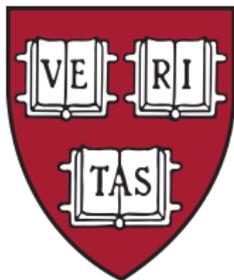


Complete and True Attitudes held of questions

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Introduction: Exhaustivity

(1) Jenny knows who came.

▶ **Weakly exhaustive (WE)**

$\forall x [x \text{ came} \rightarrow \text{J knows } x \text{ came}]$

▶ **Strongly exhaustive (SE)**

$\forall x [x \text{ came} \rightarrow \text{J knows } x \text{ came}] \ \& \ \forall x [x \text{ didn't come} \rightarrow \text{J knows } x \text{ didn't come}]$

The most prominent reading is stronger than WE and weaker than SE (Cremers & Chemla 2016). Compared with WE, it is **sensitive to false answers** (FAs).

<i>Did ... come?</i>	<i>a</i>	<i>b</i>	<i>c</i>	
Facts	✓	✓	✗	
Jenny's belief	✓	✓	?	😊
Mary's belief	✓	✓	✓	😞

▶ **Intermediately exhaustive (IE)**

(Klinedinst & Rothschild 2011, a.o.)

$\forall x [x \text{ came} \rightarrow \text{J knows } x \text{ came}] \ \& \ \forall x [x \text{ didn't come} \rightarrow \text{not } [\text{J believes } x \text{ came}]]$

But, exhaustiveness doesn't apply to mention-some questions;
the IE reading is too weak in characterizing FA-sensitivity.

Mention-all (MA) questions

Most questions admit only **exhaustive** answers. Non-exhaustive answers must be **ignorance-marked**, yielding undesired exclusive inferences otherwise.

(2) Who went to the party?

(*w*: Only John and Mary went to the party.)

a. John and Mary.\

b. John did .../

L H* L-H%

b' .#John did.\

H* L-L%

↔ Only John went to the party.

Partial answer

Mention-some (MS) questions: questions that admit MS answers

Basic \diamond -questions admit MS answers. Crucially, while being non-exhaustive, MS answers **do not need to be ignorance-marked**.

(3) Where can we get coffee?

(*w*: There are only two accessible coffee stores: A and B.)

a. Store A.\

↗ We can get coffee only from store A.

MS-answer

b. Store A and Store B.\

Conj MA-answer

c. Store A or Store B.\

Disj MA-answer

Indirect **MS-questions** also have readings sensitive to false answers. (George 2013)

<i>Italian newspapers are available at ...</i>	<i>Newstopia?</i>	<i>PaperWorld?</i>
<i>Facts</i>	✓	✗
John's belief	✓	?
Mary's belief	✓	✓

- (4) a. **John** knows where we can buy an Italian newspaper. TRUE
b. **Mary** knows where we can buy an Italian newspaper. FALSE

☞ **FA-sensitivity is independent from exhaustivity.**

Truth conditions of “Jenny knows Q”

- ① Jenny knows an answer that completely addresses Q.
- ② Jenny doesn't believe any false answers relevant to Q.

Completeness

FA-sensitivity

Goal: Provide a **uniform** analysis for indirect MS- and MA-questions.

Slogan: The mapping-relation from structure to meaning is highly principled.
For the same type of structures, the derivation of meaning is uniform.

Completeness

Core issue: Are MS-answers partial or complete?

- ▶ If they are **partial**, why MS-questions are tolerated of incomplete answers?
- ▶ If they are **complete**, how can we define Completeness and derive MS? ✓

Plan

- ▶ Lines of approaches to MS: pragmatic, post-structural, structural
- ▶ Arguments for structural approaches
- ▶ Two structural approaches: Fox (2013) and Xiang (2016)

Pragmatic approaches (Gr&S 1984, Ginzburg 1995, van Rooij & Schulz 2004, a.o.)

Complete answers must be exhaustive. MS answers are partial answers that are sufficient for the conversational goal behind the question.

- (5) Where can we get coffee?
- a. to find a place to get some coffee. MS
 - b. to investigate the local coffee market. MA

Post-structural approaches (Beck & Rullmann 1999, George 2011: ch 2, a.o.)

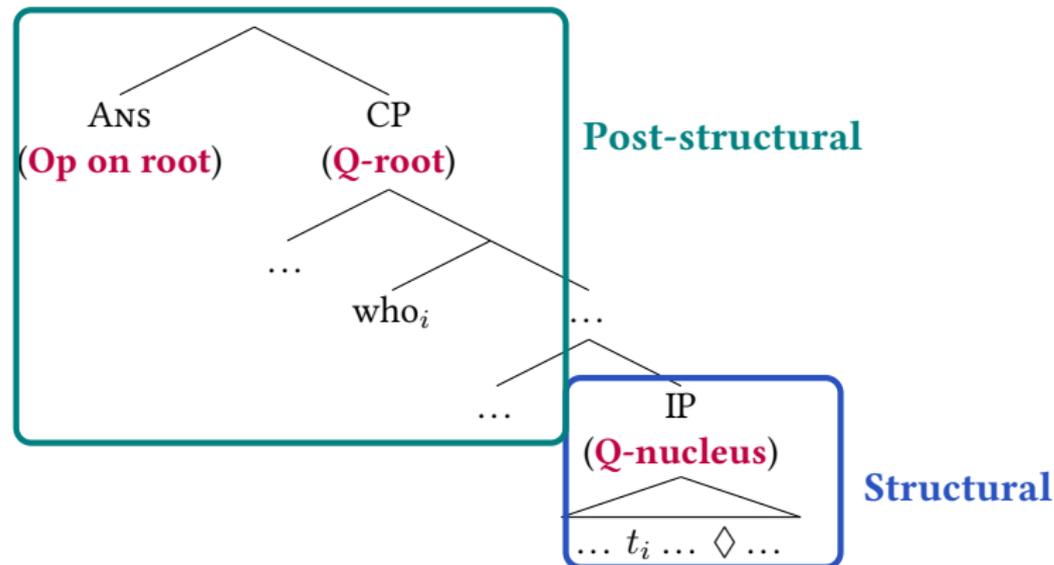
MS and MA are two independent readings, derived via different operations on question roots. However, MS/MA ambiguity can only be explained by pragmatics.

E.g. B&R (1999): A question **unambiguously** denotes the Hamblin-Karttunen intension; it takes MS iff the employed **Ans-operator** is **existential**.

- (6) a. $ANS_1(Q)(w) = \bigcap \{p : Q(w)(p) \wedge p(w)\}$ (for MA)
b. $ANS_3(Q)(w) = \lambda P_{\langle s, stt \rangle}. \exists p [P(w)(p) \wedge Q(w)(p) \wedge p(w)]$ (for MS)

Structural approaches (George 2011: ch. 6; Fox 2013, 2015; Xiang 2015, 2016bc)

MS/MA-answers are uniformly possible complete answers. The MS/MA ambiguity comes from minimal **structural variations** within the **question nucleus**.



Only structural approaches predict a grammatical relation between MS and \diamond -modal.

◇-modal licenses MS-readings in various *wh*-constructions.

(7) Free relatives (Chierchia & Caponigro 2013)

- a. Mary ate what Jenny bought.
- b. John went to where he **could** get coffee.

(8) *Wh*-conditionals in Mandarin (Liu 2016)

- a. Ni qu-guo nar, wo jiu qu nar.
you go-EXP where, I JIU go where
Intended: 'I will go to **every** place where you have been to.'
- b. Nar **neng** mai-dao kafei, wo jiu qu nar.
where can buy-reach coffee, I JIU go where
Intended: 'I will go to **one** of the places where I can buy coffee.'

