

Sensitivity to false answers in interpreting questions under attitudes

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- ▶ Earlier works noticed two forms of exhaustivity involved in interpreting indirect questions: **weak** exhaustivity and **strong** exhaustivity
- ▶ Recent works start to consider the **intermediate** form of exhaustivity. (Klinedinst & Rothschild 2011, Spector & Egré 2015, Uegaki 2015, Cremers & Chemla 2016, Xiang 2016, Theiler et al. 2016)
- ▶ Compared with WE, IE is sensitive to false answers (FAs): **FA-sensitivity**

(1) John knows who came.

- ▶ *Weakly exhaustive (WE)*:
 $\forall x [x \text{ came} \rightarrow \text{J believes } x \text{ came}]$
- ▶ *Intermediately exhaustive (IE)*:
 $\forall x [x \text{ came} \rightarrow \text{J believes } x \text{ came}] \ \& \ \forall x [x \text{ didn't come} \rightarrow \text{not } [\text{J believes } x \text{ came}]]$
- ▶ *Strongly exhaustive (SE)*:
 $\forall x [x \text{ came} \rightarrow \text{J believes } x \text{ came}] \ \& \ \forall x [x \text{ didn't come} \rightarrow \text{J believes } x \text{ didn't come}]$

Mention-all (MA) questions

(2) Who went to the party?

(*w*: *only John and Mary went to the party.*)

a. John and Mary.

b. John did .../ \rightsquigarrow *I don't know who else did.*

b'. # John did.\ \rightsquigarrow *Only John did.*

Mention-some (MS) questions: questions admitting MS answers.

(3) Where can we get gas?

(*w*: *there are only two accessible gas stations: Station A and B.*)

a. Station A.\ MS answer

b. Station A and/or Station B.\ MA answer

In parallel to the IE readings of indirect MA questions, indirect MS questions also have readings sensitive to false answers. (George 2011, 2013)

<i>Italian newspapers are available at ...</i>	<i>Newstopia?</i>	<i>PaperWorld?</i>
<i>Facts</i>	✓	✗
John's belief	✓	?
Mary's belief	✓	✓

- (4) a. **John** knows where we can buy an Italian newspaper. [TRUE]
 b. **Mary** knows where we can buy an Italian newspaper. [FALSE]

To be theory neutral, for both MA-questions and MS-questions, I call the readings that are sensitive to false answers “**FA-sensitive readings**”.

The goal of this talk: To characterize the conditions of FA-sensitive readings

Conditions of FA-sensitive readings

(5) John knows Q.

- a. John knows a complete true answer of Q.
- b. John has no false belief about Q.

Completeness

FA-sensitivity

2. Completeness

(A simplified version)

In the traditional view, only exhaustive answers can be complete. This view leaves no space for MS.

Completeness = Max-informativity

(Fox 2013)

Any **maximally informative (MaxI)** true answer counts as a complete true answer. A true answer is MaxI iff it isn't asymmetrically entailed by any of the true answers.

$$(6) \quad \text{ANS}(\llbracket Q \rrbracket)(w) = \{p : w \in p \in Q \wedge \forall q[w \in q \in Q \rightarrow q \not\subseteq p]\} \\ (\{p : p \text{ is a MaxI true member of } Q \text{ in } w\})$$

☞ A question takes MS iff it can have multiple MaxI true answers:

(7) Who came?

$$Q_w = \{\hat{\text{came}}'(a), \hat{\text{came}}'(b), \hat{\text{came}}'(a \oplus b)\}$$

(8) Who can chair the committee?

$$Q_w = \{\hat{\diamond}\text{chair}'(a), \hat{\diamond}\text{chair}'(b)\}$$

☞ This view allows: non-exhaustive answers to be good answers
a question to take multiple good answers.

- ▶ ... But, (9b) is predicted to be a partial answer.

(9) Who can serve on the committee?

a. Gennaro+Danny+Jim can serve.

$\diamond \text{serve}'(g \oplus d \oplus j)$

b. Gennaro+Danny can serve.

