-PST.NONEVID
   ‘The rooster always used to bring home wet firewood.’
   (Abdulaev and Abdullaev, 2010:44)

   b. Šebi, mamalay-ā netintow t; r-ayir-xo zow-ā?
   what.ABS rooster-ERG always IV-bring-GER be-Q
   ‘What did the rooster always bring?’

   c. [mamalay-ā netintow t; r-ayir-xo yālī-ru] qaca,
   rooster-ERG always IV-bring-GER be-PTCP firewood
   ‘the firewood that the rooster always brought’

Thus, if the verb in –xo in the biabsolutive construction were a gerund, the appearance of island effects in this context would be unexpected. If, however, the form is a nominalized VP embedded under an adposition ([PP[NP [VP . . . ]]]), the extraction facts can be derived straightforwardly. Where does the adposition come from? It belongs to the paradigm of what are typically described as ‘spatial cases’ (Comrie and Polinsky, 1998).

Tsez has a large number of spatial expressions, which include two exponents: an element denoting the localization or reference point (‘on’, ‘in’, ‘at’, etc.) and an element denoting motion or absence of motion with respect to that reference point (see Comrie and Polinsky, 1998; Comrie, 1999; Kracht, 2002). The motion component comes in three types: essive, which denotes the absence of movement, allative, which denotes motion toward the reference point, and ablative, which indicates motion away from some location in space. The structure of Tsez spatial forms is schematized in (79).

(79) NP/DP-Place- Motion
   {at, in, under, etc.-} {essive, allative, ablative}

The form –xo marks the localization ‘at’, ‘by’. When combined with the essive, which has a null exponent, the form –xo is that of the adessive. Consider the following example:

(80) Že b'ē-ξo-Ø zow-s(ɨ).
   3SG.I.ABS sheep-AD-ESSIVE be-PST.EVID
   ‘He was a shepherd.’ (lit.: he stayed by/at the sheep)

The suffix –xo can also combine with other motion-denoting exponents:

(81) Ilh-xo-r pro, b-āy-nosi mamalay-ā q'āli-n.
   stream-AD-LATIVE III-COME-converb rooster-ERG shout-PST.NONEVID
   ‘Having come to the stream (lit.: to at the stream), the rooster shouted’ . . .’

Based on the evidence presented above, we conclude that the suffix -xo in the biabsolutive construction is a localization marker. Spatial forms in ND have been argued to be adpositional phrases (Radkevich, 2010). The idea of local cases serving as adpositions is not novel; Asbury (2008), Spencer (2008), Trommer (2008), Radkevich (2010), among others, propose that local cases are realizations of P heads.

Support for the interpretation of –xo as a P head comes from a series of rather subtle extraction facts. In general, the difference between indirect cases and adpositions in Tsez is not easy to discern. Several complications arise. First, Tsez relativization is quite free, and there are no constraints against relativizing out of (oblique) case-marked NPs, e.g. the instrumental case. In contrast, clearly postpositional phrases seem to be islands, as the following example indicates:
The problem is that tel is omissible, and therefore (82)b without tel is acceptable – although, of course, the specific meaning ‘inside which’ (as opposed to ‘where’) is lost. A second complication is that many postpositions double as adverbs, in which case they give at least the appearance of being stranded (see Comrie and Polinsky, 1999a:86–87 for some discussion).

Sub-scrambling provides a more reliable test of the distinction between indirect case forms and PPs. The descriptive generalization is as follows:

(83) **Sub-scrambling in Tsez**

Prenominal modifiers can optionally scramble to the left of their head noun

For example, a possessive expression can scramble to the left of its host, although such sub-scrambling is dispreferred:

(84) a. \( \text{ʕomoy-ā [pat'i-s ɣ'way]} \) ɬe\( \text{k'-si.} \)  

\( \text{donkey-ERG Fatima-GEN1 dog.ABS hit-PST.EVID} \)

b. *\( \text{Pat'i-s ʕomoy-ā ɣ'way ɬe\( \text{k'-si.} \) } \)

\( \text{Fatima-GEN1 donkey-ERG dog.ABS hit-PST.EVID} \)

’The donkey hit Fatima’s dog.’

(85) a. \( \text{ʕal-ā [nesiz qizanyo-r ɣ'utku} \) roy-s(i). \)

\( \text{Ali-erg his.GEN2 family-DAT house.ABS do-PST.EVID} \)

b. *\( \text{Nesiz ʕal-ā qizanyo-r ɣ'utku} \) roy-s(i). \)

\( \text{his.GEN2 Ali-ERG family-DAT house.ABS do-PST.EVID} \)

’Ali built a house for his family.’

