

ALASTAIR IAIN JOHNSTON
The Governor James Albert Noe and Linda Noe Laine
Professor of China in World Affairs
Government Department
Harvard University
March 2010

ACADEMIC POSITIONS

Instructor, Government Department, Harvard University, 1992-1993
Assistant Professor of Government, Harvard University, 1993-1996
Associate Professor of Government, Harvard University, 1996-1999
Professor of Government, Harvard University, 1999—

EDUCATION

BA in International Relations and History, University of Toronto (1981)
MA in East Asian Studies, Harvard University (1985)
PhD in Political Science, University of Michigan (1993)

PUBLICATIONS

Single-authored Books and Monographs

China and Arms Control: Emerging Issues and Interests in the 1980s. (Ottawa, Center for Arms Control and Disarmament, Aurora Papers No. 3, 1986)

Cultural Realism: Strategic Culture and Grand Strategy in Chinese History. (Princeton: Princeton University Press, 1995) (Third edition, paperback, published in 1998)

Social States: China in International Institutions, 1980-2000 (Princeton: Princeton University Press, 2008)

Edited Books

Engaging China: The Management of an Emerging Power (co-editor with Robert S. Ross) (London: Routledge Press, 1999)

New Directions in the Study of China's Foreign Policy (co-editor with Robert S. Ross) (Stanford: Stanford University Press, 2006)

Crafting Cooperation: Regional Institutions in Comparative Perspective (co-editor with Amitav Acharya) (Cambridge: Cambridge University Press, 2007)

Chinese-English English-Chinese Glossary on Nuclear Security Terms (as member of the editorial team from the Committee on International Security and Arms Control and the Chinese Scientists Group for Arms Control (Mianyang: Atomic Energy Press, 2008)

Measuring Identity: A Guide for Social Scientists (co-editor with Rawi Abdelal, Yoshiko Herrera, and Rose McDemott) (New York: Cambridge University Press, 2009)

Articles and Book Chapters

with Robert Reford, as rapporteur, *The Other Road To Security: Canada and Disarmament*. (Toronto; Canadian Institute of International Affairs Working Group on UNSSOD II, June 1982)

"Chinese Nuclear Force Modernization: Implications for Arms Control" *Journal of Northeast Asian Studies* 2:2 (June 1983)

"Changing Army-Party Relations in China, 1979-1984." *Asian Survey*. 24:10 (October, 1984)

"Party Rectification in the Peoples Liberation Army, 1983-1987." *The China Quarterly*. No.112 (December 1987).

"China Enters the Arms Control Arena." *Arms Control Today*. 17:6 (July/August 1987). Reprinted in *Zhanlue Jingji yu Daguo Guanxi* [Strategy, Economics and Major Power Relations] (Beijing 1989), and in *Defence Asia-Pacific* (Singapore) No.1 (1988)

"Ruo guo zeyang miandui qiang guo." [How small states manage large states] *Meiguo Yue Kan* [American Monthly] (Taipei) 4:5 (September 1989)

"China and Arms Control in the Asia-Pacific Region." in Frank Langdon and Douglas Ross eds., *Superpower Maritime Strategy in the Pacific*. (London, Routledge, 1990)

"Dangdai xueshu dui Zhongguo chuantong junshi sixiang lijie de ji ge wenti" [Some problems in contemporary interpretations of traditional Chinese military thought] *Junshi Lishi* [Military history] (Beijing) No.3 (June 1991)

"Baoli yi fei baoli" [Violence and non-violence] *Guoji Zhengzhi Yanjiu*. [Studies in International Politics] (Beijing) No.1 (1992).

"Qian tan Xifang dui Zhongguo chuantong zhanlue sixiang de jie shi" [Preliminary analysis of Western views on traditional Chinese strategic thought] *Di Er Jie Sun Zi Bingfa Guoji Yantao Hui Lunwen Ji* [Collected papers from the 2nd international symposium on Sun Zi's Art of War] (Beijing 1992).

