

# Mandarin particle *dou*: A pre-exhaustification exhaustifier<sup>1</sup>

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## 1. Introduction

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- This paper presents a uniform semantics to capture the seemingly diverse functions of the Mandarin particle *dou*: quantifier-distributor, free choice item (FCI)-licenser, scalar marker, ...

### 1. Quantifier/distributor:

In a basic declarative, *dou* universally quantifies over the subparts of the denotation of the associated item (enclosed in “[●]”).

- (1) [Tamen] **dou** dao -le.  
they DOU arrive -ASP  
‘They all arrived.’

Under this use, *dou* brings up more semantic consequences than  $\forall$ -quantification. Descriptively:

“Distributivity Requirement”: if the prejacent sentence admits both collective and (atomic/non-atomic) distributive readings, applying *dou* **eliminates the collective reading** (Lin 1996).

- (2) [Yuehan he Mali] **dou** jiehun -le.      (3) [Tamen] **dou** mai-le fangzi.  
John and Mary DOU get-married -ASP      they dou buy-Perf house  
‘John and Mary each got married.’      ‘They all bought houses.’(#collective)

“Plurality Requirement”: the item associated with *dou*, overt or covert, must be **non-singular**.

- (4) Yuehan [(mei-ci)] **dou** qu Beijing.  
John every-time DOU go Beijing  
‘For all the times, the place that John went to was Beijing.’

### 2. Scalar marker:

A [*lian...dou...*] construction evokes an *even*-like inference: the prejacent proposition is less likely than (some of) its alternatives. The use of *lian* is optional, but the associated item must be stressed.

- (5) (Lian) [LINGDAO]<sub>F</sub> **dou** chi dao -le.  
even leader DOU late arrive -ASP  
‘Even the leader was late.’  $\rightsquigarrow$  The leader is less likely to be late.

When associated with a scalar item (usually stressed), *dou* implies that the prejacent sentence ranks relatively high w.r.t the contextually relevant measurement.

- (6) **Dou** [WU dian]<sub>F</sub> -le.  
DOU five o'clock -ASP.  
‘It is **dou** five o'clock.’  $\rightsquigarrow$  It’s too late.

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### 3. FCI-licenser:

When associated with a pre-verbal *wh*-item/disjunction, *dou* evokes a  $\forall$ -FC reading.

- (7) [Shei] \*(**dou**) hejiu.  
 Who<sub>FCI</sub> DOU drink  
 ‘Anyone/everyone drinks.’
- (8) [Yuehan huozhe Mali] dou keyi jiao jichu hanyu.  
 John or Mary DOU can teach Intro Chinese  
 Intended: ‘Both John and Mary can teach Intro Chinese.’

The licensing of the  $\forall$ -FCI use of a pre-verbal disjunction is subject to Modal Obviation: it is only licensed in a pre-*dou*+ $\diamond$  position.

- (8') [Yuehan huozhe Mali] **dou** \*(keyi)/\*bixu jiao jichu hanyu.  
 John or Mary DOU can/must teach intro Chinese  
 Intended: ‘Both John and Mary can teach Intro Chinese.’

- **Disambiguation by focus:** If a sentence has multiple items that are eligible to be associated with *dou*, the function of *dou* and the association relation can be disambiguated by stress.

- (9) a. [Tamen] **DOU/dou** lai -guo liang-ci -le.  
 they DOU/DOU come -EXP two-time -ASP  
 ‘They ALL have been here twice.’
- b. Tamen **dou** lai -guo [LIANG<sub>F</sub>-ci] -le.  
 they DOU come -EXP two-time -ASP  
 ‘They’ve been here twice.’  $\rightsquigarrow$  They’ve been here many times.
- c. (Lian) [TAMEN]<sub>F</sub> **dou** lai -guo liang-ci -le.  
 LIAN they DOU come -EXP two-time -ASP  
 ‘Even THEY have been here twice.’

#### • Overview

I propose that *dou* is a special exhaustivity operator, which (i) operates on pre-exhaustified sub-alternatives, and (ii) presupposes the existence of a sub-alternative. Descriptively:

- (10)  $\llbracket \mathbf{dou}(S_A) \rrbracket$  (S is the prejacent clause, A is the associated item)  
 $\approx \mathbf{S}_A$  and not only  $\mathbf{S}_{A'}$  ( $A'$  is a subpart/ weak scale-mate/ sub domain-alt/ ... of A, ...)

E.g. “[A and B] **dou** came” means: A and B came, not only A came, and not only B came.  
 “**dou** [it is five o’clock]” means: It’s 5 o’clock, not just 4 o’clock, not just 3 o’clock, ...

#### Roadmap

- Section 2: Previous approaches
- Section 3: Defining *dou* as a special exhaustifier
- Section 4:  $\forall$ -quantifier/distributor
- Section 5: FCI-licenser
- Section 6: Scalar marker
- Section 7: Sorting the parameters: logical strength vs. likelihood
- Appendix: Alternative approaches in the realm of exhaustifications

## 2. Previous approaches

### 2.1. The distributor analysis (Lin 1998)

- *Dou* is a generalized distributor PART (Schwarzschild 1996). It distributes over the contextually determined cover of the associated item.

$$(11) \quad \llbracket dou \rrbracket(P, X) = 1 \text{ iff } \text{Part}_C(P, X) = 1 \\ \text{iff } \forall y[C(y) \wedge y \leq X \rightarrow P(y)], \text{ where } C \text{ is a cover of } X.$$

- (12)  $C$  is a cover of  $X$  iff
- $C$  is a set of subparts of  $X$ ; and
  - every subpart of  $X$  is a subpart of some member in  $C$ .