Evidence for structural approaches (II)

Mention-some = mention-one: Each MS answer specifies only one option.

1. In answering a **matrix** MS-question, **mention-few answers** are interpreted exhaustively if not ignorance-marked.

(9) Where can we get coffee in the food court?

- | | | |
|---------------------------|---|----|
| a. Starbucks.\ | $\not\rightarrow$ <i>Only at Starbucks.</i> | MS |
| b. Starbucks and Peet's.\ | \rightsquigarrow <i>Only at Starbucks and Peet's.</i> | MF |
| c. Starbucks or Peet's.\ | \rightsquigarrow <i>Only at Starbucks and Peet's.</i> | MF |

Compare: partial answers of matrix MA-questions can be mention-few.

(10) Who is in your committee, **for example**?

- | | |
|--------------------|---|
| a. Andy. | $\not\rightarrow$ <i>Only Andy is in my committee.</i> |
| b. Andy and Billy. | $\not\rightarrow$ <i>Only Andy and Billy are in my committee.</i> |

2. **Indirect** MS-questions cannot take non-exhaustive mention-few readings, even if mention-few answers suffice for the conversational goal.

(11) (Context: *The dean wants to meet with 3 eligible committee chair candidates.*)
Jenny knows who can chair the committee.

- | | | |
|---|--|----|
| ✓ | $\exists x$ [x can chair \wedge J knows that x can chair] | MS |
| ✓ | $\forall x$ [x can chair \rightarrow J knows that x can chair] | MA |
| ✗ | $\exists xyz$ [xyz each can chair \wedge J knows that xyz each can chair] | MF |

A sample truth value judgment task (p.c. with Seth Cable):

Scenario

Norvin says to us, “On my exam, you’ll have to name ... multiple *wh*-fronting.”

- | | |
|---|---------|
| 1. ... one language that has ... | [TRUE] |
| 2. ... all the languages that have ... | [?TRUE] |
| 3. ... three languages that have ... | [FALSE] |

Test sentence

Norvin said that we’ll have to know where we can find multiple *wh*-fronting.

Evidence for structural approaches (III)

Experimental evidence With the same conversational goal, presence of \diamond -modal significantly increases the acceptance of MS. (Xiang & Cremers 2017)

Scenario: Mary is in charge of choosing two children to lead the dance. The only rule is that the children **leading the dance** should **have an accessory in common**.

How children are dressed:



Ann



Bill



Chloe



Diana

Mary's memory:

Bill and Chloe wear the same bow tie, Chloe wears a hat.
Therefore, Bill and Chloe can lead the dance.

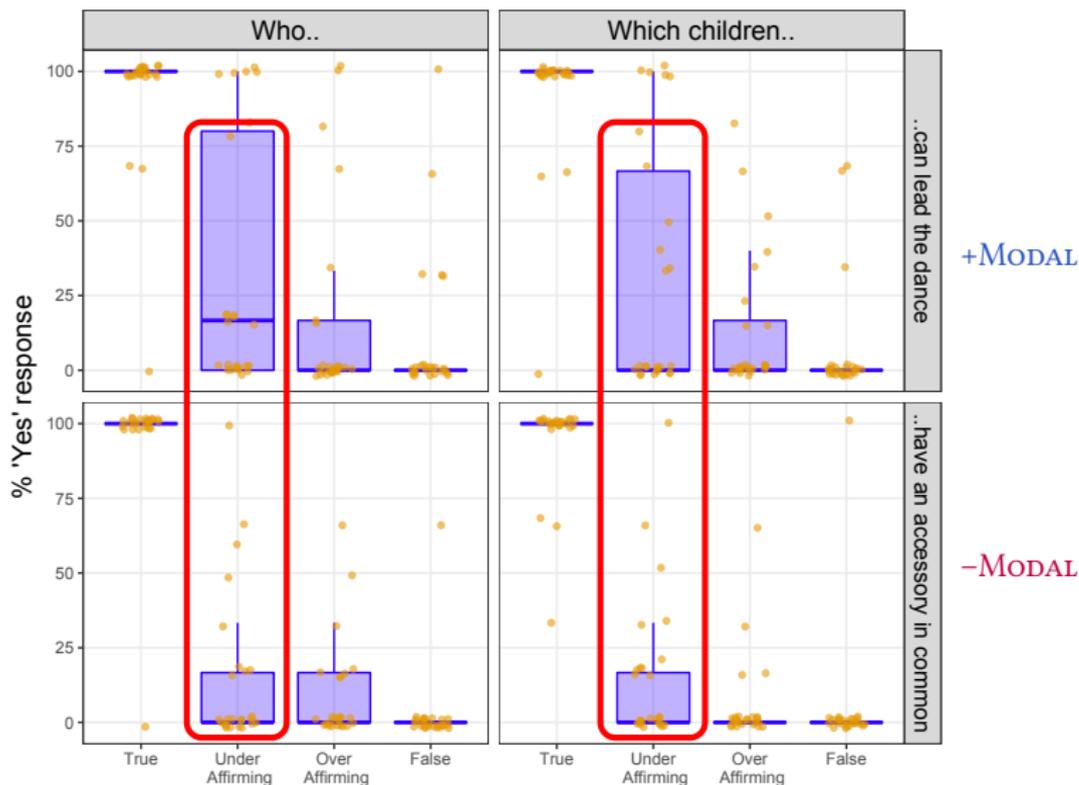
Sentences:

\pm D-LINKED

\pm MODAL

Mary remembers { who
which children } { can lead the dance
have accessories in common }

Evidence for structural approaches (III)



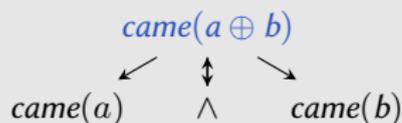
Mixed effects logit model on UA(=MS) targets reported a significant effect of MODAL.

☞ MS is more readily available with the presence of \diamond -modal.

Summarizing the evidence

- ① \diamond -modal licenses MS in various *wh*-constructions.
 - ② Mention-some = mention-one
 - ③ Significant effect of \diamond -modal in licensing MS
- ☞ There must be some grammatical relation between MS and \diamond -modal.
 - ☞ Structural approaches ✓

- (12) Who came?
(*w*: Only Andy and Billy came.)



Completeness = Strongestness

Dayal (1996): Only the **strongest** true answer (i.e., the unique true answer that entails all the true answers) completely answers a question.

$$(13) \text{ANS}_{\text{Dayal}}(Q)(w) = \iota p[w \in p \in Q \wedge \forall q[w \in q \in Q \rightarrow p \subseteq q]]$$

This view is advantageous in several respects but leaves no space for MS.

Completeness = Max-informativity

Fox (2013): Any true answer that is **max-informative** (i.e., not asymmetrically entailed by any true answers) is complete.

$$(14) \text{ANS}_{\text{Fox}}(Q)(w) = \{p : w \in p \in Q \wedge \forall q[w \in q \in Q \rightarrow q \not\subseteq p]\}$$

- ☞ A question takes MS iff it can have **multiple** max-inf true answers.
- ☞ A question takes MA if its answer space is **closed under conjunction**.

The *wh*-trace has a covert phrase mate EACH. In \diamond -questions, the MS/MA ambiguity is from the **scope ambiguity of distributivity** relative to the \diamond -modal.