"The Role of Violence in China's Strategic Thought" in C. Dennison Lane and Dimon Liu, eds., *Conference Proceedings: Fourth Annual Conference on China's People's Liberation Army*. (Washington, American Enterprise Institute, 1993)

"Independence Through Unification: On the Correct Handling of Contradictions Across the Taiwan Straits" *Contemporary Issues* No. 2 (Fairbank Center for East Asian Research, September 1993)

"Thinking About Strategic Culture." *International Security* 19:4 (Spring 1995)

"China's New 'Old Thinking': The Concept of Limited Deterrence." *International Security* 20:3 (Winter 1995/6). Reprinted in Steven Miller et al, eds., *East Asian Security: An International Security Reader* (Cambridge MA, MIT Press, 1996)

"Learning Versus Adaptation: Explaining Change in Chinese Arms Control Policy." *The China Journal*. No.35 (January 1996)

"Cultural Realism and Strategy in Maoist China." in Peter J. Katzenstein ed., *The Culture of National Security: Norms and Identity in World Politics* (New York, Columbia University Press, 1996)

"Prospects for Chinese Nuclear Force Modernization: Limited Deterrence versus Multilateral Arms Control" *The China Quarterly*. (June 1996). Reprinted in David Shambaugh and Richard H. Yang eds., *China's Military in Transition* (Oxford: Clarendon Press, 1997)

"Engaging Myths: Misconceptions About China and Its Global Role" *Harvard Asia Pacific Review* (1997/98)

"China's Militarized Interstate Dispute Behavior, 1949-1992: A First Cut at the Data" *The China Quarterly* (March 1998)

"International Structures and Chinese Foreign Policy" in Samuel S. Kim, *China and the World* (4th Edition) (Boulder: Westview Press, 1998)

"China and International Environmental Institutions: A Decision Rule Analysis" in Michael McElroy, Chris P. Nielsen, and Peter Lydon eds., *Energizing China: Reconciling Environmental Protection with Energy Demands of a Growing Economy*. (Cambridge MA: Harvard University Press, 1998)

"Strategic Culture Revisited: Reply to Colin Gray" *Review of International Studies* 25 (July 1999)

"China and Arms Control Institutions" (with Michael D. Swaine) in Elizabeth Economy and Michel Oksenberg, eds., *China Joins the World: Progress and Prospects* (New York, Council on Foreign Relations, 1999)

"Realisms and Chinese Security Policy in the Post-Cold War Period" in Michael Mastanduno and Ethan Kapstein eds., *Unipolar Politics: Realism and State Strategies after the Cold War* (New York, Columbia University Press, 1999)

"The Myth of the ASEAN Way? Explaining the Evolution of the ASEAN Regional Forum" in Helga Haftendorn, Robert Keohane and Celeste Wallander eds., *Imperfect Unions: Security Institutions in Time and Space* (London: Oxford University Press, 1999)

"China's Engagement in International Security Institutions" (with Paul Evans), in Alastair Iain Johnston and Robert S. Ross eds., *Engaging China: The Management of an Emerging Power* (London: Routledge Press, 1999)

"Conclusion" (with Robert S. Ross), in Alastair Iain Johnston and Robert S. Ross eds., *Engaging China: The Management of an Emerging Power* (London: Routledge Press, 1999)

"Zhongguo canyu guoji tizhi de ruogan sikao" [Some thoughts on China's participation in international institutions] *Shijie jingji yu zhengzhi* [World economics and politics] (Beijing) No. 7 (July 1999)

"The Cox Report on Governance and Policy in China: Problems of Fact, Evidence, and Inference", in Michael May ed., *The Cox Committee Report: An Assessment*, Center for

International Security and Cooperation, Stanford University, (December 1999)

"Meiguo xuezhe duiyu Zhongguo yu guoji zuzhi guanxi yanjiu gaishu" [A general explication of US scholarship concerning China's relationship with international organizations] *Shijie jingji yu zhengzhi* [World economics and politics] (Beijing) No. 8 (August 2001)

"Explaining Chinese Cooperation in International Security Institutions." In Deepa M. Ollapally ed., *Controlling Weapons of Mass Destruction: Findings from USIP-sponsored Projects* (Washington DC: US Institute of Peace, September 2001)

"The Pros and Cons of Cooperation: Beijing Weighs its Options" *Harvard Asia Quarterly* 5:4 (Autumn 2001)

"Treating International Institutions as Social Environments" *International Studies Quarterly* 45:3 (December 2001)

"The Social Effects of International Institutions on Domestic (Foreign Policy) Actors" in Daniel Drezner ed., *Locating the Proper Authorities: The Interaction of Domestic and International Institutions*. (Ann Arbor: The University of Michigan Press, 2002)