For example: (3) “*abc dou* bought houses.”

The possible covers of *abc* are:

$\{a, b, c\}$	Atomic distributive	‘ <i>abc</i> each bought houses’
$\{a \oplus b, c\}$	} Non-atomic distributive	
$\{a \oplus b, b \oplus c\}$		
...		
$\{a \oplus b \oplus c\}$	Collective	‘ <i>abc</i> together bought houses’

– Problems:

1. Unlike the generalized distributor, *dou* eliminates a single-cover/collective reading (Xiang 2008).  
 (3') *abc dou* bought houses.  
 (#  $w$ : *abc* all only participated in a single house-buying event.)
2. Unlike English distributors like *each* and *all*, *dou* can be associated with a distributive expression like NP-*gezi* ‘NP each’.  
 (13) They each (\*each/\*all) has some advantages.  
 (14) [Tamen] *gezi dou* you yixie youdian.  
 They each DOU have some advantage  
 ‘They each **dou** has some advantages.’
3. This analysis cannot be extended to other uses of *dou*.

### 2.2. The maximality operator analysis (Cheng & Giannakidou 2006, Xiang 2008)

- *Dou* is a maximality operator ( $\approx$  *the*) with a plural presupposition; it operates on a set that has been partitioned by covers and picks out the maximal plural individual.

$$(15) \quad \llbracket dou \rrbracket(X) = |C| > 1 \wedge \exists y \in C[\neg \text{Atom}(y) \wedge \forall z \in C[z \leq y]]. \\ \text{iff } \exists y \in C[\neg \text{Atom}(y) \wedge \forall z \in C[z \leq y]] \text{ where } C \text{ is the cover of } X \\ (\llbracket dou \rrbracket(X) \text{ is defined iff the cover of } X \text{ is non-singleton and has a unique non-atomic maximal element; when defined, the reference of } \llbracket dou \rrbracket(x) \text{ is this maximal element.)}$$

– Problems:

1. It predicts no distributivity effect. Prediction: “*abc dou* bought houses” asserts that ‘the maximal element in  $Cov_{abc}$  bought houses’, not that ‘every element in  $Cov_{abc}$  bought houses’.
2. The plurality requirement comes as a stipulation on the presupposition of *dou*: *dou* presupposes that the selected maximal element is non-atomic.

### 3. Defining *dou* as a special exhaustifier

#### 3.1. The canonical exhaustifier *only*

- *Only* operates on **excludable** (excl)-alternatives and presupposes the existence of an excl-alternative.

- (16) a.  $\llbracket \text{only} \rrbracket(p) = \lambda w [p(w) = 1 \wedge \boxed{\exists q \in \text{Excl}(p)}, \forall q \in \text{Excl}(p) [q(w) = 0]]$   
 ( $\llbracket \text{only} \rrbracket(p)$  is defined iff  $p$  is true and  $p$  has some excl-alternative(s); when defined,  $\llbracket \text{only} \rrbracket(p)$  is true iff the excl-alternatives of  $p$  are false.)  
 b.  $\text{Excl}(p) = \{q : q \in \text{Alt}(p) \wedge p \not\subseteq q\}$   
 (The set of alternatives that are not entailed by the prejacent)

Illustrating the additive presupposition: *only* is odd in (17b) because the prejacent is the strongest among the alternatives.

- (17) Which of John and Mary will you invite?  
 a. Only JOHN<sub>F</sub>, (not Mary / not both).  
 b. # Only BOTH<sub>F</sub>.  
 c. BOTH<sub>F</sub>.

#### 3.2. Defining *dou* in analogous to *only*

- In analogous, *dou* operates on pre-exhaustified **sub-alternatives** and presupposes the existence of a sub-alternative. Sub-alternatives are complements of excl-alternatives. Pre-exhaustification effect is realized by applying an *O*-operator to each sub-alternative.

- (18) a.  $\llbracket \text{dou} \rrbracket(p) = \lambda w [\boxed{\exists q \in \text{Sub}(p)}, p(w) = 1 \wedge \forall q \in \text{Sub}(p) [O(q)(w) = 0]]$   
 ( $\llbracket \text{dou} \rrbracket(p)$  is defined iff  $p$  has at least one sub-alternative; when defined,  $\llbracket \text{dou} \rrbracket(p)$  is true iff  $p$  is true and **the exhaustification of each sub-alternative** of  $p$  is false.)  
 b.  $\text{Sub}(p) = (\text{Alt}(p) - \text{Excl}(p)) - \{p\}$  (To be revised)  
 (The set of alternatives that are not excludable and distinct from the prejacent)  
 (= The set of alternatives that are weaker than the prejacent)  
 c.  $O(p) = \lambda w [p(w) = 1 \wedge \forall q [q(w) = 1 \rightarrow p \subseteq q]]$  (Chierchia et al. 2012)

A toy example: The anti-exhaustification condition (underlined) is entailed by the prejacent.