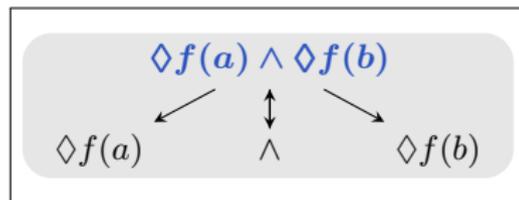
- ▶ **Global** distributivity: Q is closed under conjunction, yielding **MA**.
- ▶ **Local** distributivity: Q can have multiple max-inf true answers, yielding **MS**.

(15) Who can chair the committee?

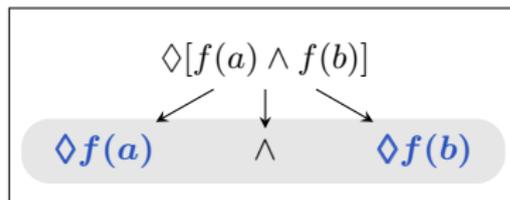
(*w*: Only Andy and Billy can chair the committee; single-chair only.)

a. $Q = \{\text{EACH}(X)(\lambda x.\diamond\text{chair}(x)) : X \in \text{hmn}_\circ\}$

b. $Q = \{\diamond\text{EACH}(X)(\lambda x.\text{chair}(x)) : X \in \text{hmn}_\circ\}$



$[X \text{ EACH}] \gg \diamond$: MA



$\diamond \gg [X \text{ EACH}]$: MS

Problem 1: It under-generates MS answers.

(16) Who can serve on the committee?

(w : The committee can be made up of $g+j$ or $g+j+d$.)

a. Gennaro and Jim.\

$\diamond[\text{serve}(g) \wedge \text{serve}(j)]$

b. Gennaro, Jim, and Danny.\

$\diamond[\text{serve}(g) \wedge \text{serve}(j) \wedge \text{serve}(d)]$

Solution to P1: local exhaustification

The \diamond -modal embeds an **exhaustifier** (\approx *only*) associated with the *wh*-trace.

(17) $\mathbf{O}(p) = \lambda w[p(w) = 1 \wedge \forall q \in \text{Alt}(p)[p \not\subseteq q \rightarrow q(w) = 0]]$

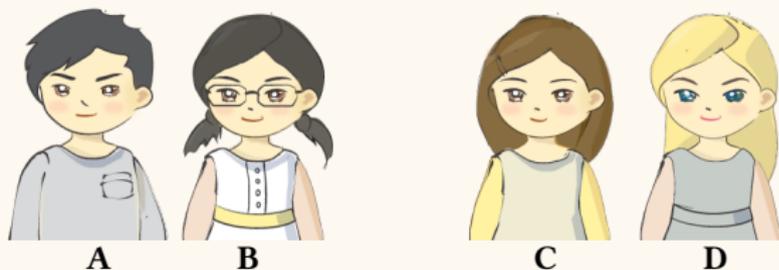
(p is true, any alternative of p that is not entailed by p is false.)

This exhaustifier provides a **non-monotonic** environment w.r.t. the *wh*-trace, preventing (16a) from being entailed by (16b):

$\diamond\mathbf{O}[\text{serve}(g) \wedge \text{serve}(j)] \not\subseteq \diamond\mathbf{O}[\text{serve}(g) \wedge \text{serve}(j) \wedge \text{serve}(d)]$

Problem 2: EACH cannot be used in questions with a collective predicate.

Who can form a team? exhibits MS/MA ambiguity, although *form a team* licenses only a collective reading.



- (18) a. ✓ The kids **formed teams**.
b. # The kids **formed a team**.

[covered: TRUE]
[collective: FALSE]

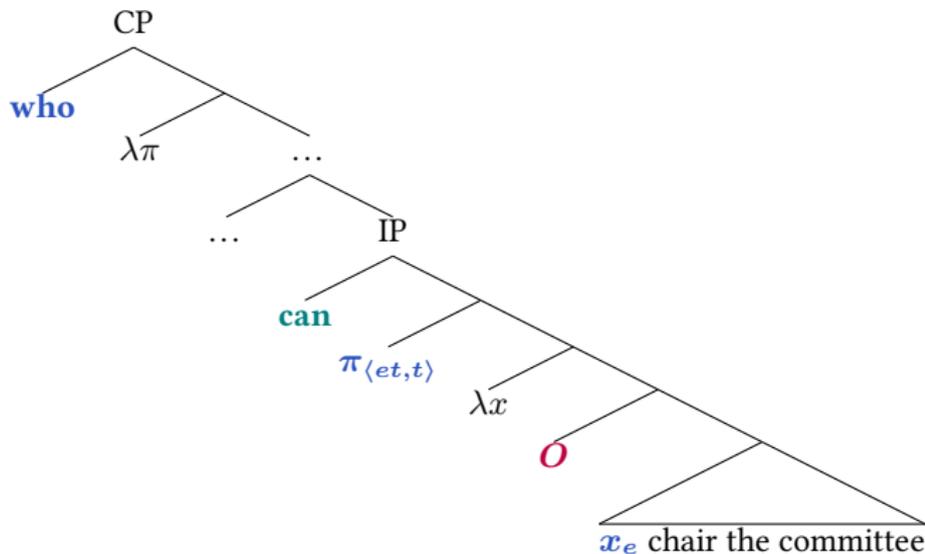
☞ MS/MA ambiguity is not from the scope ambiguity of distributivity.

MS/MA ambiguity is from the scope ambiguity of a higher-order *wh*-trace.

The *wh*-item takes a short IP-internal QR and then moves to [Spec, CP].

- ▶ The **individual** trace x_e is associated with a local ***O*-operator**.
- ▶ The **higher-order** trace $\pi_{\langle et, t \rangle}$ can scope below or above the **\diamond -modal**.

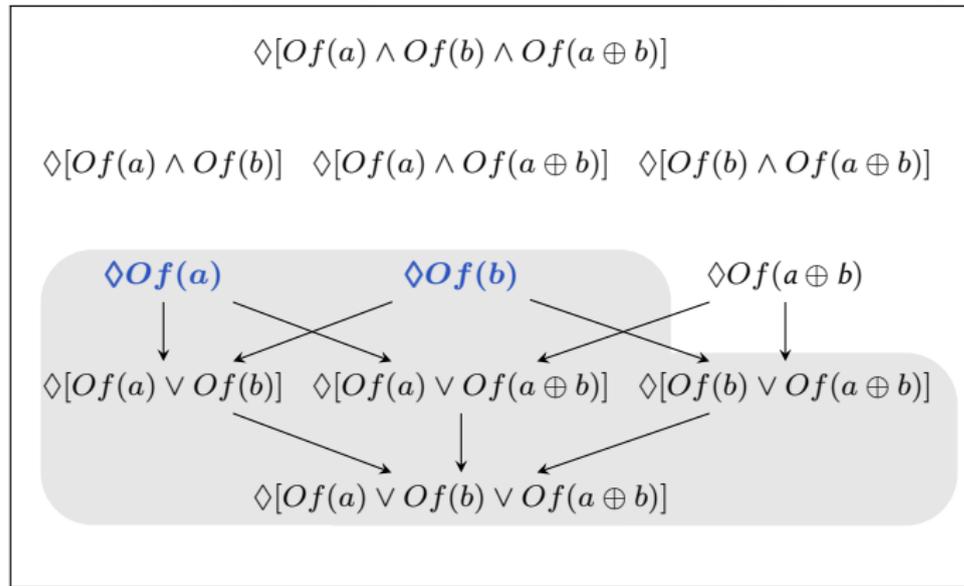
(19) Who can chair the committee? (MS reading)



(20) Who can chair the committee?

$$Q = \{\diamond\pi(\lambda x.O[\text{chair}(x)]) : \pi \text{ is a generalized quantifier over } hmn\}$$

(*w*: Only Andy and Billy can chair the committee; single-chair only.)