"Jianlun guoji zuzhi dui guojia xingwei de yingxiang" [A sketch of theoretical research on the impact of international institutions on the behavior of states] *Shijie jingji yu zhengzhi* [World economics and politics] (Beijing) No. 12 (2002)

"Socialization in International Institutions: The ASEAN Way and International Relations Theory" in Michael Mastanduno and G. John Ikenberry eds., *The Emerging International Relations of the Asia-Pacific Region* (New York: Columbia University Press, 2003)

"Zhongguo yu guoji zuzhi: lai zi waibu de guancha" [China and international organizations: perspectives from outside China] in Wang Yizhou ed., *Mohe zhong de jiangou: Zhongguo yu guoji zuzhi guanxi de duoshijiao toudi* [Construction in contradiction: multi-dimensioned perspectives on China's relations with international organizations] (Beijing: China Development Press, 2003)

"Is China a Status Quo Power?" *International Security* 27:4 (Spring 2003)

"Here's How a Tiny Virus Can Help Improve Cross-strait Ties" (op-ed) *The Straits Times* (May 16, 2003)

The State of International Relations Research in China: Considerations for the Ford Foundation (Beijing: Ford Foundation, 2003)

"The Correlates of Nationalism in Beijing Public Opinion" Institute of Defence and Strategic Studies, Singapore, *Working Paper* No 50. (2003)

"China's International Relations: The Political and Security Dimensions" in Samuel S. Kim ed., *Northeast Asia in World Politics* (Rowman & Littlefield, 2003)

"Chinese Middle Class Attitudes Towards International Affairs: Nascent Liberalization?" *The China Quarterly* No. 179 (September, 2004)

“Beijing’s Security Behavior in the Asian-Pacific: Is China a Dissatisfied Power?” in Allen Carlson, Peter Katzenstein, and J.J. Suh, *Rethinking Security in East Asia: Identity, Power and Efficiency* (Stanford University Press, 2004)

“Conclusions and Extensions: Toward Mid-range Theorizing and Beyond Europe” *International Organization* 59:4 (September 2005)

“The Correlations of Beijing Opinion toward the United States, 1998-2004” in Alastair Iain Johnston and Robert S. Ross, editors, *New Directions In the Study of China’s Foreign Policy* (Stanford University Press, 2006)

“Conclusion” (with Thomas J. Christensen and Robert S. Ross) in Alastair Iain Johnston and Robert S. Ross, editors, *New Directions In the Study of China’s Foreign Policy* (Stanford University Press, 2006)

“Identity as a Variable” (co-authored with Rawi Abdelal, Yoshiko Herrera, and Rose McDermott) *Perspectives on Politics* 4:4 (December 2006)

“Chinese Attitudes toward the United States and Americans” (co-authored with Daniela Stockmann) in Peter Katzenstein and Robert Keohane, editors, *Anti-Americanisms and World Politics* (Ithaca: Cornell University Press, 2007)

“Comparing Regional Institutions: An Introduction” (with Amitav Acharya) in Amitav Acharya and Alastair Iain Johnston eds., *Crafting Cooperation: Regional Institutions in Comparative Perspective* (Cambridge University Press, 2007)

“Conclusion: Institutional Features, Cooperation Effects and the Agenda for Further Research on Comparative Regionalism” (with Amitav Acharya) in Amitav Acharya and Alastair Iain Johnston eds., *Crafting Cooperation: Regional Institutions in Comparative Perspective* (Cambridge University Press, 2007)

“Measuring Identity: Conceptual and Methodological Issues” (co-authored with Rawi Abdelal, Yoshiko Hererra and Rose McDermott) in Rawi Abdelal, Yoshiko Hererra, Alastair Iain Johnston and Rose McDermott eds., *Measuring Identity: A Guide for Social Scientists* (Cambridge: Cambridge University Press, 2009)

“Is China Rising?” (with Sheena Chestnut) in Eva Paus, Penelope Prime, Jon Western eds., *Is China Changing the Rules of the Game?* (New York: Palgrave MacMillan 2009)

“Measuring Identity Content and Comparing Social Identities” (with Yoshiko Herrera, Rose McDermott and Rawi Abdelal) *Qualitative & Multi-Method Research* (Spring 2009) pp. 41-45

PAPERS AND PRESENTATIONS I (professional associations)

American Political Science Association Annual Meeting, September 1994.

Association of Asian Studies Annual Meeting, Washington DC, April 1995.