- (19) [*a* and *b*] **dou** came.  
 a.  $\text{Alt}(a \oplus b) = D_e$   
 b.  $\llbracket S \rrbracket = \text{came}'(a \oplus b)$   
 c.  $\text{Alt}(S) = \{\text{came}'(x) : x \in D_e\}$   
 d.  $\text{Sub}(S) = \{\text{came}'(a), \text{came}'(b)\}$   
 e.  $\llbracket \text{dou}(S) \rrbracket = \text{came}'(a \oplus b) \wedge \underline{\neg O[\text{came}'(a)] \wedge \neg O[\text{came}'(b)]}$
- (20) [John] (\***dou**) came. (ungrammatical unless *John* is stressed)  
 a.  $\llbracket S \rrbracket = \text{came}'(j)$   
 b.  $\text{Sub}(S) = \emptyset$   
 c.  $\llbracket \text{dou}(S) \rrbracket$  is undefined

#### 4. Predicting the quantifier-distributor use

- I argue that the requirements of maximality and plurality are illusions. All the facts that are thought to result from these two requirements actually result from the presupposition of *dou*.

##### 4.1. Deriving the “distributivity requirement”

- In (21), under a single-cover/collective reading, no alternative is asymmetrically entailed by the prejacent and no sub-alternative is available, making the use of *dou* undefined.<sup>2</sup>

(21) ‘*abc dou* bought houses.’

a.  $p = \text{Part}_{C_{ov}}(f, a \oplus b \oplus c)$

b.  $\text{Alt}(p) = \{\text{Part}_C(f, X) : X \in D_e\}$

c. **Atomic distributive**  $\checkmark$

$$\begin{aligned} \text{If } C = \{a, b, c\}, \text{ then: } \text{Sub}(p) &= \left\{ \begin{array}{l} \text{Part}_C(f, a), \text{Part}_C(f, b), \text{Part}_C(f, c) \\ \text{Part}_C(f, a \oplus b), \text{Part}_C(f, a \oplus c), \text{Part}_C(f, b \oplus c) \end{array} \right\} \\ &= \left\{ \begin{array}{l} f(a), f(b), f(c) \\ f(a) \wedge f(b), f(a) \wedge f(c), f(b) \wedge f(c) \end{array} \right\} \end{aligned}$$

**Non-atomic distributive**  $\checkmark$

$$\text{If } C = \{a, b \oplus c\}, \text{ then: } \text{Sub}(p) = \{\text{Part}_C(f, a), \text{Part}_C(f, b \oplus c)\} = \{f(a), f(b \oplus c)\}$$

**Collective**  $\times$

$$\text{If } C = \{a \oplus b \oplus c\}, \text{ then: } \text{Sub}(p) = \emptyset$$

d.  $\text{Sub}(p) = \{\text{Part}_C(f, X) : X \in D_e \wedge \{y : y \leq X \wedge C(y)\} \subset C\}$ ,

where  $C$  is the contextually determined cover of  $a \oplus b \oplus c$ .

- *Dou* can be applied to a collective statement as long as this collective predicate is divisive.

(22)  $P$  is divisive iff  $\forall x[P(x) \rightarrow \exists y < x[P(y)]]$

(Whenever  $P$  holds of something  $x$ , it also holds of some proper subpart(s) of  $x$ .)

(23) ‘*abc dou* are friends.’

a.  $\text{Sub}(\text{abc are friends}) = \{\text{ab are friends}, \text{bc are friends}, \text{ac are friends}\}$

b. ‘*abc dou* are friends’ means: *abc* are friends, not only *ab* are friends, not only *bc* are ...

In contrast, *dou* cannot be applied to a collective statement if the predicate is not divisive.

(24) Tamen (\***dou**) zucheng -le zhe-ge weiyuanhui

they DOU form -ASP this committee

‘They (\*all) formed this committee.’

<sup>2</sup>In the alternatives,  $C$  constantly denotes the contextually determined cover of the associated item in the prejacent (viz. the cover of  $a \oplus b \oplus c$ ), and PART only distributes over  $C$ . (See Liao 2012: ch. 4.) For example, if  $C = \{a, b, c\}$ , the alternative  $\text{Part}_C(f, d)$  is vacuously a tautology, and the alternative  $\text{Part}_C(f, a \oplus b \oplus c \oplus d)$  is logically equivalent to  $\text{Part}_C(f, a \oplus b \oplus c)$ .

These consequences are fine for now. Nevertheless, problems arise in case that we want an operator to operate on excl-alternatives. For example, to derive the exhaustification inference of (1), ‘*b* bought houses’ shall not be a tautology.

(1) Only  $abc_F$  bought houses.  $\rightsquigarrow d$  didn’t bought houses.

See a solution in Liu (2016) based on Link-Landman’s approach of encoding distributivity/collectivity distinction.

## 4.2. Deriving the “plurality requirement”

- This plurality is illusive; it is neither necessary nor sufficient.
- Unnecessary: *dou* can be associated with an atomic element as long as the predicate is divisive.

(25) Yuehan ba [na-ping shei] **dou** he -le (\*yi-ban).  
 John BA that-bottle water DOU drink -ASP one-half

- √ ‘J had that bottle of water.’  $\Rightarrow$  If  $x$  is part of that bottle of water, J had  $x$ .  
 $Sub(\mathbf{J\ had\ that\ bottle\ of\ water}) = \{\mathbf{J\ had\ } x : x < \mathbf{that\ bottle\ of\ water}\}$
- × ‘J had half of that bottle of water.’  $\not\Rightarrow$  If  $x$  is part of that bottle of water, J had half of  $x$ .  
 $Sub(\mathbf{John\ had\ half\ of\ that\ bottle\ of\ water}) = \emptyset$

- Insufficient: when applied to a statement with a **divisive** collective predicate, *dou* requires its associated item to denote a group containing at least 3 members. This is so because collective predicates are undefined for proper subparts of a dual-individual (i.e. atomics).