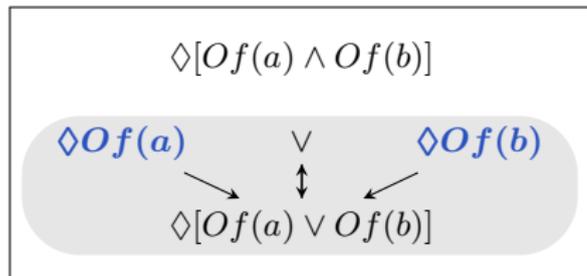
Conjunctive
(contradictory)

Individual
(independent)

Disjunctive
(partial)

(21) Who can chair the committee?

(*w*: Only Andy and Billy can chair the committee; single-chair only.)



Conjunctive (contradictory)

Individual (independent)

Disjunctive (partial)

Predictions

▶ **Mention-some = mention-one:**

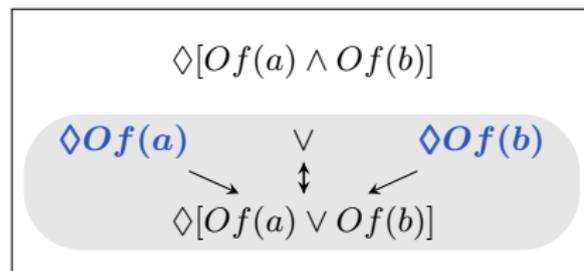
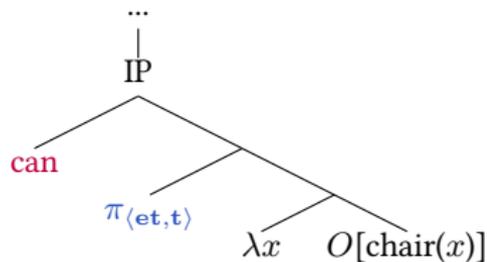
Conjunctive and disjunctive answers cannot be max-inf.

▶ **\Diamond -modal licenses MS:**

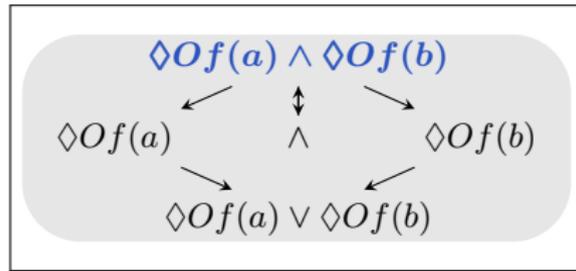
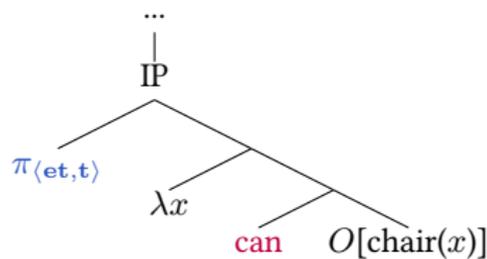
The *O*-operator makes the individual answers logically independent; the presence of the \Diamond -modal makes them not mutually exclusive.

(22) ‘Who can chair the committee?’ ‘Andy and Billy.’

When $\pi \gg \diamond$: conjunctions take wide scope, yielding conjunctive MA.



$\diamond \gg \pi$: MS



$\pi \gg \diamond$: Conjunctive MA

Mention-some

- ▶ The MS/MA ambiguity stems from minimal structural variations within the question nucleus (such as the scope ambiguity of a higher-order *wh*-trace).
- ▶ MS- and MA-answers are derived uniformly as possible complete answers.
- ▶ Other issues: (see appendix)
 - ▶ Dilemma between uniqueness and MS
 - ▶ Disjunctive MA-answers

Completeness of “Jenny knows Q”

(23) Jenny knows a max-informative true answer of Q.

$$\lambda w. \exists \phi \in \text{ANS}(\llbracket Q \rrbracket)(w) [\text{know}_w(j, \phi)]$$

$$\text{where } \text{ANS}(\llbracket Q \rrbracket)(w) = \{p : w \in p \in Q \wedge \forall q [w \in q \in Q \rightarrow q \not\subseteq p]\}$$

Sensitivity to false answers

Accounts of IE readings consider only answers that are **potentially complete**:

(1') Jenny knows who came.

$\forall x [x \text{ came} \rightarrow \text{J knows } x \text{ came}] \ \& \ \forall x [x \text{ didn't come} \rightarrow \text{not } [\text{J believes } x \text{ came}]]$
(... & J doesn't believe any false MA-answers of "who came")

But, FA-sensitivity is also concerned with answers that are **always partial**. (This fact challenges Klinedinst & Rothschild's exhaustification-based account of IE.)

(24) Who came?

a. Andy or Billy.

$\phi_a \vee \phi_b$

Disjunctive partial

b. Andy didn't.

$\neg\phi_a$

Negative partial

FA-sensitivity is concerned with false disjunctives: $\phi_b \vee \phi_c$

(25) Jenny knows [who came]. [Judgment: FALSE]

Fact: a came, but bc didn't.

J's belief: a and someone else came, **who might be b or c .**

(26) Jenny knows [where we can get gas]. [Judgment: FALSE]

Fact: a sells gas, but bc do not.

J's belief: a and somewhere else sell gas, **which might be b or c .**

FA-sensitivity is concerned with false denials

<i>Italian papers are available at ...</i>	A?	B?	C?	
Facts	✓	✗	✓	
Mary's belief	✓	✓	?	over-affirming (OA)
Sue's belief	✓	?	✗	over-denying (OD)

(27) **Sue** knows where we can buy an Italian newspaper. TRUE/FALSE?

Exp-MA

Klinedinst & Rothschild (2011)

abcd trying out for the swimming team. Only *ad* made the team. Identify whether each prediction (A1-A4) correctly predicted **who made the swimming team**.

	<i>A</i>	<i>b</i>	<i>c</i>	<i>D</i>	SE	IE	WE	Ans-type
A1	✗	?	✗	✓	✗	✗	✗	OD
A2	?	✗	✗	✓	✗	✗	✗	MS
A3	✓	?	✗	✓	✗	✓	✓	MA
A4	✓	✓	?	✓	✗	✗	✓	OA

Exp-MS

Xiang (2016a)

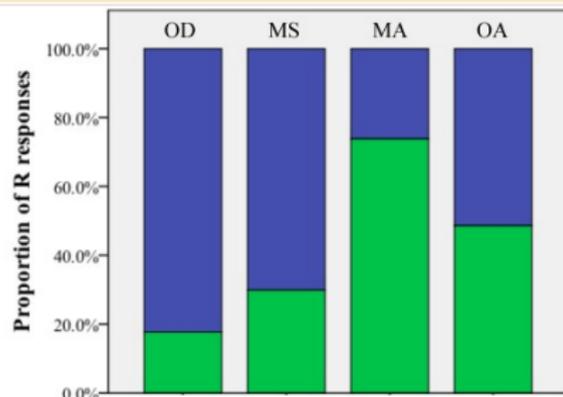
Four places *abcd* at Central Square selling alcohol. Only *ad* sold red wine. Susan asked her local friends **where she could buy a bottle of red wine at Central Square**. For each answer (A1-A4), identify whether it correctly answered Susan's question.