American Political Science Association Annual Meeting, Washington DC, August 1997

American Political Science Association Annual Meeting, Boston, September 1998

American Political Science Association Annual Meeting, Boston, September 1998

International Studies Association Annual Meeting, Washington DC, February 1999

International Studies Association Annual Meeting, Chicago, February 2001
American Political Science Association Annual Meeting, Boston, August 2002
American Political Science Associate Annual Meeting, Philadelphia, August 2003
International Studies Association Annual Meeting, New York, February 2009

PAPERS AND PRESENTATIONS II (invited lectures and specialized conferences)

1985-1992

Canadian Centre for Arms Control and Disarmament, Ottawa
Office for International Peace and Security Research, University of Michigan, Ann Arbor
University of British Columbia, Vancouver.
American Academy of Arts and Sciences, Cambridge MA
2nd International Symposium on Sun Zi's Art of War, Beijing

1992

Contemporary History Institute, Athens, Ohio
Olin Institute for Strategic Studies, Harvard University

1993

First Workshop on Norms and National Security, sponsored by the SSRC/MacArthur Foundation, Ithaca NY
Fifth Annual Summer Symposium on Science and World Affairs, Union of Concerned Scientists, Cambridge MA
American Enterprise Institute
Strategy Faculty Seminar, US Naval War College, Newport RI

1994

Second Workshop on Norms and National Security, sponsored by the SSRC/MacArthur Foundation, Minneapolis, MN,
Fletcher School of Law and Diplomacy
University of New Brunswick
Boston University
4th ISODARCO Seminar on Arms Control, Beijing
Olin Institute National Security Group, Harvard University
Center for National Security Studies, Los Alamos National Labs, Washington DC
Third Workshop on Norms and National Security, sponsored by the SSRC/MacArthur Foundation, Stanford University, Stanford CA,

1995

Fairbank Center Seminar, Harvard University
American Council of Learned Societies/Fairbank Center, Harvard University
Harvard Institute for International Development
Harvard University Committee on the Environment
Council on Foreign Relations

1996

ITOCHU Corporation, Tokyo
Foundation for International and Strategic Studies, Beijing.
State Council Development Research Center, Beijing

Foreign Affairs College, Beijing
Academy of Military Sciences, Beijing
Institute of World Economics and Politics, Chinese Academy of Social Sciences, Beijing,
Program on Arms Control and Disarmament, the China Defense Science Technology Information
Center, Beijing
Woodrow Wilson Center, Washington DC
Center for International Affairs, Harvard University
Fairbank Center, Harvard University

1997

Kennedy School of Government, Harvard University
Center for International Affairs, Harvard University
Office of the Secretary of Defense/Net Assessment, Newport RI
Policy Planning Group, Ministry of Foreign Affairs and Trade, Ottawa, Canada
Fairbank Center for East Asian Research, Harvard University
Olin Institute for Strategic Studies, Harvard University
Summer Symposium on Science and World Affairs, Cornell University
Harvard Academy for International and Area Studies
Columbia University, New York

1998

Ethics and International Affairs Seminar, Harvard University, March 1998
University of Pennsylvania, Philadelphia
Massachusetts Institute of Technology
Conference on International Relations Theory and the Study of Chinese Foreign Policy, Harvard
University
The Policy Study Group, Tokyo
Chinese Academy of Social Sciences, Beijing
Center for International Security and Cooperation, Stanford University.

1999

Center for Chinese Studies, Stanford University
Center for International Security and Cooperation, Stanford University
University of Colorado at Boulder
Institute of World Economics and Politics, Chinese Academy of Social Sciences, Beijing,
Monterey Institute of International Studies, Monterey CA.

2000

Fairbank Center, Harvard University
Center for International Security and Cooperation, Stanford University
Association of Harvard University Alumni Clubs of Asia, Taipei.
Institute of World Economics and Politics, Chinese Academy of Social Sciences, Beijing
Strategic Studies Institute, Communist Party of China Central Party School, Beijing
Department of Government, University of Virginia

2001

The CNA Corporation, Alexandria VA.
United States Military Academy at Westpoint
Fairbank Center for East Asian Research
Conference in celebration of the retirement of Professor Allen S. Whiting, Tuscon, Arizona.
Olin Institute of Strategic Studies, Harvard University