No sub-scrambling is possible out of spatial expressions, including those ending in –xo, (87). The contrast between (84)b and (85)b, on the one hand, and (86)b and (87)b, on the other, supports the notion that spatial expressions are indeed PPs, in contrast to the case forms illustrated in (84) and (85).

(86) a. \( \text{ʕali [pat'i-z ɣ'utka-t-āy]} \) e\( \text{läi-s.} \)  

\( \text{Ali.ABS Fatima-GEN2 house-CONT-ABL speak-PST.EVID} \)

b. *\( \text{Pat'i-z ʕali ɣ'utka-t-āy e\( \text{läi-s.} \) } \)

\( \text{Fatima-GEN2 Ali.ABS house-CONT-ABL speak-PST.EVID} \)

(87) a. \( \text{Ziya uži-z bo\( \text{ɬ}-\)xo kec-no.} \)

\( \text{cow.ABS boy-GEN2 barn-AD.ESS sleep-PST.NONEVID} \)

b. *\( \text{Uži-z ziya bo\( \text{ɬ}-\)xo kec-no.} \)

\( \text{boy-GEN2 cow.ABS barn-AD.ESS sleep-PST.NONEVID} \)

5.2. **The adposition –xo selects a nominalized vP**

We are now ready to turn to the second component of our analysis, (75)b. Assuming that -xo functions as an exponent of the adessive case in the biabsolutive, it must be attached to some nominal element (NP/DP). We propose that, in the case of Tsez biabsolutives, we are dealing with a verb nominalization. The theme argument and the lexical verb have the following structure in the biabsolutive context:

(88) \[ [\text{PP [DP [vP \ldots [vP DP V]} V]} \] D] P \]

\( \text{fk ekr fetr- Ø Ø xo} \)

\( \text{book read at} \)

In contrast to root clauses, embedded vPs and VPs in Tsez are strictly verb-final (see section 2.1.1, which explains why the order of the lower absolutive and the verb form in –xo is always fixed). Intervening material can be inserted between the theme and the verb, but neither constituent can scramble to the left or right.
In addition to the spatial head –xo, Tsez has a number of other locative forms that can combine with verbal stems. Such combinations yield a rich set of converbs that are used in adjunct clauses (some verbal forms combine directly, as in (89)a, whereas others require an oblique stem-linker, as in (89)b; the morphological conditions on this contrast are not yet clear). These forms presumably have a silent D head corresponding to the event argument. For example,

(89) a. \[ PP [DP [vP ... [VP ik'i]] Ø] \lambda \]
   \[ go \] Tsez
   ‘at the moment of going’

b. \[ PP [DP [vP ... [VP ik'i-zā]] Ø]-q \]
   \[ go- OS- POSS.ESSIVE \] Tsez
   ‘because of going’ (Comrie et al., 1998:13)

The presence of such forms constitutes additional evidence for the availability of derivations where verbal stems combine with locative affixes.

5.3. The verb ‘stay; be engaged in’ takes a PP complement

Let us now turn to (75)c: the PP is a complement of the verb –iča- ‘to stay; be engaged in’. Recall that this verb, in its participial form, is obligatory in Tsez biabsolutives (although it can be dropped in fragments). What is important for us is that the verb –iča- is also found outside the biabsolutive, where it takes a PP complement, as shown in (90).

(90) a. q'uri-λ-Ø Ø-ič-a
   \[ chair-SUPER-ESSIVE I-stay-INF \] Tsez
   ‘stay (sit) on a chair’ (Khalilov, 1999)

b. \[ aλ-ā-Ø \] Ø-ič-a
   \[ village-IN-ESSIVE I-stay-INF \]
   ‘live in a village’

c. gara-l-Ø Ø-ič-a
   \[ queue-CONT-ESSIVE I-stay-INF \]
   ‘stand in line’

As these examples indicate, –iča- co-occurs with a number of spatial forms, most of which are essives from one of the seven localization series observed in Tsez. The co-occurrence of –iča- with the adessive –xo is just a particular instantiation of this pattern.

5.4. Control analysis

We contend that the absolutive argument of –iča- and the subject of the nominalized vP embedded under the postposition –xo are in a control relationship, as schematized in (91). Thus, the subject of –iča- is thematic and is bound by selectional restrictions. Recall that one of the meanings of this verb is ‘to be engaged in’; this is the meaning that best fits the interpretation of control structures.