$\Rightarrow \diamond \text{serve}'(g \oplus d)$

Intuitively, (9b) means: *it is possible to have **only** $g \oplus d$ serve on the committee.*

- ▶ **Solution:** the \diamond -modal embeds a covert **exhaustivity operator O** associated with the *wh*-trace. (Xiang 2016)

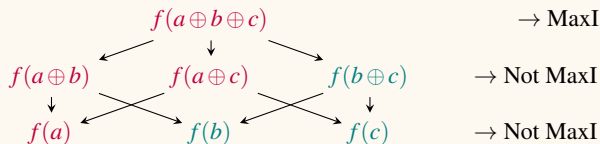
(10) $O(p) = p \wedge \forall q \in \text{Alt}(p)[p \not\subseteq q \rightarrow \neg q]$ (Chierchia et al. 2012)

(p is true, any alternative of p that is not entailed by p is false.)

Local exhaustification provides a **non-monotonic** environment w.r.t. the *wh*-trace, preventing (9b) from being entailed by (9a):

(11) $\diamond O[\text{serve}'(g \oplus d \oplus j)] \not\models \diamond O[\text{serve}'(g \oplus d)]$

Who came?



Who can chair the committee?

[See Xiang 2016: sec. 2.6.1 for full picture.]

$\diamond O[f(a \oplus b \oplus c)]$ → MaxI

$\diamond O[f(a \oplus b)]$ $\diamond O[f(a \oplus c)]$ $\diamond O[f(b \oplus c)]$ → MaxI

$\diamond O[f(a)]$ $\diamond O[f(b)]$ $\diamond O[f(c)]$ → MaxI

(12) **Completeness Condition** of “John knows Q”:

$\lambda w. \exists \phi \in \text{ANS}(\llbracket Q \rrbracket)(w)[\text{know}'_w(j, \phi)]$

(John knows a MaxI true answer of Q.)

- ▶ Other issues involved in Completeness and mention-some:

1. Nominal short answers and free relatives.

*John went to **where he could get help**.*

2. Questions with collective predicates:

*Which boys **formed a team**?*

3. Mention-all readings of \diamond -questions.

*Who **all/alles** can chair the committee?*

4. Uniqueness requirement of singular-marked questions:

*Which **professor** can chair the committee?*

5. ...

- ▶ More fully fledged accounts: Fox (2013, 2015), Xiang (2016: ch. 2-3).

3. Sensitivity to false answers

Plan

- 1 Observation: Partial answers are involved in FA-sensitivity.
- 2 The exhaustification-based approach and its problems
- 3 My proposal

3.1 Partial answers in FA-sensitivity

FA-sensitivity is concerned with **all types of false answers**, not just those that can be complete.

Answers that are always partial:

(13) Who came?

a. Andy or Billy.

$$\phi_a \vee \phi_b$$

Disjunctive partial

b. Andy didn't.

$$\neg\phi_a$$

Negative partial

FA-sensitivity is concerned with false disjunctives: $\phi_b \vee \phi_c$

(14) John knows [who came].

[Judgment: FALSE]

Fact: a came, but bc didn't come.

John's belief: a and someone else came, **who might be b or c .**

(15) John knows [where we can get gas].

[Judgment: FALSE]

Fact: a sells gas, but bc do not.

John's belief: a and somewhere else sell gas, **which might be b or c .**

FA-sensitivity is concerned with false denials

<i>Italian papers are available at ...</i>	A?	B?	C?	FA-type
Facts	✓	✗	✓	
Mary's belief	✓	✓	?	over-affirming (OA)
Sue's belief	✓	?	✗	over-denying (OD)

(16) **Sue** knows where one can buy an Italian newspaper. TRUE/FALSE?

From MA questions, we cannot tell whether the requirement of **avoiding OD** is part of **FA-sensitivity** or simply an entailment of **Completeness**.

(17) John knows who came.

- a. $\forall x [x \text{ came} \rightarrow \text{John believes that } x \text{ came}]$
- $\Rightarrow \forall x [x \text{ came} \rightarrow \text{not } [\text{John believes that } x \text{ didn't come}]]$.
- b. $\forall x [x \text{ didn't come} \rightarrow \text{not } [\text{John believes that } x \text{ came}]]$

Completeness
Avoiding OD
Avoiding OA

Klinedinst & Rothschild (2011)

abcd trying out for the swimming team: *ad* made the team, but *bc* didn't.
For each set of predictions (A1-A4), identify whether it correctly predicted **who made the swimming team**.

	<i>A</i>	<i>b</i>	<i>c</i>	<i>D</i>	SE	IE	WE	Ans-type
A1	✗	?	✗	✓	×	×	×	OD
A2	?	✗	✗	✓	×	×	×	MS
A3	✓	?	✗	✓	×	✓	✓	MA
A4	✓	✓	?	✓	×	×	✓	OA

I reanalyzed K&R's (2011) raw data and excluded ...