Weatherhead Center for International Affairs, Harvard University

2002

Tufts Institute for Leadership and International Perspective
Fairbank Center for East Asian Research, Harvard University
Cornell University
Olin Institute of Strategic Studies, Harvard University
RAND Corporation, Washington DC

2003

Ministry of Foreign Affairs, Singapore
Institute of Defence and Strategic Studies, Singapore
Institute for South East Asian Studies, Singapore
Ministry of Defense, Singapore
East Asia Institute, National University of Singapore
Scenario Planning Group, Prime Minister's Office, Singapore
New England China Seminar, Cambridge MA

2004

Communist and Post-Communist Seminar, Harvard University

2005

Fairbank Center for East Asian Research, Harvard University
Goldman Sachs and Putnam Associates, Boston
Center for Advance Research in the Behavioral Sciences, Stanford University
Olin Institute for Strategic Studies, Harvard University

2006

Weatherhead Center for International Affairs, Talloires Conference
China Foundation for International and Strategic Studies, Beijing
Peking University School of International Studies, Beijing
National Defense University, Washington DC
MITRE Corporation, Washington DC
Princeton University, Princeton NJ

2007

Booz Allen Hamilton, Washington DC
SAIC, Washington DC
Institute of World Economics and Politics, Beijing
Foreign Affairs College, Beijing
Zhejiang University, Hangzhou
China Institutes of Contemporary International Relations, Beijing
Center for Strategic and International Studies
Institute of East Asian Studies Institute, UC Berkeley

2008

The RAND Corporation, Washington DC
Mount Holyoke College, MA
Princeton University, Princeton NJ
Harvard Kennedy School
Stanford University, Stanford CA

UMASS-Amherst, Amherst MA

2009

Fairbank Center, Harvard University.
National Defense University, Washington DC.
Fletcher School of Law and Diplomacy, Medford MA

2010

Fairbank Center, Harvard University
Harvard Business School
Pacific Command, Camp Smith, Honolulu, HI

TEACHING AREAS

- international relations theory
- international relations of East Asia
- Chinese foreign policy
- identity

PEER REVIEWING

Princeton University Press
Stanford University Press
University of California Press
Westview Press
American Political Science Review
International Security
International Studies Quarterly
International Organization
The China Quarterly
Asian Survey
Political Psychology
European Journal of International Relations

SERVICE

Council on Foreign Relations, Asian Security Study Group (1994-5)
Council on Foreign Relations, Engaging China Study Group (1996-7)
Council on Foreign Relations, China Arms Control Study Group (1998)
Council on Foreign Relations, Task Force on Chinese Military Power (2002-2003)
Union of Concerned Scientists, Science Advisory Group (1998--)
National Academy of Sciences, Committee on International Cooperation and Arms Control
(2007--)
Ford Foundation, consultant (1998, 2002)
Princeton-Harvard Program on China and the World, Co-director (2004--)
Social Science Research Council, International Pre-dissertation Fellowship Program Committee
(1996- 2001)
Editorial Advisory Board, Peking University Press, translation series in International Relations
theory (2001--)
Editorial Board, Shanghai Peoples Publishing House, translation series, Contemporary Works in
International Relations Studies (2001--)
Editorial Board, Zhejiang Peoples Publishing House, translations series in Contemporary

International Relations (2001--)
Editorial Committee, Asian Security Series, Stanford University Press (2002--)
Editorial Board, *International Organization* (2003-2009)
Editorial Board, *The China Quarterly* (2003--)
Editorial Board, *Asian Survey* (2004 --)
Editorial Board, *Pacific Review* (2008--)
Editorial Board, *Shijie jingji yu zhengzhi* (World economics and politics)(Beijing) (2003--)
Academic Board, *Guoji Zhengzhi Kexue* (Science of International Politics) (Beijing) and
its English version, *The Chinese Journal of International Politics* (Oxford UP) (2005--)
International Editorial Board, *Cambridge Review of International Studies* (2006--)
Academic Advisory Board, Center on China's Transnational Relations, Hong Kong Science and
Technology University (2004--)
Academic Advisory Board, Institute for US-China Issues, University of Oklahoma (2007--)
Board of Scholars, University of Southern California US-China Institute (2007--)
Academic Adviser, Center for Non-Traditional Security Studies, Zhejiang University (2007--)
Participant, Council on Security Cooperation in the Asia Pacific, Arms Control Working Group,
Singapore, (August 2003)
Executive Committee, Harvard Yard Child Care Center (2008/9)