(91) \[ vP Subjecti [vP iča [DP [vP ... [vP PROi ...]]]] \]

The control component of our analysis is confirmed by the fact that inanimate subjects are impossible in Tsez biabsolutives (we adopt the # symbol to identify the interpretive nature of selectional restrictions):

(92) #Buq ti boboru r-oy-x(o) b-ičāsi yoī.
   \[ sun.III.ABS water.IV.ABS hot IV-make-XO III-stay-RES be.PRS \]
   (‘The sun is in the state of warming up (the) water.’)

(93) #Laci asolir-iq-ir-xo r-ičāsi yoī.
   \[ wind.IV.ABS sky.IV.ABS IV-be.foggy-CAUS-XO IV-staying be.PRS \]
   (‘The wind is in the state of ruining the weather.’)

28 We are grateful to an anonymous reviewer for pointing out this argument to us.
29 This verb is also used as a control verb, with the meaning of continuation; in that case, it selects an infinitival complement (see Polinsky and Potsdam, 2002). This usage is irrelevant to the discussion here.
The control structure in Tsez biabsolutives accounts for the restriction against inanimate subjects. A similar restriction is also observed in other languages (Forker, 2012), but it remains to be seen if the explanation proposed here can apply beyond Tsez. As we discuss in section 7, it cannot apply to Lak.

Given the selectional restrictions that standardly apply to control clauses, it is to be expected that non-volitional animate subjects should be either impossible or marginal in the biabsolutive construction – just as they are in the marginal English example below:

(94) #Jeremy is engaged in enjoying the Goldberg Variations.

This expectation is met. As we demonstrated in our initial presentation of the biabsolutives, Tsez predicates with experiencer and potential subjects cannot appear in the biabsolutive construction (compare (34) and (35)). Further support for the relevance of selectional restrictions comes from the Tsez verb –eta, which appears in (95)–(96). This verb is ambiguous between three readings: ‘like’, ‘know’, and ‘want’. Only the ‘want’ interpretation is consistent with the notion of active agentivity and volitional subjects, and it is this reading alone that is allowed in the biabsolutive construction. Compare:

(95) a. Kid-ber xabar b-eti-x.
   girl-DAT story.ABS.III III-know-PRS
   ‘The girl knows the story.’

b. #Kid xabar b-eti-x y-ičā-si yol.
   girl.ABS story.ABS.III III-know-XO II-stay-RES be.PRES
   (‘The girl is knowing the story.’)

(96) a. Kid-ber marožni r-eti-x.
   girl-DAT ice-cream.ABS.IV IV-like/want-PRS
   ‘The girl likes/wants ice-cream.’

b. Kid marožni r-eti-x y-ičā-si yol.
   girl.ABS ice-cream.ABS.IV IV-like-XO II-stay-RES be.PRES
   ‘The girl wants/#likes ice-cream.’ (cf. ‘The girl is wanting ice-cream.’)

Thus, as long as the selectional restrictions on volitional animate subjects are met, such subjects can appear in the biabsolutive construction; these facts illustrate that the restriction on verbs with non-ergative subjects is more nuanced than it may seem at first glance.

5.5. The structure of Tsez biabsolutives

Based on the discussion above, we arrive at the following structure for the biabsolutive construction in Tsez (irrelevant details not shown).

(97)
The derivation proceeds as follows. The internal argument (DP<Theme>) is merged inside VP and gets its case valued by
the v head dominating VP, which, in turn, gets its class feature valued by the theme argument. Next, the vP undergoes
nominalization and turns into a DP. The deverbal DP is a complement of P. The PP, headed by the adposition xo ‘at’, is
selected by the control verb -iča-, which is dominated by a light verb with the general meaning of ‘do’ (cf. Folli and Harley,
2007). The functional head v has unvalued class features and can license absolutive case. The second argument
(DP<Agent>) is merged in the specifier of this vP, gets its case from v, and values the [uCl] features on the v head. The agent
DP forms a control chain with the unpronounced subject of the nominalized verb phrase below. The two auxiliaries, the
resultative -ăsi, and the auxiliary ‘be’, are merged, valuing [uCl] from the v head in their c-command domain.

The imperfective/progressive/durative reading of the Tsez biabsolutive follows from its structure. The verb -iča- looks
like a typical intransitive verb that selects a PP-complement and theta-marks its subject. In this, the Tsez construction is
similar to the English progressive; compare to an expression like to be engaged [pp in...]. Historically, English
progressives derive from a prepositional construction with a gerundive object (Denison, 1993:387–392; Jespersen, 1909–
1940, IV:168–169, 205):

(98) Kim is at [PRO painting the house].