(26) ‘*ab* (\***dou**) are friends.’

- $\llbracket \text{are friends} \rrbracket = \lambda x [\neg Atom(x). \mathbf{be-friends}(x)]$
- $Sub(\mathbf{ab\ are\ friends}) = \emptyset$

## 5. FCI-licenser use

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### 5.1. Licensing conditions of Mandarin FCIs

- The English polarity item *any* is licensed as a  $\forall$ -FCI when appearing over a possibility modal, but not when it appears in an episodic statement or over a necessity modal.

(27) a. \* Anyone came in. Episodic statements  
 b. Anyone can come in.  $\approx$  Everyone can come in. Over possibility modals  
 c. \* Anyone must come in. Over necessity modals

- In Mandarin, the  $\forall$ -FCI use of a disjunction is only licensed in a pre-*dou*+ $\diamond$  position.

(28) [Yuehan huozhe Mali] **dou** \*(keyi)/\*bixu jiao jichu hanyu.  
 John or Mary DOU can/must teach intro Chinese  
 Intended: ‘Both John and Mary can teach Intro Chinese.’

But the  $\forall$ -FCI use of a bare *wh*-word can be licensed without a modal. (Giannakidou & Cheng 2006)<sup>3</sup>

(29) [Shei] \*(**dou**) dao -le.  
 who<sub>FCI</sub> DOU arrive -ASP.  
 ‘Everyone arrived.’

The licensing conditions for *na*-CL-NP ‘which-NP’ and *renhe*-NP ‘any-NP’ are less clear. They can be licensed in a pre-*dou*+ $\diamond$  position. Judgements for other contexts vary a lot.

<sup>3</sup>There are, however, variations with this judgement.

## 5.2. Deriving the $\forall$ -FC inferences

- *Wh*-items are existential indefinites; thus the plain meaning of (29) is equivalent to a disjunction. Sub-alternatives of a disjunction are the disjuncts. Applying **dou** yields a  $\forall$ -FC implicature.<sup>4</sup>

(29') "Shei **dou** arrived."

- $\llbracket \text{shei arrived} \rrbracket = f(a) \vee f(b)$
- $\text{Sub}(\text{shei arrived}) = \{f(a), f(b)\}$
- $\llbracket \text{dou} [\text{shei arrived}] \rrbracket = [f(a) \vee f(b)] \wedge \neg Of(a) \wedge \neg Of(b)$   
 $= [f(a) \vee f(b)] \wedge [f(a) \rightarrow f(b)] \wedge [f(b) \rightarrow f(a)]$   
 $= f(a) \wedge f(b)$

! **Problem:** Disjuncts are stronger than a disjunction, how can they be considered as sub-alternatives?

**Solution:** sub-alternatives are the complements of "innocently (I)-excludable" alternatives.

(30) a. **Sub-alternatives**

$$\text{Sub}(p) = (\text{Alt}(p) - \text{IExcl}(p)) - \{p\}$$

(The set of alternatives excluding the I-excludable alternatives and the prejacent itself)

b. **Innocently (I)-excl-alternatives** (Fox 2007)<sup>5</sup>

$$\text{IExcl}(p) = \bigcap \{A : A \text{ is a maximal subset of } \text{Alt}(p) \text{ s.t. } A^\neg \cup \{p\} \text{ is consistent}\},$$

where  $A^\neg = \{\neg q : q \in A\}$

( $q$  is I-excludable to  $p$  iff  $q$  is included in every maximal set of alternatives of  $p$  s.t. the exclusion of this set is consistent with  $p$ .)

– Disjuncts are not I-excludable:

$$\{p \vee q\} \text{ is inconsistent with } \{p, q\}^\neg : \quad [p \vee q] \wedge \neg p \wedge \neg q = \perp$$

– Non-excludable alternatives are also not I-excludable. Hence, this revision doesn't change the derivation of the quantifier-distributor use of *dou*.

<sup>4</sup>This derivation looks very close to that of applying Fox's (2007) recursive exhaustifier  $O_R$  or Chierchia's (2013) pre-exhaustification operator  $O_{D\text{-EXH}}$ . But *dou* is not exactly  $O_R/O_{D\text{-EXH}}$ . First, unlike  $O_R$ , *dou* does not negate the I-excludable alternatives and hence doesn't yield an exhaustification inference. Second, while  $O_{D\text{-EXH}}$  operates on domain alternatives, which are grammatically defined, *dou* operates on sub-alternatives, which are defined purely semantically. See Xiang (2016: §2.7) for more details.

<sup>5</sup>Another commonly seen definition of I-excl-alternatives is (1), which, however, is inadequate.

$$(1) \quad \text{IExcl}(p) = \{q : q \in \text{Alt}(p) \wedge \neg \exists q' \in \text{Excl}(p) [\underline{p \wedge \neg q} \rightarrow q']\}$$

(The set of alternatives  $p$  such that affirming  $p$  and negating  $q$  does not entail any excl-alternatives)

For example, in (2), where the prejacent is the strongest among the alternatives and thus has no excl-alternative, the condition underlined in (1) is vacuously satisfied; therefore, definition (1) predicts that every alternative of  $p$  is I-excludable.