- 1 non-native speakers;
- 2 subjects rejected by MTurk;
- 3 subjects with missing responses.

Subjects were not chosen based on their responses.

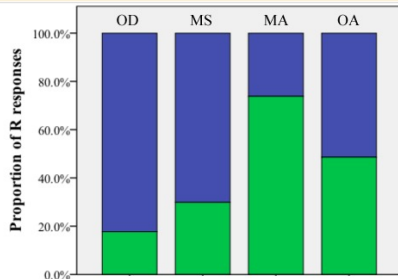
Four places ($abcd$) at Central Square selling alcohol, among which only ad sold red wine. Susan asked her local friends **where she could buy a bottle of red wine at Central Square**. Identify whether an answer (A1 to A4) correctly answered Susan's question.

	A	b	c	D	Ans-type
A1	✗	?	✗	✓	OD
A2	?	✗	✗	✓	MS
A3	✓	?	✗	✓	MA
A4	✓	✓	?	✓	OA

Experiments: Results

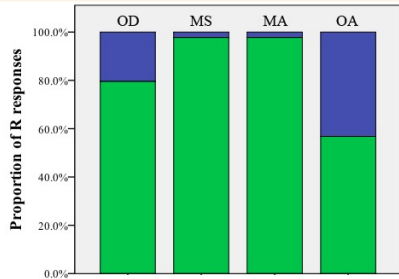
By Answer: Exp-MA

N = 107



By Answer: Exp-MS

N = 88



In each experiment, each two answers were fit with a logistic mixed effect model. All the models, except the one for MS-MA in Exp-MS, reported a significant effect.

- 1 $OD/OA < MS/MA$ in Exp-MS
👉 Both **OA** and **OD** are involved in FA-sensitivity.
- 2 $OD < OA$ in Exp-MA; $OD > OA$ in Exp-MS
👉 FA-sensitivity exhibits an asymmetry varying by Q-type.

3.2 Against the exhaustion-based approach

The exh-based approach

(Klinedinst & Rothschild 2011, Uegaki 2015)

- 1 The ordinary value of an indirect question is its **Completeness** Condition.
- 2 FA-sensitivity is derived by **exhaustifying** Completeness.

(18) *O* [S John knows [Q who came]] (*w*: *ab* came, but *c* didn't.)

a. $\llbracket S \rrbracket = \lambda w. \exists \phi \in \text{ANS}(\llbracket Q \rrbracket)(w)[\text{know}'_w(j, \phi)] = \mathbf{know}'(j, \phi_{ab})$
(John knows a **true** complete answer of Q)

b. $\text{Alt}(S) = \{ \lambda w. \exists \phi \in \text{ANS}(\llbracket Q \rrbracket)(w')[\text{bel}'_w(j, \phi)] \mid w' \in W \}$
 $= \left\{ \begin{array}{lll} \text{bel}'(j, \phi_a) & \text{bel}'(j, \phi_{ab}) & \text{bel}'(j, \phi_{abc}) \\ \text{bel}'(j, \phi_b) & \text{bel}'(j, \phi_{bc}) & \\ \text{bel}'(j, \phi_c) & \text{bel}'(j, \phi_{ac}) & \end{array} \right\}$
(John believes ϕ : ϕ is a **possible** complete answer of Q)

c. $\llbracket O(S) \rrbracket = \mathbf{know}'(j, \phi_{ab}) \wedge \neg \mathbf{bel}'(j, \phi_c)$
(John **only** believes the **TRUE** complete answer of Q.)

☞ FA-sensitivity is a **scalar implicature** of Completeness.

(19) John knows [Q where we can get gas].

(*w*: among the considered places *abc*, only *ab* sell gas.)

a. $\exists\phi$ [ϕ is a true MS answer of Q] [*O* [John knows ϕ]]

Local exh

b. *O* [$\exists\phi$ [ϕ is a true MS answer of Q] [John knows ϕ]]

Global exh

Local exhaustification

The truth conditions yielded by local exhaustification are too strong:

- 1 John knows a true MS answer as to *where we can get gas*;
- 2 John doesn't believe any answer that is not entailed by this MS answer.

If what John believes is *we can get gas at *a* and somewhere else*, (19) would be predicted to be false, contra the fact.