Relics of this construction still survive in a-gerund dialects (Wolfram, 1980), as in:

(99) Kim is a-painting the house.

The parallels with Tsez are threefold: (i) the construction is divided into two domains; (ii) the lower structure is the
complement of an adposition; (iii) the progressive reading follows from the meaning ‘stay/be at something’. Under this
analysis, Tsez is different from Lak in that the former does not have an aspectual functional head that imparts the
imperfective/progressive reading to the biabsolutive construction.

To summarize, we have proposed that Tsez biabsolutes include a PP constituent adjoined to the participial form of the
verb -iča-; this PP constituent comprises the adessive P head ‘at’, a member of the extensive set of local adposition forms,
and a nominalized VP complement. The use of spatial forms in biabsolutes is likely to be found outside Tsez as well.

6. A comparison between the proposed analyses and the analyses in the literature

6.1. From Tsez to Basque and Mayan and back to Lak

The analysis of Tsez put forward in section 5 is very similar to the analysis of the Basque biabsolutive construction
proposed by Laka (2006). Basque is basically an ergative language, but in the progressive aspect, it loses its ergative
marking and contains two absolutive-marked arguments. Laka (2006) argues that the Basque progressive is formed by an
intransitive lexical verb which selects a PP complement (e.g., ari ‘be (engaged in)’ – just as the Tsez verb -iča-; ibili ‘be
about’, or egon ‘be/stay’). This PP complement contains a PRO, a theme argument, and the lexical verb. Since the
lexical verb selecting the PP complement is intransitive, the apparent agent of the embedded lexical verb is actually the
argument of the matrix intransitive, and, as such, it receives absolutive case. The two absolutes are assigned in two
separate case-licensing domains, as shown in (100)b.

(100) a. Emakume-a ogi-ak ja-ten ari da.  
woman-DET bread-DET.PL eat-IPFV PROG 3A.is
The woman is eating (the) breads.’ (Laka, 2006:173)
Laka’s (2006) analysis of the Basque progressive and our analysis of the Tsez biabsolutive are similar in a number of ways: (i) the lexical verb and theme argument are contained within a PP, selected by the verb ‘stay/be’; (ii) the verb phrase is nominalized; (iii) the -te/-tze marker that heads the complement of the locative -n is itself a nominalization marker.

A similar analysis is proposed for Mayan languages by Coon (2010, 2013a). In her analysis, the matrix clause contains a light verb, which takes a DP complement that includes a nominalized verb phrase (no PP is implicated on this analysis). The verb phrase is fully formed before it undergoes nominalization and thus projects its arguments within the nominalized structure. Surprisingly, the Mayan nominalization is not an island for extraction.

None of the Tsez, Basque, and Mayan analyses permit ergative case marking in the clause. This leads to the appearance of split ergativity (see also Forker, 2012) for a connection between biabsolutive and split ergativity). In Basque and Chol (as well as some other Mayan languages), aspect-based split ergativity occurs when progressive/durative predicates form complex clauses, with two separate domains assigning absolutive case. In Tsez, the biabsolutive construction has a monoclausal structure, where two absolutive-marked arguments are assigned in two separate domains (PP and VP) within the same clause.

It may be tempting to reduce all biabsolutive constructions and, consequently, all instances of aspect-based split ergativity, to complex-clause constructions. However, the data from Lak, for which we proposed a restructuring analysis, sounds a note of caution. A Tsez-style analysis cannot be extended to Lak biabsolutives for the following reasons: (i) Lak theme arguments can undergo A’-movement, which would be unexpected on a Tsez-style analysis, given the island status of PPs and the embedded nominalized complement of the P head; (ii) Lak lexical verbs in biabsolutives do not bear any morphological reflexes of PPs – i.e., lexical verbs do not have spatial case exponents. Thus, split ergativity may emerge under different circumstances, even in closely related languages.

6.2. An alternative analysis: Pseudo noun incorporation

In this section, we will discuss an alternative analysis that has been proposed for the biabsolutive construction in ND languages: pseudo noun incorporation (PNI) (see Forker, 2012 for an overview). Although a PNI analysis may hold for some members of this language family, we argue that it is not applicable to either Lak or Tsez.