(2) EVERY student came.

### 5.3. Explaining other licensing conditions

- **In licensing the FCI use of a pre-verbal *wh*-word, the use of *dou* is mandatory.**

When a *wh*-word has a non-interrogative use, its sub-alternatives are obligatorily activated and must be used by a c-commanding exhaustifier. (Liao 2012, Chierchia & Liao 2015) When *dou* is absent, these sub-alternatives would have to be used by a basic *O*-exhaustifier,<sup>6</sup> yielding a contradiction:

$$(31) \quad \llbracket O [\mathbf{shei\ arrived}] \rrbracket = O[f(a) \vee f(b)] \\ = [f(a) \vee f(b)] \wedge \neg f(a) \wedge \neg f(b) \\ = \perp$$

- **Modal Obviation: licensing the  $\forall$ -FCI use of a pre-verbal disjunction needs a weak modal.**

A focused disjunction obligatorily triggers a scalar implicature (SI).

In a non-modalized context, the  $\forall$ -FC implicature clearly contradicts the SI, therefore FC-disjunctions are not licensed in episodic statements. (Same as Chierchia 2013 on English *any*.)

$$\forall\text{-FC: } f(j) \wedge f(m) \qquad \text{SI: } \neg[f(j) \wedge f(m)]$$

In a modalized context, the SI restricts the modal base  $M$  to the set of worlds where the SI is true.<sup>7</sup>

- (32)  $\llbracket$ John or Mary $\rrbracket$  **dou** can teach Intro Chinese.

$\rightsquigarrow$  We are only considered with cases where only one person will teach IC.

$\not\rightarrow$  Not that both John and Mary will teach IC.

- a. SI pre-restricts the modal base  $M$ :

If  $f = \{\langle w1, \{j\} \rangle, \langle w2, \{m\} \rangle, \langle w3, \{j, m\} \rangle\}$ , then  $M = \{w1, w2\}$

- b. Prejacent of *dou*:  $\diamond f(j) \vee \diamond f(m)$

- c. Applying *dou* yields a  $\forall$ -FC implicature:  $\diamond f(j) \wedge \diamond f(m)$  (True in  $M$ )

- (33) \* $\llbracket$ John or Mary $\rrbracket$  **dou** must teach Intro Chinese.

- a. SI pre-restricts the modal base  $M$ :

If  $f = \{\langle w1, \{j\} \rangle, \langle w2, \{m\} \rangle, \langle w3, \{j, m\} \rangle\}$ , then  $M = \{w1, w2\}$

- b. Prejacent of *dou*:  $\square f(j) \vee \square f(m)$

- c. Applying *dou* yields a  $\forall$ -FC implicature:  $\square f(j) \wedge \square f(m)$  (False in  $M$ )

- **Remaining puzzle:** Why is that licensing the  $\forall$ -FCI use of *who* doesn't require a weak modal?

A possibility: bare *wh*-words do not generate SIs.

But this assumption makes it hard to capture the epistemic indefinite use of Mandarin *wh*-words. (See Chierchia & Liao 2015.)

<sup>6</sup>The sub-alternatives of a pre-verbal item cannot be used by a covert *dou* (or any other covert pre-exhaustification exhaustifier), due to the economy condition for language-particular operations: "don't do covertly what you can do overtly" (Chierchia 1998). Since *dou* is a language-particular particle, overt *dou* and covert  $O_{\text{DOU}}$  have complementary distributions.

(1) a. Ni [renhe-ren] \*(**dou**) keyi jian.  
You any-person DOU can meet.  
<sup>OK</sup>*dou*/\* $O_{\text{DOU}}$  [you can meet anyone]

b. Ni (**\*dou**) keyi jian [renhe-ren].  
You DOU can meet any-person  
<sup>OK</sup>*dou*/\* $O_{\text{DOU}}$  [you can meet anyone]

<sup>7</sup>Alternative approaches in the realm of exhaustifications include Dayal (2009) and Chierchia (2013). Dayal assumes a Fluctuation Constraint: in an *any*-sentence, the intersection of the restriction and the scope that verifies the sentence should not be constant across the accessible worlds. Chierchia assumes a Modal Containment Constraint: the FC and scalar implicatures have different modal bases; in particular, the one for FC is a proper subset of the one for SI.

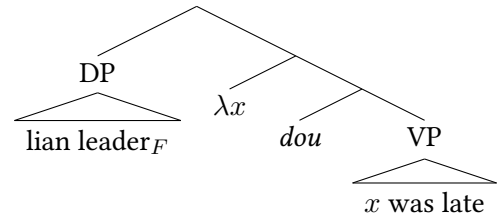


## 6. Scalar marker

### 6.1. The [*lian...dou...*] construction

- A [*lian...dou...*] sentence evokes an *even*-like reading:

(34) **Lian** [LINGDAO]<sub>F</sub> **dou** chidao -le.  
 LIAN leader                  DOU late      -ASP  
 ‘Even the leader was late.’



- English *even* triggers an existential scalar presupposition: the propositional argument is less likely than some contextually relevant alternative. (Bennett 1982, Kay 1990; cf. Karttunen & Peters 1979)<sup>8</sup>

(35)  $\llbracket \text{even} \rrbracket(p) = \exists q \in C[q >_{\text{likely}} p].p$   
 ( $\llbracket \text{even} \rrbracket(p)$  is defined only if  $p$  is more likely than some contextually relevant alternative;  
 when defined,  $\llbracket \text{even} \rrbracket(p) = p$ )

- **Getting the *even*-like reading of [*lian...dou...*]**

When *dou* agrees with a *lian*-NP (or a non-scalar focused item), the measurement for ordering alternatives gets shifted from logical strength to likelihood. Sub-alternatives are the ones more likely than the prejacent. The pre-exhaustification effect is realized by the scalar exhaustifier JUST.