Global exhaustification

Using **innocent exclusion** (Fox 2007), global exhaustification derives an inference close to FA-sensitivity. (D. Fox and A. Cremers p.c. independently)

(20) O_{IE} [S John knows [Q where we can get gas]] (*w*: *ab* sell gas, but *c* doesn't.)

a. $\llbracket S \rrbracket = \lambda w. \exists \phi \in \text{ANS}(\llbracket Q \rrbracket)(w) [\text{know}'_w(j, \phi)] = \mathbf{know}'(j, \phi_a) \vee \mathbf{know}'(j, \phi_b)$

b. $\text{Alt}(S) = \{ \lambda w. \exists \phi \in \text{ANS}(\llbracket Q \rrbracket)(w') [\text{bel}'_w(j, \phi)] \mid w' \in W \}$
 $= \left\{ \begin{array}{lll} \text{bel}'(j, \phi_a), & \text{bel}'(j, \phi_a) \vee \text{bel}'(j, \phi_b), & \dots \\ \text{bel}'(j, \phi_b), & \text{bel}'(j, \phi_a) \vee \text{bel}'(j, \phi_c), & \\ \mathbf{bel}'(j, \phi_c), & \text{bel}'(j, \phi_b) \vee \text{bel}'(j, \phi_c), & \end{array} \right\}$

c. $\llbracket O_{IE}(S) \rrbracket = [\mathbf{know}'(j, \phi_a) \vee \mathbf{know}'(j, \phi_b)] \wedge \neg \mathbf{bel}'(j, \phi_c)$

Innocent exclusion

Innocent exclusion negates only innocently excludable alternatives.

(21) $O_{IE}(p) = p \wedge \forall q \in \text{IExcl}(p) [\neg q]$

$\text{IExcl}(p) = \bigcap \{ A : A \text{ is a maximal subset of } \text{ALT}(p) \text{ s.t. } A^\neg \cup \{p\} \text{ is consistent} \}$
 where $A^\neg = \{ \neg q : q \in A \}$

First, FA-sensitivity is concerned with all types of false answers, not just those that can be complete.

To obtain the desired FA-sensitivity, exhaustification needs to operate on a special alternative set:

(22) O_{IE} [S John knows [Q where we can get gas]]
 (Context: *ab sell gas, but cd do not.*)

$$\begin{array}{l}
 \text{a. } \llbracket S \rrbracket = \text{know}'(j, \phi_a) \vee \text{know}'(j, \phi_b) \\
 \text{b. } \text{Alt}(S) = \left\{ \begin{array}{ll} \text{bel}'(j, \phi_c), \text{bel}'(j, \phi_d), \dots & \text{OA} \\ \text{bel}'(j, \neg\phi_a), \text{bel}'(j, \neg\phi_b), \dots & \text{OD} \\ \text{bel}'(j, \phi_c \vee \phi_d), \dots & \text{Disj} \\ \dots & \\ \text{bel}'(j, \phi_a \wedge \phi_b) \dots & \text{MA/MI} \end{array} \right\}
 \end{array}$$

Second, FA-sensitivity inferences do not behave like scalar implicatures.

1. FA-sensitivity inferences are **not cancelable**.

- (23) a. Did Mary invite some of the speakers to the dinner?
b. Yes. Actually she invited all of them.
- (24) (Context: *Only Billy and Cindy presented this morning.*)
a. Does Mary know which speakers presented this morning?
b. Yes. #Actually she believes that Andy, Billy, and Cindy all did.

2. FA-sensitivity inferences are easily generated in **downward-entailing** contexts.

- (25) If M invited some of the speakers to the dinner, I will buy her a coffee.
↗ If Mary invited some but **not all** speakers to the dinner, I will...
- (26) If M knows which speakers presented this morning, I will ...
↗ If [M believes B+C did] \wedge **not [M believes A did]**, I will...

3. FA-sensitivity inferences are not “mandatory” scalar implicatures: (27b) evokes an **indirect** scalar implicature, while (28b) doesn’t.