The essence of the PNI analysis, originally put forward by Massam (2001) for Niuean, is that the theme argument is incorporated into the lexical verb, which alters the clause structure and makes the theme argument inaccessible for case and agreement. In many morphologically ergative languages, absolutive case does not have an overt lexical realization, making it impossible to determine whether an argument lacks case (i.e., has undergone PNI), or is actually zero-marked for the absolutive (see Legate, 2008). Extending the PNI analysis to ND biabsolutives, Forker (2012) claims that the ND theme argument is caseless and forms a unit with the lexical verb, thus making the external argument (agent) the only element eligible to receive absolutive case. Such an analysis would straightforwardly account for case marking (the higher absolutive is the only ‘real’ case form, while the lower ‘absolutive’ does not actually bear case) and agreement (the auxiliary verb is intransitive, and therefore agrees with the single absolutive argument). By contrast, the proposals for Lak and Tsez we have presented in this paper do not consider the possibility of the theme argument’s agreeing with the lexical verb. There would be two ways to carry out such agreement, depending on the derivational timing. First, agreement may apply after incorporation, in which case the theme argument will be inaccessible for agreement due to its incorporated

34 However, see Preminger (2011, 2014) and McFadden (2014) for arguments that cases like nominative and absolutive are nothing but the absence of case assignment, rendering this distinction moot.
status. Second, agreement may apply before PNI, in which case the theme argument values [uCL] features on v early in the derivation. Since the relative timing of agreement and incorporation can vary, agreement between the verb and the theme argument cannot be diagnostic of incorporation.

Based on the discussion in Massam (2001, 2009), we identify the following aspects of theme arguments that are essential to a PNI analysis:

(101) PNI diagnostics:
   a. Durative/progressive/frequentative meaning
   b. Productive with an open class of verbs
   c. No lexical material should intervene between NP and V, and the order of object and verb must be fixed
   d. The incorporated theme is nonspecific/non-referential
   e. The incorporated theme is not specified for number
   f. The incorporated theme cannot undergo A'-movement

In order to extend Massam’s analysis to any ND biabsolutive construction, the theme argument in the relevant language should show the listed properties. Biabsolutive constructions in both Lak and Tsez have durative/progressive meanings and are productive with an open class of verbs, which is consistent with (101)a–b. However, the other predictions made by the PNI analysis are not borne out in either of these languages.

First, the theme and the lexical verb can be split by an adverb, contra (101)c, as illustrated in (102)–(103).

(102) Aʔli (huɾha) qaːta (huɾha) b-ʔllaʃ Ø-ur.  
   Ali.I.ABS slowly house.III.ABS slowly III-do.PRG I-AUX  
   ‘Ali is slowly building the house.’  

(103) Žek’u magala hanhun b-ʔca’-xo Ø-iʔa-si (yoʔ).  
   man.I.ABS bread.III.ABS slowly III-eat-XO I-stay-RES be.PRS  
   ‘The man is engaged in slowly eating (the) bread.’

In Lak, the theme can also scramble freely and appear both clause-initially and at the right periphery of the clause, as shown in (40).

The theme in the biabsolutive construction can be specific and referential, contra (101)d; in particular, it can be expressed by a pronoun, as in (104)–(105).

(104) ?Rasul na uhlaːh-iːsːa-r.  
   Rasul.I.ABS 1SG.ABS I-catch:DUR-PTCP-3  
   ‘Rasul is catching me.’  

(105) Eniʔ di/miʔe35 Žek’-xo y-iʔa-si (yoʔ).  
   mother.II.ABS 1SG/2SG/3SG.ABS hit-XO II-stay-RES be.PRS  
   ‘Mother is engaged in hitting me/you/him/her/it.’

Next, ND biabsolutives are specified for number; we have presented many examples of unambiguously singular objects, and the examples below show definite plurals. Thus, the requirement in (101)e, that pseudo-incorporated objects not be specified for number, is not borne out in Lak and Tsez.

(106) Rasul waj balaj-ṛdu t’ij Ø-ur.  
   Rasul.ABS this.PL.ABS song.PL.ABS say.PRG I-AUX  
   ‘Rasul is singing these songs.’  

(107) Girl’iII.ABS knit-footwear-PIIPL-do-XO II-stay-RES be-PST.EVID  
   ‘The girl was in the state of making knit footwear.’