	<i>A</i>	<i>b</i>	<i>c</i>	<i>D</i>	Ans-type
A1	✗	?	✗	✓	OD
A2	?	✗	✗	✓	MS
A3	✓	?	✗	✓	MA
A4	✓	✓	?	✓	OA

Experiments: Results

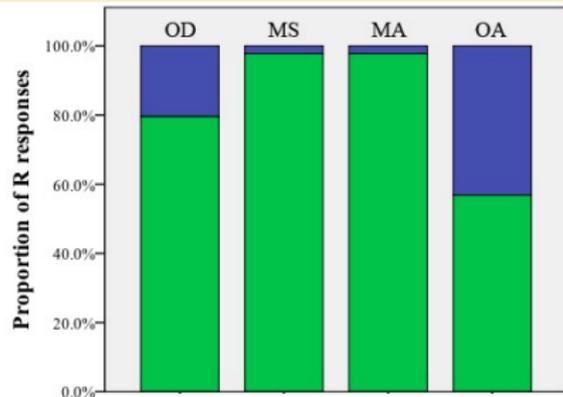
By Answer: Exp-MA

N = 107



By Answer: Exp-MS

N = 88



In each experiment, each two answers were fit with a logistic mixed effect model. All the models, except the one for MS-MA in Exp-MS, reported a significant effect.

- 1 OD/OA < MS/MA in Exp-MS
- 2 Both OA and OD are involved in FA-sensitivity.
- 3 OD < OA in Exp-MA; OD > OA in Exp-MS
- 4 FA-sensitivity exhibits an **asymmetry** varying by Q-type. (Appendix)

Conditions of "Jenny knows Q"

► **Completeness**

Jenny knows a max-informative true answer of Q.

$$\lambda w. \exists \phi \in \text{ANS}(\llbracket Q \rrbracket)(w) [\text{know}_w(j, \phi)]$$

where $\text{ANS}(\llbracket Q \rrbracket)(w) = \{p : w \in p \in Q \wedge \forall q [w \in q \in Q \rightarrow q \not\subseteq p]\}$

► **FA-sensitivity**

Jenny doesn't believe any **Q-relevant** false answers.

$$\lambda w. \forall \phi \in \text{REL}(\llbracket Q \rrbracket) [w \notin \phi \rightarrow \neg \text{believe}_w(j, \phi)], \text{ where } \text{REL}(\llbracket Q \rrbracket) = \text{????}$$

Characterizing FA-sensitivity: Q-relevance

If Hamblin set $Q = \{p, q\}$, then $\text{REL}(\llbracket Q \rrbracket) = \{p, q, \neg p, p \vee q, p \wedge q, \dots\}$.

Q-relevance:

(28) $\text{REL}(\llbracket Q \rrbracket) = \{\bigcup X : X \subseteq \text{PART}(\llbracket Q \rrbracket)\}$
(ϕ is Q-relevant iff ϕ is a union of some partition cells of Q .)

(29) Who came?

- $\phi_a \vee \phi_b = c_1 \cup c_2 \cup c_3$
- $\neg\phi_a = c_3 \cup c_4$

c_1	w : both of ab came in w
c_2	w : only a came in w
c_3	w : only b came in w
c_4	w : neither of ab came in w

Characterizing FA-sensitivity: Q-relevance

Various ways to define partition:

- ▶ Based on the **equivalence of true** answers:

$$\text{PART}(\llbracket Q \rrbracket) = \{\lambda w [Q_w = Q_{w'}] : w' \in W\}$$

- ▶ Based on the **equivalence of complete true** answers:

$$\text{PART}(\llbracket Q \rrbracket) = \{\lambda w [\text{ANS}(\llbracket Q \rrbracket)(w) = \text{ANS}(\llbracket Q \rrbracket)(w')] : w' \in W\}$$

Who came?

$w: Q_w = \{\phi_a, \phi_b, \phi_{a \oplus b}\}$	=	$w: \text{both } ab \text{ came}_w$	=	$w: \text{ANS}(\llbracket Q \rrbracket)(w) = \{\phi_{a \oplus b}\}$
$w: Q_w = \{\phi_a\}$		$w: \text{only } a \text{ came}_w$		$w: \text{ANS}(\llbracket Q \rrbracket)(w) = \{\phi_a\}$
$w: Q_w = \{\phi_b\}$		$w: \text{only } b \text{ came}_w$		$w: \text{ANS}(\llbracket Q \rrbracket)(w) = \{\phi_b\}$
$w: Q_w = \emptyset$		$w: \text{neither came}_w$		$w: \text{ANS}(\llbracket Q \rrbracket)(w) = \emptyset$

Where can we get gas?

$w: Q_w = \{\diamond \phi_a, \diamond \phi_b, \diamond \phi_{a \vee b}\}$	=	$w: \text{both } ab \text{ sell}_w \text{ gas}$	=	$w: \text{ANS}(\llbracket Q \rrbracket)(w) = \{\diamond \phi_a, \diamond \phi_b\}$
$w: Q_w = \{\diamond \phi_a, \diamond \phi_{a \vee b}\}$		$w: \text{only } a \text{ sells}_w \text{ gas}$		$w: \text{ANS}(\llbracket Q \rrbracket)(w) = \{\phi_a\}$
$w: Q_w = \{\diamond \phi_b, \diamond \phi_{a \vee b}\}$		$w: \text{only } b \text{ sells}_w \text{ gas}$		$w: \text{ANS}(\llbracket Q \rrbracket)(w) = \{\phi_b\}$
$w: Q_w = \emptyset$		$w: \text{neither sells}_w \text{ gas}$		$w: \text{ANS}(\llbracket Q \rrbracket)(w) = \emptyset$

Characterizing FA-sensitivity: Q-relevance

To get the partition, **knowing Q** cannot be reduced to **knowing one answer of Q**.

(30) Jenny knows [ANS_w who came] ✗

Feasible options to define the embedded question:

- (31)
- | | | |
|----------------------------------|-------------|---|
| Jenny knows [Partition | who came] | ✓ |
| Jenny knows [Hamblin set | who came] | ✓ |
| Jenny knows [Property | who came] | ✓ |
| Jenny knows [$\lambda w ANS_w$ | [who came]] | ✓ |

- ▶ **Content**

FA-sensitivity is much stronger than what it has been thought to be. It is concerned with all the Q-relevant propositions, including those that are always partial.

- ▶ **Derivation**

Q-relevant propositions are uniformly derived from the partition of Q. Hence, embedded questions must be able to supply partitions.

Attitudes held of questions are subject to Completeness and FA-sensitivity. This talk characterizes these conditions uniformly for MS- and MA-questions.

- ▶ For **Completeness**:
MS- and MA-answers are uniformly derived as possible complete answers.
- ▶ For **FA-sensitivity**:
In both MS- and MA-questions, FA-sensitivity is concerned with all the Q-relevant propositions, which are derived uniformly from the partition.

Slogan: The mapping-relation from structure to meaning is highly principled.
For the same type of structures, the derivation of meaning is uniform.

Thank You!

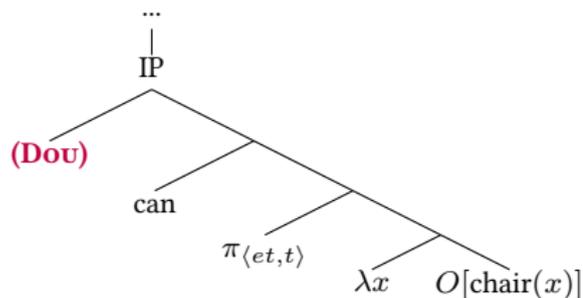
- ① Disjunctive mention-all
- ② Dilemma between uniqueness and mention-some
- ③ Against the exhaustification-based approach
- ④ Factivity in FA-sensitivity
- ⑤ Asymmetry of FA-sensitivity

1. Disjunctive mention-all

Q1: How can we derive disjunctive MA-answers of MS-questions?