- (27) a. Mary **only** invited the FEMALE_F speakers to the dinner.
 \rightsquigarrow Mary did not invite the male speakers to the dinner. $\neg\phi_{\text{male}}$
- b. Mary **only** did **not** invite the FEMALE_F speakers to the dinner.
 \rightsquigarrow Mary invited the male speakers to the dinner. ϕ_{male}
- b'. $O \neg\phi_{\text{female}} = \neg\phi_{\text{female}} \wedge \neg\neg\phi_{\text{male}} = \neg\phi_{\text{female}} \wedge \phi_{\text{male}}$
-
- (28) a. Mary knows which speakers presented this morning.
 \rightsquigarrow not [Mary believes that A presented this morning] $\neg\text{bel}'(m, \phi_a)$
- b. Mary does **not** know which speakers presented this morning.
 $\not\rightsquigarrow$ Mary believes that A presented this morning $\text{bel}'(m, \phi_a)$
- b'. $O \text{ not } [\text{Mary knows which speakers presented this morning}]$

3.3 My analysis of FA-sensitivity

1. Characterizing FA-sensitivity

My view

- 1 FA-sensitivity is an **independent** condition mandatorily involved in interpreting indirect questions.
- 2 FA-sensitivity is concerned with all **Q-relevant** propositions, not just those that can be complete answers of Q.

Formalizations

(29) John knows Q.

- | | |
|--|-----------------------|
| a. $\lambda w. \exists \phi \in \text{ANS}(\llbracket Q \rrbracket)(w)[\text{know}'_w(j, \phi)]$
(John knows a MaxI true answer of Q.) | Completeness |
| b. $\lambda w. \forall \phi \in \text{REL}(\llbracket Q \rrbracket)[w \notin \phi \rightarrow \neg \text{believe}'_w(j, \phi)]$
(John has no Q-relevant false belief.) | FA-sensitivity |

If the Hamblin set $Q = \{p, q\}$, then $\text{REL}(\llbracket Q \rrbracket) = \{p, q, \neg p, p \vee q, p \wedge q, \dots\}$

1. Characterizing FA-sensitivity

Q-relevance

ϕ is **Q-relevant** iff ϕ is a union of some partition cells of Q .

$$(30) \quad \text{REL}(\llbracket Q \rrbracket) = \{\cup X : X \subseteq \text{Part}(\llbracket Q \rrbracket)\}$$

(31) Defining **partition**:

a. Based on the **true** answers:

$$\text{Part}(\llbracket Q \rrbracket) = \{\lambda w [Q_w = Q_{w'}] : w' \in W\}$$

b. Based on the **complete true** answers:

$$\text{Part}(\llbracket Q \rrbracket) = \{\lambda w [\text{ANS}(\llbracket Q \rrbracket)(w) = \text{ANS}(\llbracket Q \rrbracket)(w')] : w' \in W\}$$

Example:

(32) Who came?

a. $\phi_a \vee \phi_b = c_1 \cup c_2 \cup c_3$

b. $\neg\phi_a = c_3 \cup c_4$

$w: Q_w = \{\phi_a, \phi_b, \phi_{ab}\}$	=	c_1	$w: \text{only } ab \text{ came}_w$	=	$w: \text{ANS}(\llbracket Q \rrbracket)(w) = \{\phi_{ab}\}$
$w: Q_w = \{\phi_a\}$		c_2	$w: \text{only } a \text{ came}_w$		$w: \text{ANS}(\llbracket Q \rrbracket)(w) = \{\phi_a\}$
$w: Q_w = \{\phi_b\}$		c_3	$w: \text{only } b \text{ came}_w$		$w: \text{ANS}(\llbracket Q \rrbracket)(w) = \{\phi_b\}$
$w: Q_w = \emptyset$		c_4	$w: \text{nobody came}_w$		$w: \text{ANS}(\llbracket Q \rrbracket)(w) = \emptyset$

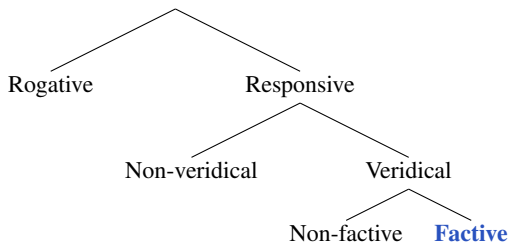
1. Characterizing FA-sensitivity

- ▶ Embedded questions must be defined in a way that can retrieve the partitions, and furthermore all the relevant propositional answers.
- ▶ Hence, the embedded question shall NOT be reduced to a true propositional answer of this question.