Finally, the PNI analysis predicts that theme arguments should not be available for A’-operations, (101)f. This prediction is not borne out in Lak (see examples (41)–(42)). Note that the very fact that themes in Lak biabsolutives can A’-move would

35 The class of the pronoun may vary between I and II depending on whether a man or a woman is speaking. Since the verb ‘hit’ does not show overt agreement, we omit the class on the object pronouns in this example.
lead to problems for the PNI analysis. Verb agreement with the theme would have to take place prior to incorporation, while probing by the auxiliaries would have to occur after incorporation, to prevent the auxiliaries from agreeing with the theme; finally, the theme would have to somehow excorporate to make it eligible for subsequent A'-movement.

In Tsez, the prediction in (101)f, that the biabsolutive theme cannot A'-move, holds, but we have been able to account for this fact, as well as the other properties of the Tsez biabsolutive, under the PP analysis. Our solution not only explains the lack of A'-movement but also justifies the morphological form of the lexical verb in –xo, which we propose contains a postpositional head.

In sum, a PNI analysis of the biabsolutive construction is not feasible for either Lak or Tsez. It remains to be seen whether biabsolutive constructions in other ND languages are amenable to a PNI analysis.

7. Further issues

In this section, we will briefly take up some issues that follow from the syntactic discussion pursued in this paper. We have postponed discussion of these issues to this final section because we do not see them as fully resolved; here, we hope simply to offer some preliminary considerations.

7.1. The restriction against affective predicates in Lak

We accounted for the failure of Tsez dative-subject (experiencer-subject) verbs and potential verbs to appear in the biabsolutive construction on syntactic grounds, by appealing to the standard selectional restrictions involved in control structures. The restriction against the use of dative-subject verbs in Lak is less clear; one of our consultants accepts experiencer predicates in the biabsolutive construction, while the others rejected their appearance. The latter pattern, of course, is reminiscent of Tsez. Unfortunately, our account of the Lak syntax does not allow us to appeal to selectional restrictions to explain this discrepancy. However, it is possible to account for the restriction in semantic and pragmatic, rather than syntactic, terms.

Our tentative explanation builds on the observation that biabsolutive constructions in Lak have a well-defined progressive aspect. A number of researchers contend that the semantics of the progressive aspect includes two components: first, it indicates an ongoing event or event-in-progress (EIP), underscored by the construction’s co-occurrence with durational adverbials such as ‘still’; second, this ongoing event must have come about through the activity of an agentive participant. As we have already mentioned, and as Forker (2012) has documented extensively, inanimate, non-agentive participants are highly dispreferred or even rejected in the biabsolutive.

Assuming that the biabsolutive necessarily contains these two meaning components, several possible semantic and pragmatic restrictions arise. First, note that the expression of the EIP is actually associated with several kinds of aspect, in particular imperfective and progressive. Progressives are a subset of imperfectives, but not all imperfectives are progressive. Cross-linguistically, imperfective marking is associated with at least three distinct readings (Deo, 2009 and references therein):

(108) Readings of the imperfective:
   a. the EIP reading
   b. the continuous reading with lexically stative predicates
   c. the habitual or generic characterizing reading.

In English, the EIP reading (108)a is dominant. The incompatibility of stative verbs with the English progressive, (108)b, has been the subject of much discussion in the literature (Vendler, 1967; Taylor, 1977; Vlach, 1981; Dowty, 1979; Bach, 1981; de Swart, 1998; Deo, 2009). The basic observation is that individual-level predicates (including potential predicates) are felicitous in the English progressive:

(109) a. #Kim is knowing mathematics/the answer.
    b. ?/Sandy was being able to lift 60 lbs.

Stage-level statives (e.g., lie, reside, rest) are compatible with progressive marking, but only when the situation denoted by the predicate is potentially subject to change (Dowty, 1979). More-or-less permanent situations expressed by individual-level statives or by stage-level statives with immovable subjects are felicitous in the progressive:

(110) a. #New Orleans is lying at the mouth of the Mississippi River. (Dowty, 1979:174)
    b. # Meaning is residing within the text of poems.
We do not take a stand on whether the infelicity of (109) and (110) should be explained under a semantic or a pragmatic account. What matters for us is that progressive aspect either entails or implies temporal contingency for a predicate in its scope. Such temporal contingency is incompatible with the meaning of individual-level predicates and “permanent” uses of stage-level statives. We propose that the use of the progressive in Lak biabsolutives expresses the dynamic quality of the event (its temporal contingency), and therefore blocks the appearance of such verbs as ‘know’ or ‘forget’ in the construction. We can test this prediction by examining the use of psych-predicates in the biabsolutive construction. Many dative subjects appear with psych-predicates, which denote permanent or semi-permanent states; such verbs should be in felicitous in a construction that entails temporal contingency, such as the biabsolutive. This prediction is borne out by the infelicity of (111)b:

\[(111) \begin{align} a. \text{Ali-DAT } \text{math.IV.ABS } \text{NEG-understand.PROG IV-AUX} & \quad \text{Lak} \\
& \quad \text{‘Ali does not understand math.’} \\
b. \#\text{Ali.I.ABS } \text{math.IV.ABS } \text{NEG-understand.PROG I-AUX} & \\
& \quad \text{‘Ali does not understand math.’} \end{align}\]