(32) “Who can chair the committee?” “Andy or Billy.”

Proposal: Disjunctive MA arises when a DOU-operator presents above the \diamond -modal.



Mandarin *dou* in questions

In a \diamond -question, presence of *dou* that c-commands the *wh*-item blocks MS.

(33) **Dou** shui keyi danren weiyuanhui zhuxi?
DOU who can act-as committee chair
'Who all can chair the committee?'

1. Disjunctive mention-all

Dou has multiple functions. In a \diamond -declarative, associating *dou* with a pre-verbal disjunction yields a conjunctive/FC meaning.

- (34) [John **or** Mary] **dou** can chair the committee.
≈ 'Both John **and** Mary can chair the committee.'

Defining *dou*

(Xiang 2015, 2016: ch. 7, 2017)

Dou is a pre-exhaustification exhaustifier on sub-alternatives. Sub-alternatives are roughly alternatives that are not innocently excludable.

- (35) $\llbracket \text{dou} \rrbracket(p) = \exists q \in \text{SUB}(p). \lambda w [p(w) = 1 \wedge \forall q \in \text{SUB}(p) [O(q)(w) = 0]]$

- Affirms the prejacent, and negates the exhaustification of each sub-alt.
- Presupposes the existence of a sub-alternative.

- (36) a. $p = \diamond f(j) \vee \diamond f(m)$

- b. $\text{SUB}(p) = \{\diamond f(j), \diamond f(m)\}$

- c. $\llbracket \text{dou} \rrbracket(p) = [\diamond f(j) \vee \diamond f(m)] \wedge \neg O \diamond f(j) \wedge \neg O \diamond f(m)$

(*j* or *m* can chair \wedge not only *j* can chair \wedge not only *m* can chair)

$$= \diamond f(j) \wedge \diamond f(m)$$

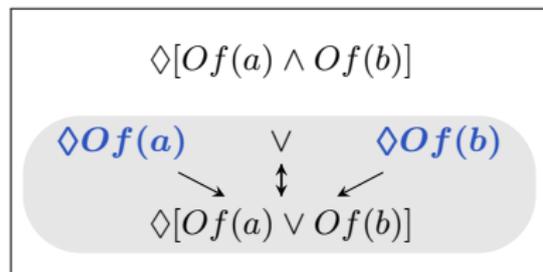
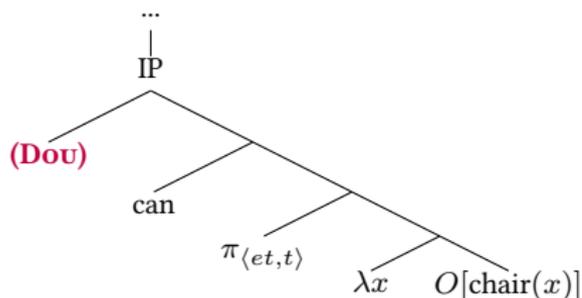
(*j* can teach **and** *m* can chair)

1. Disjunctive mention-all

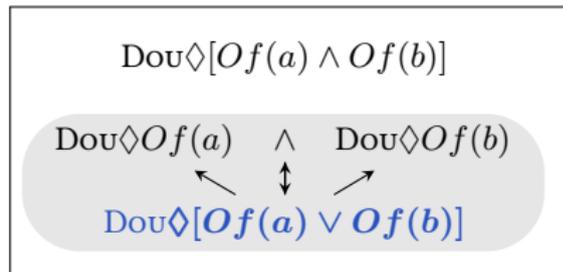
(37) “Who can chair the committee?” “Andy or Billy.”

Disjunctive MA arises when a covert **Dou-operator** appears above the \diamond -modal:
 Dou strengthens disjunctive answers into free choice statements.

(38) $\text{DOU}(p) = \lambda w[p(w) = 1 \wedge \forall q \in \text{SUB}(p)[O(q)(w) = 0]]$



Without O_{DOU} : MS



With O_{DOU} : disjunctive MA

2. The dilemma between uniqueness and MS

Questions with a singular-marked or number-modified *wh*-phrase are subject to a **uniqueness effect**.

- (39) a. Which student came? \rightsquigarrow 'Only one of the students came.'
b. Which two students came? \rightsquigarrow 'Only two of the students came.'

Dayal's presupposition

$\text{ANS}_{\text{Dayal}}(\llbracket Q \rrbracket)(w)$ is defined only if Q has a strongest true answer in w .

In lacking of plural answers, a singular-marked question doesn't have a strongest true answer if it has multiple true answers.

- (40) (w : *Among the considered students, only Andy and Billy came.*)

Which students came?

$$Q_w = \{\text{came}(a), \text{came}(b)\}$$

presupposition failure

Fox's generalization of MS

A question takes MS iff it can have multiple Max-informative true answers.

→ Singular-marked questions are MS questions. ✗

2. The dilemma between uniqueness and MS

Two independently motivated assumptions

- 1 The quantification domain of a *wh*-phrase is closed under boolean conjunction and disjunction iff the extension of the *wh*-complement is closed under sum.
- 2 The root denotation of a question is a topical property \mathbf{P} .

☞ Short answers of a question can be GQs iff this question is plural or un-marked. Adapted to a categorial approach, $\text{ANS}_{\text{Dayal}}$ can interact with short answers:

- (41) $\text{ANS}_{\text{Dayal}}(\mathbf{P})(w)$ is defined only if
 $\exists \alpha \in \text{Dom}(\mathbf{P})[w \in \mathbf{P}(\alpha) \wedge \forall \beta \in \text{Dom}(\mathbf{P})[w \in \mathbf{P}(\beta) \rightarrow \mathbf{P}(\alpha) \subseteq \mathbf{P}(\beta)]]$

Solving the dilemma

In search of the strongest true answer, short answers that are GQs can be interpreted with a **wide scope**. This wide scope reading is obtained by internal lift:

- (42) **Internal lift:** \Uparrow (Shan & Barker 2006, Barker & Shan 2014, Charlow 2014)
 $\alpha^{\Uparrow} = \lambda \theta_{\langle \tau t t, t \rangle} . \alpha (\lambda x_{\tau} . \theta (\lambda f_{\langle \tau, t \rangle} . f(x))) \quad \langle \langle \tau t t, t \rangle, t \rangle$

$\text{ANS}(\mathbf{P})(w)$ is defined only if

- $\exists \alpha \in \text{Dom}(\mathbf{P}) \exists \alpha' \in \{\alpha, \alpha^{\Uparrow}\} [w \in \mathbf{P}(\alpha') \wedge \forall \beta \in \text{Dom}(\mathbf{P}) [w \in \mathbf{P}(\beta) \rightarrow \mathbf{P}(\alpha') \subseteq \mathbf{P}(\beta)]]$

2. The dilemma between uniqueness and MS

► Preserving MS:

(43) Who can chair the committee?