- (33)
- a. \checkmark John knows [partition who came]
 - b. \checkmark John knows [Hamblin set who came]
 - c. \checkmark John knows [Topical Property who came]
 - d. \times John knows [ANS_w [Q who came]]
 - e. \checkmark John knows [λw [ANS_w [Q who came]]]

2. FA-sensitivity and factivity

The typology of interrogative-embedding predicates: (Adapted from Lahiri (2002), Spector & Egré (2015), and Uegaki (2015))



► Types of factives

- ① Emotive factives: *be surprised, be pleased, ...*
- ② Cognitive factives: *know, remember, discover, ...*
- ③ Communication verbs: *tell_[+fac], predict_[+fac], ...*

2. FA-sensitivity and factivity

1. In paraphrasing FA-sensitivity, *know* is replaced with its non-factive counterpart *believe*. (Spector & Egré 2015) Why?

(34) (Context: *ab came, but c didn't.*)

John **knows** who came. $\approx \text{know}'(j, \phi_a \wedge \phi_b) \wedge \neg \text{believe}'(j, \phi_c)$

Explanation: Presupposition accommodation makes the FA-sensitivity Condition suffer a presupposition failure or be tautologous.

(35) a. Global accommodation

$\lambda w. \forall \phi \in \text{REL}(\llbracket Q \rrbracket)[w \notin \phi \rightarrow \neg \text{believe}'_w(j, \phi) \wedge w \in \phi]$ **Contradiction**

b. Local accommodation

$\lambda w. \forall \phi \in \text{REL}(\llbracket Q \rrbracket)[w \notin \phi \rightarrow \neg [\text{believe}'_w(j, \phi) \wedge w \in \phi]]$ **Tautology**

Hence, in paraphrasing FA-sensitivity, the factive presupposition of *know* needs to be “deactivated”.

2. FA-sensitivity and factivity

2. Seemingly, **emotive factives** do not license FA-sensitive readings. Why?

(36) John **is surprised at** who came.

(Context: *ab* came, but *c* didn't.)

a. \rightsquigarrow John is surprised that *ab* came.

$\text{surprise}'(j, \phi_a \wedge \phi_b)$

b. $\not\rightsquigarrow$ John isn't surprised that *c* came.

$\neg \text{surprise}'(j, \phi_c)_{\phi_c}$

c. \rightsquigarrow Not that John is surprised that *c* came.

$\neg[\text{surprise}'(j, \phi_c) \wedge \phi_c]$

Explanation: FA-sensitivity collapses under factivity, due to local accommodation of the factive presupposition.

(37) John **is surprised at** Q.

$\lambda w. \forall \phi \in \text{REL}(\llbracket Q \rrbracket) [w \notin \phi \rightarrow \neg[\text{surprise}'(j, \phi) \wedge w \in \phi]]$

Tautology

(For any Q-relevant ϕ , if ϕ is false, then it is not the case that [John is surprised at ϕ and ϕ is true])

3. The factive presupposition of *surprise* isn't deactivated, (but instead locally accommodated), why?

Explanation: Factive presuppositions of emotive factives are strong and indefeasible, unlike those of cognitive factives. (Karttunen 1971; Stalnaker 1977)

- (38) a. If someone **regrets** that I was mistaken, I will admit that I was wrong.
 \rightsquigarrow The speaker was mistaken.
 b. If someone **discovers** that I was mistaken, I will admit that I was wrong.
 $\not\rightsquigarrow$ The speaker was mistaken.

As weak factives, **communication verbs** pattern like cognitive factives.

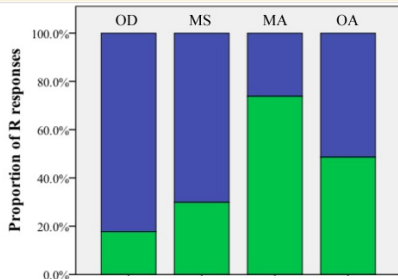
- (39) John **told**_[+ver] Mary Q:
 $\lambda w. \forall \phi \in \text{REL}(\llbracket Q \rrbracket) [w \notin \phi \rightarrow \neg \text{told}'_{[-ver],w}(j, m, \phi)]$

4. Asymmetry of FA-sensitivity

Asymmetry of FA-sensitivity

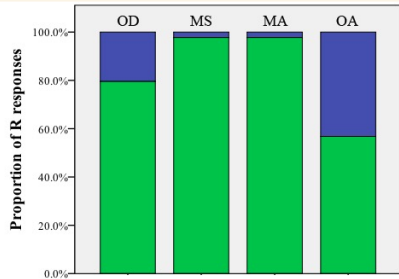
By Answer: Exp-MA

N = 107



By Answer: Exp-MS

N = 88



The unacceptability of false answers varies:

- ▶ In MA-Qs, OA is more tolerated than OD. ($\hat{\beta} = 1.0952, p < .001$)
- ▶ In MS-Qs, OD is more tolerated than OA. ($\hat{\beta} = -0.7324, p < .005$)

What causes these asymmetries?