Note, however, that there are speakers of Lak who accept structures like (111)b. We hypothesize that, for such speakers, the aspectual verb no longer limits the interpretation of the event to the progressive but, instead, conveys the more general imperfective meaning of which the progressive is a subset. This hypothesis is consistent with the evolution of the progressive construction observed in Indo-European languages (cf. Torres Cacoullos, 2012 for Spanish, Deo, 2009 for Indo-Aryan, and references therein). Seen in this context, we can understand the Tsez construction as being at a stage of development in which the constraints on its occurrence are fine-grained but still predictable; Lak may represent a later stage in the development of the progressive construction. The fact that Lak speakers have different judgments about this particular construction (some accepting and others rejecting biabsolutives with psych-verbs) may underscore possible ongoing change in its meaning.

Ultimately, the syntactic restrictions observed in the Tsez control structures and the semantic/pragmatic restriction we propose here for Lak may be related, just as the origin of the progressive itself may go back to a control structure (see our discussion in section 5.4). However, it may be more difficult to relate the two sets of restrictions in individual synchronic analyses, which is why we have kept them separate.

7.2. Learnability

The data patterns that characterize the biabsolutive construction in Lak and Tsez appear similar in certain respects and exhibit the same characteristic case and agreement patterns, but they also differ in important ways. Tsez biabsolutives exhibit constraints on A’-movement and word order that do not apply to Lak. These differences led us to propose distinct analyses for the biabsolutive construction in each language.

Any time we find (nearly) identical surface data with more than one possible underlying structure, we have identified a potential learnability issue. A child who is acquiring Lak or Tsez must determine which biabsolutive-generating structure is active in her language. This problem is compounded by the fact that the factor ultimately distinguishing Lak and Tsez is not observable from the surface features of the biabsolutive. Instead, the analyses we presented for the two languages above hinge on negative evidence. Thus, in order for a learner to arrive at the correct analysis of the biabsolutive construction in her language, she must determine both what is a possible structure and what is not. The learnability problem encountered here is more general than the adoption of the correct biabsolutive structure in two related languages; it arises whenever there is potential structural ambiguity. The case of the biabsolutive construction presents a helpful exemplar for discussing the more general problem of structural ambiguity in first-language acquisition.

7.2.1. Identifying the biabsolutive in the input

Before a learner can infer constraints on a structure, he must first learn to identify this structure in the linguistic input. To do so, the learner must be able to track morphological differences between the biabsolutive and the ergative-absolutive construction. In Tsez, this entails keeping track of (a) double absolutive marking, (b) the presence of –xo, and (c) distinct agreement on -iča- and the lexical verb. However, these characteristics may be obscured by (i) pronoun syncretism in 1st and 2nd person, (112)a; (ii) pro-drop, (112)b; (iii) absence of overt agreement on consonant-initial lexical verbs, (112)c; (iv) homophony in agreement when both absolutive-marked arguments are from the same noun class, (112)d.
7.2.2. Inferring the structure of the biabsolutive

Learners do more than merely identify strings in the input. They must also determine what structure underlies each given morphological pattern in the language being acquired. While in reality the learner must differentiate between the predictions of any $n$ structures that could generate two absolutive arguments, here we concentrate on the learner’s task of differentiating between a Lak-like structure and a Tsez-like structure. What ultimately distinguished these constructions for us, the linguists, were the constraints on $A'$-movement and extraction that were present in Tsez but not in Lak, and some differences in the restrictions on volitional subjects. When linguists determine that a construction isn’t possible in a given language, they do so by eliciting acceptability judgments from native speakers. This strategy is not available to the learner. Instead, the learner must make inferences based on strong expectations about what he should see if a given structure is part of his language, and rely on the absence of a particular kind of data to determine that a given structure isn’t present. In the Lak–Tsez comparison, the learner would be comparing two hypotheses: H1, that the biabsolutive construction is an instance of restructuring (as in Lak), and H2, that the biabsolutive involves a PP complement to a light verb (as in Tsez).