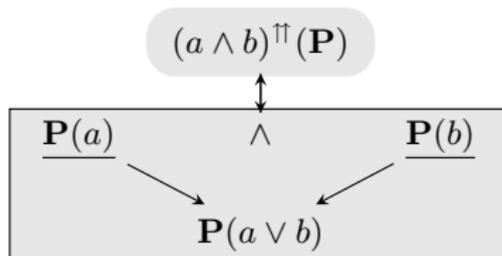
(*w*: Only Andy and Billy can chair; only single-chair is allowed.)

a. $\mathbf{P} = \lambda\pi_{\langle et, t \rangle} [hmn_{@}(\pi) = 1. \diamond\pi(\lambda x. Of(x))]$

b. $\mathbf{P}(a \wedge b) = \diamond[Of(a) \wedge Of(b)]$ ($\diamond \gg \wedge$)

c. $(a \wedge b)^{\uparrow\uparrow}(\mathbf{P}) = \diamond Of(a) \wedge \diamond Of(b)$ ($\wedge \gg \diamond$)

Although the actual answer space (squared) does not have a strongest true answer, a true proposition based on $(a \wedge b)^{\uparrow\uparrow}$ entails all the true answers.



► Getting uniqueness:

The topical property of a singular question is only defined for non-scopal elements (viz. atomic individuals). Hence type-lifting makes no difference.

3. The exhaustification-based approach

The EXH-based approach

(Klinedinst & Rothschild 2011, Uegaki 2015)

- ① The ordinary value of an indirect question is its **Completeness** Condition.
- ② **FA-sensitivity** is derived by **exhaustifying** Completeness.

(44) **O** [s Jenny knows [Q who came]] *(w: ab came, but c didn't.)*

a. $\llbracket S \rrbracket = \lambda w. \exists \phi \in \text{ANS}(\llbracket Q \rrbracket)(w)[\text{know}_w(j, \phi)] = \text{know}(j, \phi_{a \oplus b})$
(J knows a **true** complete answer of Q.)

b. $\text{Alt}(S) = \{ \lambda w. \exists \phi \in \text{ANS}(\llbracket Q \rrbracket)(w')[\text{bel}_w(j, \phi)] \mid w' \in W \}$
 $= \left\{ \begin{array}{lll} \text{bel}(j, \phi_a) & \text{bel}(j, \phi_{a \oplus b}) & \text{bel}(j, \phi_{a \oplus b \oplus c}) \\ \text{bel}(j, \phi_b) & \text{bel}(j, \phi_{b \oplus c}) & \\ \text{bel}(j, \phi_c) & \text{bel}(j, \phi_{a \oplus c}) & \end{array} \right\}$
(J believes ϕ : ϕ is a **possible** complete answer of Q)

c. $\llbracket O(S) \rrbracket = \text{know}(j, \phi_{a \oplus b}) \wedge \neg \text{bel}(j, \phi_c)$
(J **only** believes the **TRUE** complete answer of Q.)

☞ FA-sensitivity is a **scalar implicature** of Completeness.

3. The exhaustification-based approach

Extending to MS-questions

Using **innocent exclusion**, global exhaustification yields an inference close to FA-sensitivity. (D. Fox and A. Cremers p.c. independently)

(45) O_{IE} [S Jenny knows [Q where we can get gas]] (w : *ab sell gas, but c doesn't.*)

a.
$$\begin{aligned} \llbracket S \rrbracket &= \lambda w. \exists \phi \in \text{ANS}(\llbracket Q \rrbracket)(w) [\text{know}_w(j, \phi)] \\ &= \text{know}(j, \phi_a) \vee \text{know}(j, \phi_b) \end{aligned}$$

b.
$$\begin{aligned} \text{Alt}(S) &= \{ \lambda w. \exists \phi \in \text{ANS}(\llbracket Q \rrbracket)(w') [\text{bel}_w(j, \phi)] \mid w' \in W \} \\ &= \left\{ \begin{array}{lll} \text{bel}(j, \phi_a), & \text{bel}(j, \phi_a) \vee \text{bel}(j, \phi_b), & \dots \\ \text{bel}(j, \phi_b), & \text{bel}(j, \phi_a) \vee \text{bel}(j, \phi_c), & \\ \text{bel}(j, \phi_c), & \text{bel}(j, \phi_b) \vee \text{bel}(j, \phi_c), & \end{array} \right\} \end{aligned}$$

c.
$$\llbracket O_{IE}(S) \rrbracket = [\text{know}(j, \phi_a) \vee \text{know}(j, \phi_b)] \wedge \neg \text{bel}(j, \phi_c)$$

Innocent exclusion negates only innocently excludable alternatives. (Fox 2007)

(46) $O_{IE}(p) = \lambda w [p(w) = 1 \wedge \forall q \in \text{IExcl}(p) [q(w) = 0]]$
where $\text{IExcl}(p) = \bigcap \{ A : A \text{ is a maximal subset of } \text{Alt}(p) \text{ such that } \{ \neg q : q \in A \} \cup \{ p \} \text{ is consistent} \}$

3. Problems with the exhaustification-based approach

First, FA-sensitivity is concerned with all types of false answers, not just those that can be complete.

To obtain the desired FA-sensitivity inference, exhaustification needs to operate on a special alternative set:

(47) O_{IE} [_S Jenny knows where we can get gas]
(*w*: *ab* sell gas, but *cd* do not.)

a. $\llbracket S \rrbracket = know(j, \phi_a) \vee know(j, \phi_b)$

b. $Alt(S) = \left\{ \begin{array}{ll} bel(j, \phi_c), bel(j, \phi_d), \dots & \text{Over-affirming} \\ bel(j, \neg\phi_a), bel(j, \neg\phi_b), \dots & \text{Over-denying} \\ bel(j, \phi_c \vee \phi_d), \dots & \text{Disjunctive} \\ \dots & \\ bel(j, \phi_a \wedge \phi_b) \dots & \text{Mention-all/few} \end{array} \right\}$

3. Problems with the exhaustification-based approach

Second, FA-sensitivity inferences do not behave like scalar implicatures.

1. FA-sensitivity inferences are **not cancelable**.

- (48) a. Did Mary invite some of the speakers to the dinner?
b. Yes. **Actually she invited all of them.**

- (49) (*w*: *Andy and Billy presented this morning, Cindy didn't.*)
a. Does Mary know which speakers presented this morning?
b. Yes. **#Actually she believes that *abc* all did.**

2. FA-sensitivity inferences are easily generated in **downward-entailing** environments.

- (50) If Mary invited some of the speakers to the dinner, I will buy her a coffee.
 \nearrow If M invited some **but not all** speakers to the dinner, I will...

- (51) If Mary knows which speakers presented this morning, I will ...
 \rightsquigarrow If [M knows *ab* presented] \wedge **not [M believes *c* presented]**, I will...

3. Problems with the exhaustification-based approach

3. FA-sensitivity inferences are not “mandatory” scalar implicatures: (52b) evokes an **indirect** scalar implicature, while (53b) doesn’t.

- (52) a. Mary **only** invited the JUNIOR_F speakers to the dinner.
 \rightsquigarrow Mary did not invite the senior speakers to the dinner. $\neg\phi_{\text{senior}}$
- b. Mary **only** did **not** invite the JUNIOR_F speakers to the dinner.
 \rightsquigarrow Mary invited the senior speakers to the dinner. ϕ_{senior}
- b'. $O \neg\phi_{\text{junior}} = \neg\phi_{\text{junior}} \wedge \neg\neg\phi_{\text{senior}} = \neg\phi_{\text{junior}} \wedge \phi_{\text{senior}}$
-
- (53) (*w*: Andy and Billy presented this morning, Cindy didn’t.)
- a. Mary knows which speakers presented this morning.
 \rightsquigarrow not [Mary believes that Cindy presented this morning] $\neg\text{bel}(m, \phi_c)$
- b. Mary does **not** know which speakers presented this morning.
 $\not\rightsquigarrow$ Mary believes that Cindy presented this morning $\text{bel}(m, \phi_c)$
- b'. $O \text{not}$ [Mary knows which speakers presented this morning]

4. Factivity in FA-sensitivity

Puzzle 1: In FA-sensitivity, cognitive factive *know* is replaced with its non-factive counterpart *believe*. (Spector & Egré 2015)

- (54) (*w*: *ab* came, but *c* didn't.)
Jenny **knows** who came.
 \rightsquigarrow Jenny doesn't **believe**/#**know** that *c* came.

