

## Shrime CEA checklist<sup>1</sup>

<p><b>Assumptions</b></p> <ol style="list-style-type: none"> <li>1. Assumptions are made explicit.</li> <li>2. Assumptions which bias the ICER downward are avoided</li> </ol>	<ol style="list-style-type: none"> <li>1. <input type="checkbox"/></li> <li>2. <input type="checkbox"/></li> </ol>
<p><b>Analytic perspective and intervention definition</b></p> <ol style="list-style-type: none"> <li>1. The base-case analysis is from the societal perspective. (Other perspectives may be included as secondary results.)</li> <li>2. Results are report for the intervention studied, including the platform and context for care delivery.</li> <li>3. Results are not generalized beyond what is explicitly studied.</li> </ol>	<ol style="list-style-type: none"> <li>1. <input type="checkbox"/></li> <li>2. <input type="checkbox"/></li> <li>3. <input type="checkbox"/></li> </ol>
<p><b>Measuring costs</b></p> <p><i>Which costs to include</i></p> <ol style="list-style-type: none"> <li>1. Costs to all levels of society are included:             <ol style="list-style-type: none"> <li>a. The health ministry</li> <li>b. The provider/hospital</li> <li>c. The patient’s direct medical costs</li> <li>d. The patient’s direct non-medical costs.</li> </ol> </li> <li>2. Indirect costs may be included, if available, in secondary analyses.</li> </ol> <p><i>Fixed costs</i></p> <ol style="list-style-type: none"> <li>3. Capital costs are annualized across the lifetime of the capital, taking into account resale value and discounting.</li> <li>4. Labor costs are explicitly detailed or are approximated by the salaries and benefits of the professionals in question.</li> <li>5. Salaries and benefits of visiting surgeons are included, if they are involved</li> </ol> <p><i>Variable provider costs</i></p> <ol style="list-style-type: none"> <li>6. All variable costs are accounted for, including medications, supplies, and operating room time.</li> </ol> <p><i>Patient costs</i></p> <ol style="list-style-type: none"> <li>7. Direct medical costs include anything for which a patient has to pay because of surgery</li> <li>8. Direct non-medical costs include transportation, food, lodging, and “informal payments” necessary to get care.</li> <li>9. If caregivers commonly accompany patients, their direct costs are included.</li> </ol> <p><i>Standardizing costs</i></p> <ol style="list-style-type: none"> <li>10. All costs are represented as international dollars, using GDP deflators and purchasing power parity conversion factors</li> </ol>	<ol style="list-style-type: none"> <li>1.             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/></li> <li>b. <input type="checkbox"/></li> <li>c. <input type="checkbox"/></li> <li>d. <input type="checkbox"/></li> </ol> </li> <li>2. <input type="checkbox"/></li> <li>3. <input type="checkbox"/></li> <li>4. <input type="checkbox"/></li> <li>5. <input type="checkbox"/></li> <li>6. <input type="checkbox"/></li> <li>7. <input type="checkbox"/></li> <li>8. <input type="checkbox"/></li> <li>9. <input type="checkbox"/></li> <li>10. <input type="checkbox"/></li> </ol>

<p><b>Discounting</b></p> <p>11. All future costs are discounted</p> <p>12. If a life-time time horizon is used for discounting, age- and country-specific life-tables are used to determine life expectancy.</p> <p><b>Credibility</b></p> <p>13. The credibility of measured costs is checked against other available data.</p>	<p>11. <input type="checkbox"/></p> <p>12. <input type="checkbox"/></p> <p>13. <input type="checkbox"/></p>
<p><b>Measuring effectiveness</b></p> <p>1. DALYs averted are the primary measure of effectiveness.</p> <p>2. Disability weights in the Global Burden of Disease studies are used if available. If the disability weight is unavailable, it is calculated from available data using a multiplicative formulation (see equation (7)).</p> <p>3. Subjective estimation of disability weights is avoided.</p> <p>4. The credibility of disability weights estimates is confirmed by comparing against other disability weights of the same magnitude.</p> <p>5. All future benefits are discounted at the same rate as future costs.</p> <p>6. Non-age-weighting disability weights are used as the base-case (age-weighting may be treated in scenario analyses)</p>	<p>1. <input type="checkbox"/></p> <p>2. <input type="checkbox"/></p> <p>3. <input type="checkbox"/></p> <p>4. <input type="checkbox"/></p> <p>5. <input type="checkbox"/></p> <p>6. <input type="checkbox"/></p>
<p><b>Estimating probabilities</b></p> <p>1. Decision trees are used to represent all possible eventualities for patients in the analysis.</p> <p>2. Probabilities are determined directly from data or from the literature.</p> <p>3. Simplified and/or subjective probability estimates are avoided.</p>	<p>1. <input type="checkbox"/></p> <p>2. <input type="checkbox"/></p> <p>3. <input type="checkbox"/></p>
<p><b>Valuing the counterfactual</b></p> <p>1. An incremental cost-effectiveness ratio, against the counterfactual of the status quo, is reported</p> <p>2. If a simplified, average cost/effectiveness ratio is reported—that is, if the counterfactual is “nothing”—a <i>strong</i> case has been made that the studied intervention is never performed in the region of interest.</p>	<p>1. <input type="checkbox"/></p> <p>2. <input type="checkbox"/></p>
<p><b>Addressing heterogeneity and uncertainty</b></p> <p>1. Patient-level data are used to address heterogeneity. If patient-level data are not available, microsimulation methods may be used.</p> <p>2. All parameters are subjected to one-way, two-way, or probabilistic sensitivity analyses.</p> <p>3. Scenario analyses are included, as relevant</p> <p>4. ICERs are reported with appropriate uncertainty metrics.</p>	<p>1. <input type="checkbox"/></p> <p>2. <input type="checkbox"/></p> <p>3. <input type="checkbox"/></p> <p>4. <input type="checkbox"/></p>

<sup>1</sup>Shrime MG, Alkire BC, Grimes C, Chao TE, Poenaru T, Verguet S. Cost-effectiveness in global surgery: Pearls, pitfalls, and a checklist. *World Journal of Surgery* 2017. doi: 10.1007/s00268-017-3875-0