Each of these hypotheses comes with certain expectations about what the linguistic input should look like, if it is responsible for generating the data. That is, the learner would expect that if he were learning a Lak-like language, $A'$-movement and extraction of the theme from a biabsolutive construction would be possible, and might be encountered at some non-zero rate in the input. Simultaneously, the learner would have to expect that, if he were learning a Tsez-like language, such operations would not be generated by the grammar or encountered in the input.36 Consequently, if the learner were exposed to Lak input, relatively few instances of biabsolutive constructions containing scrambling, wh-movement, or relativization of the theme would be enough for him/her to infer that the biabsolutive construction in this language is monoclausal. If the learner were instead exposed to the Tsez input, the lack of these operations in biabsolutive constructions would appear suspicious. That is, if the grammar allowed scrambled word orders in a given construction, a learner might expect those word orders to be found at the same rate as scrambled word orders in any other construction. Since this rate is quite high in speech to children, with two word orders often uttered in quick succession (as in (113)), the absence of these orders in the biabsolutive construction should raise a red flag.

(113) Halmaɣ nā debi? Debi halmaɣ nā?  
friend.ABS where 2SG.POSS 2SG.POSS friend.ABS where  
‘Where is your friend? Where is your friend?’ (Gagliardi and Lidz, 2014)

Learners have been shown to make use of this kind of suspicious coincidence in word-learning contexts where they have strong enough expectations about the space of possible word meanings (Xu and Tenenbaum, 2007). It is possible that learners may be able to use the same inferential capacity to determine that the biabsolutive construction in their language is biclausal. At this stage of our knowledge, we are basing these considerations only on production data. Further investigation, including work on comprehension, is needed to test the learnability strategies outlined in this section.

36 This kind of expectation depends on the learner’s knowledge of other components of his grammar, such as the fact that scrambling and wh-movement are not possible across clause boundaries.
8. Conclusions

In this paper, we have presented and analyzed data from the biabsolutive constructions in two ND languages, Lak and Tsez. Despite many surface similarities between their respective biabsolutes, Lak and Tsez call for different syntactic analyses of this construction. We argued that the biabsolutive construction in Lak is an instance of restructuring, due to the presence of a functional head that has a specified aspectual feature, [progressive]. In this construction, the lower absolutive (the theme) receives case from the aspectual head, whereas the higher absolutive receives its case from T. The construction is clearly monoclausal, as a number of syntactic diagnostics illustrate.

Tsez biabsolutives, on the other hand, have a structure in which the theme and the lexical verb are contained in a PP selected by a light verb, according to our analysis. The proposed structural difference between Lak and Tsez biabsolutives allows us to derive the restrictions on A′-movement of the theme argument in Tsez, as this argument is inside a nominalized vP embedded under a postposition.

We are now in a position to show how the critical properties of Lak and Tsez biabsolutives are accounted for under the two analyses (Table 4).

Our conclusion, that two related languages have two different underlying structures for an apparently identical construction, has implications for the biabsolutive in ND more generally. Related languages may be classified as “Lak-type” or “Tsez-type” if their facts align with the properties outlined in this paper. Other researchers have suggested that similar analyses are possible; for example, Harris and Campbell (1995: section 7.4.3) discuss two biabsolutive constructions in Avar, suggesting that one is amenable to the analysis proposed here for Lak, while the other may be different from both analyses discussed here. Harris and Campbell’s discussion suggests that there are other biabsolutive “types” in the family, and we hope that the proposed contrast between Lak and Tsez will inspire other comparative analyses within ND. If our proposals are on the right track, it may also be useful to compare diachronic pathways from one structure to the other: should one expect the development of a monoclausal structure from a biclausal one or vice versa, and what would the trigger for such a development be?

The existence of two underlying structures for one surface pattern also poses a potential learnability issue for a child acquiring Lak or Tsez. How does a child determine which of two possible structures generates a given set of data? The learner’s challenge is to avoid undergeneration in Lak – in particular, by making use of A′ operations in biabsolutives – and to avoid overgeneration in Tsez, by assuming that A′-extraction of the theme in biabsolutives is ungrammatical. In the final section of this paper, we outlined a possible strategy for the learner to derive the correct structure from the available data, as approximated from a corpus of child-directed Tsez speech. We hypothesized that a learner must be able to pair input data with a set of linguistic hypotheses about potential biabsolutive-generating structures in order to solve this learning problem.

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