(36) a.  $\text{Sub}(p) = \{q : q \in \text{Alt}(p) \wedge q >_{\text{likely}} p\}$   
 (The set of  $p$  that are more likely than  $p$ )  
 b.  $\text{JUST}(q) = \lambda w[q(w) = 1 \wedge \forall r \in \text{Alt}(q)[r(w) = 1 \rightarrow p \geq_{\text{likely}} r]]$   
 ( $q$  is true, and  $q$  is the most likely proposition among its true alternatives.)

We get a *dou* semantically equivalent to *even* (see computation in (38)): the additive presupposition of *dou* = the scalar presupposition of *even*; the assertion is vacuous.

(37)  $\llbracket \text{dou} \rrbracket(p) = \lambda w[\exists q \in \text{Sub}(p). p(w) = 1 \wedge \forall q \in \text{Sub}(p)[\text{JUST}(q)(w) = 0]]$   
 $= \exists q \in \text{Alt}(p)[q >_{\text{likely}} p].p$   $= \llbracket \text{even} \rrbracket(p)$

(38)  $\llbracket \text{dou} \rrbracket(p)$   
 $= \exists q \in \text{Sub}(p).p \wedge \forall q \in \text{Sub}(p)[\neg \text{JUST}(p)]$   
 $= \exists q \in \text{Sub}(p).\lambda w[p(w) = 1 \wedge \forall q \in \text{Sub}(p)\exists r \in \text{Alt}(q)[r(w) = 1 \wedge q >_{\text{likely}} r]]$   
 $= \exists q \in \text{Alt}(p)[q >_{\text{likely}} p].$   
 $\lambda w[p(w) = 1 \wedge \forall q \in \text{Alt}(p)[q >_{\text{likely}} p \rightarrow \exists r \in \text{Alt}(q)[r(w) = 1 \wedge q >_{\text{likely}} r]]$   
 $= \exists q \in \text{Alt}(p)[q >_{\text{likely}} p].p$

[Whenever  $p$  is true, then any alternative of  $p$  that is more likely than  $p$  is less likely than some true alternative  $r$ , where  $r = p$ .]

<sup>8</sup>Karttunen & Peters (1979) assumes a universal scalar presupposition: the propositional argument of *even* is less likely than all of its alternatives that are not identical to it. This presupposition is too strong. An *even*-sentence can also describe a non-extreme case. Examples in (2) are from Kay (1990:90).

- (1)  $\llbracket \text{even} \rrbracket(p) = \forall q \in C[p \neq q \rightarrow q >_{\text{likely}} p].p$   
 (2) a. Not only did Mary win her first round match, she even made it to the semi-finals.  
 b. The administration was so bewildered that they even had lieutenant colonels. making policy decisions

## 6.2. Minimizer-licensing

- **Fact 1:** Minimizers can be licensed in [*lian* MIN *dou* ...]. A post-*dou* negation is usually mandatory:

(39) Yuehan (*lian*) [YI-ge ren]<sub>F</sub> \*(**dou**) \*(*bu*) renshi.  
 John LIAN one-CL person DOU NEG know  
 ‘John doesn’t know anyone.’

**Analysis:** Interpreting (39) involves reconstructing the minimizer, so as to satisfy the presupposition of *dou*. Without negation or if the minimizer scopes above negation, the preajacent clause of *dou* is logically the weakest among its alternatives (*a la* Crinč 2011 on English *even*).

(40) For any  $n > 1$ :  
 a.  $\exists 1x \neg [\text{know}'(j, x)] \Leftarrow \exists nx \neg [\text{know}'(j, x)]$  MIN > NEG  
 b.  $\neg \exists 1x [\text{know}'(j, x)] \Rightarrow \neg \exists nx [\text{know}'(j, x)]$  NEG > MIN

A minimizer cannot be licensed if it cannot be reconstructed to a position below negation.

(41) \*(*Lian*) [YI-ge ren]<sub>F</sub> **dou** *bu* renshi Yuehan.  
 LIAN one-CL person DOU NEG know John.  
 Intended ‘no one knows John.’

- **Fact 2:** The post-*dou* negation is optional in (42).

(42) Yuehan (*lian*) [YI-fen qian]<sub>F</sub> \*(**dou**) (*bu*) xiang yao.  
 John LIAN one-cent money DOU NEG want request  
 With negation: ‘John doesn’t even want one cent.’  
 Without negation: ‘John wants it even if it is just one cent.’

**Analysis:** The desire predicate *xiang* ‘want’ is non-monotonic (Heim 1992, a.o.). If the minimizer takes scope below *xiang*, the alternatives are semantically independent.

(43) [**dou** [John<sub>i</sub> want<sub>NM</sub> [ **one-cent**  $\lambda x$  [ e<sub>i</sub> has  $x$  ]]]]

We can order the alternatives based on likelihood. Sub-alternatives are the ones more likely than the preajacent: it is more likely that John wants more than one cent.