- ▶ **An appealing idea:** OD is less tolerated than OA in MA-Qs because OD even doesn't satisfy Completeness.
- ▶ **This idea predicts:** if a participant was tolerant of incompleteness, then his/her responses would not show any asymmetry w.r.t FA-sensitivity.
- ▶ **Assessing this idea:** ×
Subjects in Exp-MA tolerated of incompleteness (viz. who accepted MS&MA) also rejected OD significantly more than OA (binomial test: 89%, $p < .05$)

	OD	MS	MA	OA	<i>N</i>
	×	✓	✓	×	11
	✓	✓	✓	×	1
	×	✓	✓	✓	8
	✓	✓	✓	✓	8

⇒ **Regardless of whether Completeness was considered**, the subjects in Exp-MA consistently rejected **OD** more than **OA**.

My view: A false answer is tolerated if it is “not misleading”.

<i>Could we get gas at ...?</i>	A	B	C
<i>Fact</i>	✓	✓	✗
OA	✓	?	✓
OD	✓	✗	?

When accepting a response ϕ , the questioner would:

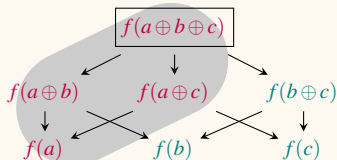
- 1 update the answer space: **removing the incompatible answers** and **adding the entailed answers**.
- 2 take any **MaxI answer of the new answer space** as a resolution and make decisions accordingly.

If none of these MaxI answers leads to an “improper decision”, ϕ could be tolerated.

Principle of Tolerance

An answer ϕ is tolerated iff accepting ϕ yields an answer space s.t. every MaxI member of this answer space entails a MaxI true answer.

MA-Q: OD is worse than OA



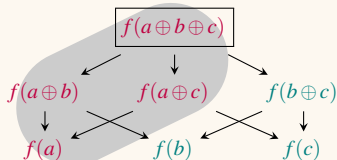
In MA-Qs, **OD** violates the Principle of Tolerance:

- ▶ Let all the answers be true. MaxI true answer: $f(a \oplus b \oplus c)$.
- ▶ Overly denying $f(a)$ rules out all the shaded answers. MaxI member in the updated answer space: $f(b \oplus c)$.
- ▶ $f(b \oplus c) \not\equiv f(a \oplus b \oplus c)$

Principle of Tolerance

An answer ϕ is tolerated iff accepting ϕ yields an answer space s.t. every MaxI member of this answer space entails a MaxI true answer.

MA-Q: OD is worse than OA



In MA-Qs, **OA** does not violate the Principle of Tolerance:

- ▶ Only let the unshaded answers be true. MaxI true answer: $f(b \oplus c)$.
- ▶ Overly affirming $f(a)$ rules in all the shaded answers.
The MaxI member in the updated answer space: $f(a \oplus b \oplus c)$.
- ▶ $f(a \oplus b \oplus c) \Rightarrow f(b \oplus c)$.

Principle of Tolerance

An answer ϕ is tolerated iff accepting ϕ yields an answer space s.t. every MaxI member of this answer space entails a MaxI true answer.

MS-Q: OA is worse than OD

$$\diamond O[f(b \oplus c)]$$

$$\diamond O[f(a)]$$

$$\diamond O[f(b)]$$

$$\diamond O[f(c)]$$

In MS-Qs, OD does not violate the Principle of Tolerance:

- ▶ Let all the answers be true. All of them are MaxI true answers.
- ▶ Overly denying $\diamond O[f(a)]$ only rules out $\diamond O[f(a)]$ itself.
MaxI members in the updated space: all the unshaded answers.
- ▶ Each of the remaining answers entails a MaxI true answer (i.e., itself).

Principle of Tolerance

An answer ϕ is tolerated iff accepting ϕ yields an answer space s.t. every MaxI member of this answer space entails a MaxI true answer.