Explanation: Accommodating the factive presupposition of *know* makes the FA-sensitivity Condition contradictory or tautologous.

- (55) a. Global accommodation
 $\lambda w. \forall \phi \in \text{REL}(\llbracket Q \rrbracket) [w \notin \phi \rightarrow w \in \phi \wedge \neg \text{believe}_w(j, \phi)]$ **Contradiction**
- b. Local accommodation
 $\lambda w. \forall \phi \in \text{REL}(\llbracket Q \rrbracket) [w \notin \phi \rightarrow \neg [w \in \phi \wedge \text{believe}_w(j, \phi)]]$ **Tautology**

Hence in FA-sensitivity, the factive presupposition of *know* must be “**deactivated**”.

4. Factivity in FA-sensitivity

Puzzle 2: Seemingly, **emotive factives** do not license FA-sensitive readings.

(56) (*w*: *ab* came, but *c* didn't.)

Jenny **is surprised at** who came.

a. \rightsquigarrow Jenny is surprised that *ab* came.

b. $\not\rightsquigarrow$ Jenny isn't surprised that *c* came.

b'. \rightsquigarrow Not that Jenny is surprised that *c* came.

$$\begin{aligned} & \phi_c. \neg \text{surprise}(j, \phi_c) \\ & \neg[\phi_c \wedge \text{surprise}(j, \phi_c)] \end{aligned}$$

Explanation: FA-sensitivity collapses under factivity, due to local accommodation of the factive presupposition.

(57) Jenny **is surprised at** Q.

$$\lambda w. \forall \phi \in \text{REL}(\llbracket Q \rrbracket) [w \notin \phi \rightarrow \neg[w \in \phi \wedge \text{surprise}_w(j, \phi)]]$$

Tautology

(For any Q-relevant ϕ : if ϕ is false, then not that [J is surprised at ϕ and ϕ **is true**])

4. Factivity in FA-sensitivity

Puzzle 3: The factive presupposition of *surprise* isn't deactivated, (but instead locally accommodated).

Explanation: Factive presuppositions of emotive factives are strong and indefeasible, unlike those of cognitive factives. (Karttunen 1971, Stalnaker 1977)

- (58) a. If someone **regrets** that I was mistaken, I will admit that I was wrong.
 \rightsquigarrow The speaker was mistaken.
 b. If someone **discovers** that I was mistaken, I will admit that I was wrong.
 $\not\rightsquigarrow$ The speaker was mistaken.

As weak factives, **communication verbs** pattern like cognitive factives.

(59) (*w*: *ab* came, but *c* didn't.)

Jenny **told**_[+fac] Mary who came.

a. \rightsquigarrow Jenny told_[+fac] Mary that *ab* came.

b. \rightsquigarrow Jenny didn't tell_[-fac] Mary that *c* came.

$tell_{[+fac]}(j, m, \phi_{a \oplus b})$

$\neg tell_{[-fac]}(j, m, \phi_c)$

4. Factivity in FA-sensitivity

The unacceptability of false answers varies:

- ▶ In MA-Qs, OA is more tolerated than OD.
- ▶ In MS-Qs, OD is more tolerated than OA.

A false answer can be tolerated if it is “not misleading”.

<i>Could we get gas at ...?</i>	A	B	C	
<i>Fact</i>	✓	✓	✗	
OA	✓	?	✓	Misleading
OD	✓	✗	?	Not misleading

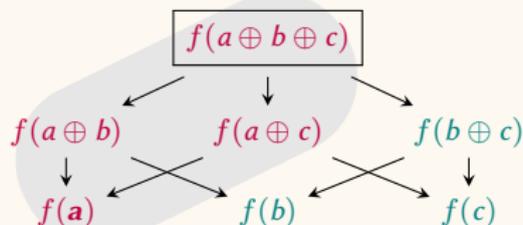
Accepting a response ϕ , the questioner would update the answer space based on ϕ and take any **max-inf answer in the new answer space** as a resolution, making decisions accordingly. If none of these max-inf answers leads to an “improper decision”, ϕ can be tolerated.

Principle of Tolerance

An answer ϕ is tolerated iff accepting ϕ yields an answer space s.t. every max-inf member of this answer space entails a complete true answer.

5. Asymmetry of FA-sensitivity

MA-Q: OA is more tolerated than OD



In MA-Qs, **OA** does not violate the Principle of Tolerance:

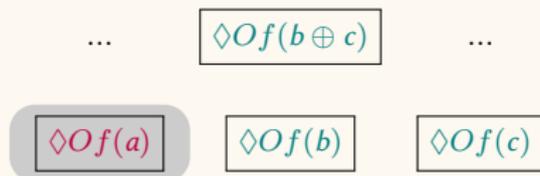
- ▶ Only let the unshaded answers be true. Complete true answer: $f(b \oplus c)$.
- ▶ Overly affirming $f(a)$ rules in all the shaded answers.
The max-inf member in the updated answer space: $f(a \oplus b \oplus c)$.
- ▶ $f(a \oplus b \oplus c) \Rightarrow f(b \oplus c)$.

In MA-Qs, **OD** violates the Principle of Tolerance:

- ▶ Let all the answers be true. max-inf true answer: $f(a \oplus b \oplus c)$.
- ▶ Overly denying $f(a)$ rules out all the shaded answers.
max-inf member in the updated answer space: $f(b \oplus c)$.
- ▶ $f(b \oplus c) \not\Rightarrow f(a \oplus b \oplus c)$

5. Asymmetry of FA-sensitivity

MS-Q: OA is less tolerated than OD



In MS-Qs, **OD** does not violate the Principle of Tolerance:

- ▶ Let all the answers be true. All of them are complete.
- ▶ Overly denying $\diamond O f(a)$ only rules out $\diamond O f(a)$ itself.
max-inf members in the updated space: all the unshaded answers.
- ▶ Each of the remaining answers entails a complete true answer (i.e., itself).

In MS-Qs, **OA** violates the Principle of Tolerance:

- ▶ Only let the unshaded answers be true. All of them are complete.
- ▶ Overly affirming $\diamond O f(a)$ only rules in $\diamond O f(a)$ itself.
max-inf members in the updated answer space: all the answers.
- ▶ $\diamond O f(a)$ does not entail any of the unshaded answers.

5. Asymmetry of FA-sensitivity

- ▶ **An appealing explanation:** OD is less tolerated than OA in MA-Qs because OD even doesn't satisfy Completeness.
- ▶ **Prediction:** This asymmetry would disappear if a participant was tolerant of incompleteness.
- ▶ **Assessment:** Subjects in Exp-MA tolerated of incompleteness (viz. who accepted MS&MA) also rejected OD significantly more than OA (binomial test: 89%, $p < .05$), contra prediction.

	OD	MS	MA	OA	N
	✗	✓	✓	✗	11
	✓	✓	✓	✗	1
	✗	✓	✓	✓	8
	✓	✓	✓	✓	8

⇒ **Regardless of whether Completeness was considered**, the subjects in Exp-MA consistently rejected **OD** more than **OA**.

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