## 6.3. Associating with a scalar item

- Associating *dou* with a scalar item implies that the preajacent ranks relatively high in the contextually relevant scale. The associated item can appear on the right side of *dou* but must be stressed.

(44) a. It is **dou** FIVE o’clock!  $\rightsquigarrow$  It’s too late.  
 b. John **dou** has eaten THREE burgers!  $\rightsquigarrow$  John has eaten too much.

When *dou* agrees with a focused scalar item, alternatives are ordered w.r.t. a contextually determined measurement  $\mu$ . Sub-alternatives are the ones ranking lower than the preajacent w.r.t.  $\mu$ . The pre-exhaustification effect is realized by the scalar exhaustifier JUST.

(45)  $[[\text{dou}]](p) = \lambda w [\exists q \in \text{Sub}(p). p(w) = 1 \wedge \forall q \in \text{Sub}(p) [\text{JUST}(q)(w) = 0]]$   
 a.  $\text{Sub}(p) = \{q : q \in \text{Alt}(p) \wedge p >_{\mu} q\}$   
 (The set of alternatives of  $p$  that rank lower than  $p$  w.r.t.  $\mu$ )

- b.  $\text{JUST}(q) = \lambda w[q(w) = 1 \wedge \forall r \in \text{Alt}(p)[r(w) = 1 \rightarrow r \geq_{\mu} q]]$   
 ( $r$  is true;  $r$  ranks the highest w.r.t.  $\mu$  among its true alternatives.)

A toy example:

- (46) **Dou** [WU<sub>F</sub>-dian] -le.  
 DOU five-o'clock -ASP  
 'It is **dou** FIVE<sub>F</sub> o'clock.'  
 a.  $\text{Sub}(\text{it's 5 o'clock}) = \{\text{it's 4 o'clock}, \text{it's 3 o'clock}, \dots\}$   
 b.  $\llbracket \text{dou} [\text{it's 5 o'clock}] \rrbracket = \text{'it's 5, not just 4, not just 3, ...'}$

## 7. Sorting the parameters: Logical strength vs. likelihood

- Two basic ways to define sub-alternatives:

Def A: weaker than the prejacent;<sup>9</sup> (based on logical strength)

Def B: more likely than the prejacent. (based on likelihood)

Def A is strictly stronger than Def B : due to rule (47), if a proposition is logically weaker than the prejacent, it is also more likely than the prejacent; but not the other direction.

(47) Entailment and scalarity (Crinč 2011:15)

If  $p \subset q$ , then  $p <_{\text{likely}} q$ .

- Assuming that the logical strength-based semantics is basic, and that the likelihood-based semantics is derived<sup>10</sup> and is only licensed in [*lian...dou...*], I predict the following distributional pattern of *dou*:

If the prejacent is ...	Can <i>dou</i> be licensed in...	
	(i) basic declaratives?	(ii) [ <i>lian...dou...</i> ] constructions?
a. the logically strongest alt	Yes	Yes
b. the logically weakest alt	No	No
c. neither	<b>No</b>	Yes

The prediction for (c-i) is empirically supported (capitalizing marks stress):

- (48) a. They **dou** bought houses. (#collective,  $\sqrt{\text{distributive}}$ )  
 b. (Lian) THEY **dou** bought houses. ( $\sqrt{\text{collective}}$ ,  $\sqrt{\text{distributive}}$ )
- (49) a. \* John **dou** arrived.  
 b. (Lian) JOHN **dou** arrived.

<sup>9</sup>For now, I have to neglect the complications of innocent excludability. If an alternative is stronger but not I-excludable, it is still less likely than the prejacent. One option is to pursue the following path, where the *even*-like and FCI-licenser uses of *dou* are derived from the distributor-quantifier use by weakening the def of sub-alternatives.

The derivation path of sub-alternatives:  $A \begin{cases} \rightarrow B \\ \rightarrow C \end{cases}$  where

A.  $\text{Sub}(p) = (\text{Alt}(p) - \text{Excl}(p)) - \{p\}$   
 B.  $\text{Sub}(p) = \{q : q \in \text{Alt}(p) \wedge q >_{\text{likely}} p\}$   
 C.  $\text{Sub}(p) = (\text{Alt}(p) - \text{IExcl}(p)) - \{p\}$

<sup>10</sup>This idea is supported by diachronic evidence. The quantifier-distributor use of *dou* emerges as early as the Eastern Han Dynasty (25-220) (Gu 2015), while the *even*-like use in the [*lian...dou*] construction emerges around the Ming Dynasty (1368-1644). I thank Feng Gu (p.c.) for data in Ancient Chinese.

The prediction for (c-i) favors my proposal over Liu’s (2016). Liu treats the likelihood-based semantics as the default semantics of *dou*. But, if this were the case, *dou* should be licensed whenever its likelihood-based semantics is satisfied, and hence should have the same distribution in (i) and (ii), contra the fact.

For example, for (48a), if ‘they bought houses together’  $>_{\text{likely}}$  ‘the others bought houses together’, the likelihood-based semantics of *dou* would be licensed even if the prejacent takes a collective reading.

## 8. Conclusions

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- *Dou* is a special exhaustifier which (i) operates on pre-exhaustified sub-alternatives and (ii) presupposes the existence of a sub-alternative.

– Default case (distributor and FCI-licenser):

- (50) a.  $\llbracket \text{dou} \rrbracket(p) = \lambda w [\exists q \in \text{Sub}(p). p(w) = 1 \wedge \forall q \in \text{Sub}(p) [O(q)(w) = 0]]$   
     i. Presupposition: the prejacent has some sub-alternative(s).  
     ii. Assertion: the prejacent is true, the exhaustification of each sub-alternative is false.  
 b.  $\text{Sub}(p) = (\text{Alt}(p) - \text{IExcl}(p)) - \{p\}$   
     (the set of alternatives excluding the I-excludable alternatives and the prejacent itself)

– Scalar marker

- (51) a.  $\llbracket \text{dou} \rrbracket(p) = \lambda w [\exists q \in \text{Sub}(p). p(w) = 1 \wedge \forall q \in \text{Sub}(p) [\text{JUST}(q)(w) = 0]]$   
 b.  $\text{Sub}(p) = \{q : q \in \text{Alt}(p) \wedge q >_{\text{likely}} p\}$  if in a [*lian ... dou*] construction  
 c.  $\text{Sub}(p) = \{q : q \in \text{Alt}(p) \wedge q <_{\mu} p\}$  if associated with a scalar item

- The assertion of *dou* is responsible for the derivation of  $\forall$ -FC inferences.
- The presupposition of *dou* is responsible for:
  - (i) the distributivity and plurality requirements of distributor/quantifier-*dou*,
  - (ii) the *even*-like semantics of a [*lian...dou...*] construction,
  - (iii) the licensing conditions of minimizers in [*lian MIN dou...*] constructions,
  - (iv) the scalar inferences in cases where *dou* is associated with a scalar item.

## Appendix: Alternative proposals in the realm of exhaustifications

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### Liao (2012: ch. 4)

- The particle *dou* is a focus indicator and has no meaning *per se*. It signals the use of an E-operator ( $\approx$  *even*) (Krifka 1995, Chierchia 2013) and assigns an [+add] feature to the  $\sim$ -operator (Rooth 1985).

- (52) [E [ $\sim_{[+add], C}$  [ $\text{douP}$  they<sub>i</sub> [dou *t<sub>i</sub>* arrived ]]]]
- a.  $\llbracket \text{E} \rrbracket(p) = \forall q \in \text{Alt}(p) [p \neq q \rightarrow p <_{\text{likely}} q].p$   
 b.  $\llbracket \sim_{[+add], C} \rrbracket(p) = \lambda w [\exists q \in C [q \neq p \wedge q(w) = 1] \wedge \forall q \in C [q \neq p \rightarrow (\diamond q)(w) = 1]].p$

The *even*-like meaning is due to the presupposition of the E-operator. This presupposition is trivially satisfied when *dou* applies to a distributive statement.

When *dou* is associated with a *wh*-item, the presupposition of the  $\sim_{[+add], C}$ -operator forces the prejacent to be recursively exhaustified, yielding a  $\forall$ -FC inference.

(53) [E [ $\sim_{[+add],C}$  [O<sub>R</sub> [d<sub>ouP</sub> who<sub>i</sub> [d<sub>ou</sub> t<sub>i</sub> arrived ]]]]]

• Problems:

1. The *even*-inference of a *dou*-clause is always local. The locality effect is not predicted: if a downward-entailing operator is inserted between E and *dou*, we wouldn't get the *even* reading.

(54) If **lian** JOHN<sub>F</sub> **dou** came, Mary would be happy.

$\rightsquigarrow$  John is less likely to come compared with others.

- a. If E [**lian** JOHN<sub>F</sub> **dou** came], Mary will be happy ✓
- b. E [if **lian** JOHN<sub>F</sub> **dou** came, Mary will be happy] ×

2. The additive presupposition is too strong.

(55) (**Lian**) JOHN<sub>F</sub> **dou** passed the exam, how come that the others all didn't!

3. In (53), due to the Relativized Minimality, the E-operator has nothing to be associated with. Liao assumes that E is then associated with IP, whose focus value is purely determined by context.
4. The violation to the additive presupposition of the  $\sim$ -operator could also be salvaged by any DE operators, and then the  $\forall$ -FC inference wouldn't be forced, contra the fact.

(56) He didn't what **dou** buy.

Predicted LF: [E [ $\sim_{[+add],C}$  [not [ what<sub>i</sub> [d<sub>ou</sub> [he bought t<sub>i</sub> ]]]]]]

- a. Desired reading: He didn't buy everything, (but he still bought something.)
- b. Predicted reading: He didn't buy anything.

**Liu (2016)**

- Liu (2016) adopts Karttunen & Peter's (1979) semantics of *even* and defines *dou* as equal to *even*:

(57)  $\llbracket \text{dou} \rrbracket(p) = \forall q \in \text{Alt}(p)[p \neq q \rightarrow p <_{\text{likely}} q].p$

(*dou* is truth conditionally vacuous but presupposes that its prejacent is the most unlikely proposition among its alternatives.)

When *dou* applies to a distributive statement, the prejacent entails all the alternatives, and thus the presupposition gets trivially satisfied.

Liu (2016) also make improvements on the treatment of distributivity/collectivity in the derivation of alternatives.

• Problems:

1. Treating *dou* as *even* is incompatible with the fact that *dou* has more limited distribution in basic declaratives than in [*lian ...dou...*] constructions. (See section 7)
2. The universal scalar presupposition is too strong. *Dou* can be used in non-extreme cases.

(58) a. [The kids] **dou** arrived, the teachers also arrived.

b. **only** [the kids] arrived, # the teachers also arrived.

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