MS-Q: OA is worse than OD

$$\diamond O[f(b \oplus c)]$$

$$\diamond O[f(a)]$$

$$\diamond O[f(b)]$$

$$\diamond O[f(c)]$$

In MS-Qs, OA violates the Principle of Tolerance:

- ▶ Only let the unshaded answers be true. All unshaded answers are MaxI true.
- ▶ Overly affirming $\diamond O[f(a)]$ only rules in $\diamond O[f(a)]$ itself.
MaxI members in the updated answer space: all the present answers.
- ▶ $\diamond O[f(a)]$ does not entail any of the unshaded answers.

Completeness

Any MaxI true answer counts as a complete true answer.

(40) “John knows Q”:

$$\lambda w. \exists \phi \in \text{ANS}(\llbracket Q \rrbracket)(w) [\text{know}'_w(j, \phi)]$$

(John knows a MaxI true answer of Q.)

FA-sensitivity

- 1 FA-sensitivity is concerned with all types of false answers.
- 2 FA-sensitivity is not derived by exhaustifications.
- 3 Factivity in paraphrasing FA-sensitivity:

▶ Weak factivity is deactivated.

(41) “John **knows** Q”:

$$\lambda w. \forall \phi \in \text{REL}(\llbracket Q \rrbracket) [w \notin \phi \rightarrow \neg \text{believe}'_w(j, \phi)]$$

▶ Strong factivity is locally accommodated, yielding a tautology.

(42) “John is **surprised** at Q”:

$$\lambda w. \forall \phi \in \text{REL}(\llbracket Q \rrbracket) [w \notin \phi \rightarrow \neg [\text{surprise}'(j, \phi) \wedge w \in \phi]]$$

Asymmetries of FA-sensitivity

1 The observations:

- ▶ In MA-Qs, OA is more tolerated than OD.
- ▶ In MS-Qs, OD is more tolerated than OA.

2 Principle of Tolerance

An answer ϕ is tolerated iff accepting ϕ yields an answer space s.t. every MaxI member of this answer space entails a MaxI true answer.

The pragmatic view: the distribution of MS is purely restricted by pragmatics.

- ▶ **Pragmatic approaches:** (Groenendijk & Stokhof 1984; van Rooij 2004; a.o.) Complete answers must be exhaustive. MS answers are partial answers that are sufficient for the conversational goal behind the question.
- ▶ **Post-structural approaches:** (Beck & Rullmann 1999; George 2011: ch 2) MS is semantically licensed but pragmatically restricted. MS and MA are two independent readings derived via different operations on question roots.

mention-some = mention-one: each MS answer specifies only one option

- ▶ Unlike MS answers, **mention-intermediate (MI) answers** (viz. non-exhaustive answers that specify multiple choices) must be ignorance-marked.

(43) Who can chair the committee?

(*w*: *only Andy, Billy, and Cindy can chair; single-chair only.*)

a. Andy.\

b. Andy and Billy.../

b'.#Andy and Billy.\ \rightsquigarrow *Only John and Mary can chair.*

c. Andy, Billy, and Cindy.\

mention-some = mention-one (cont.)

- ▶ Indirect \diamond -questions admit mention-one and MA readings, but not MI readings. While a conversational goal can be, e.g., “mention-3”.

(44) (*The dean wants to discuss plans for the committee with 3 chair candidates*)

John knows who can chair the committee.

- a. $\exists x$ [x can chair \wedge John knows that x can chair] (\checkmark)
- b. $\forall x$ [x can chair \rightarrow John knows that x can chair.] (\checkmark)
- c. $\exists xyz$ [xyz each can chair \wedge John knows that xyz each can chair.] ($\#$)

(45) John **agrees with** Mary on who came.

- a. $\forall x$ [Mary believes that x came \rightarrow John believes that x came]
 b. $\forall x$ [[Mary believes that x did **not** come] \rightarrow **not** [John believes that x came]]

<i>Did ... come?</i>	A	B	C	D
Mary's belief	✓	✓	✗	?
John's belief can be	✓	✓	✗/?	✓/✗/?

(46) $\mathcal{B}_w^m(Q) = \{p : p \in Q \wedge \text{believe}'_w(m, p)\}$
 (The set of possible answers that Mary believes in w)

(47) John **agrees with** Mary on Q .

- a. $\lambda w. \exists \phi \in \text{MaxI}(\mathcal{B}_w^m(Q))[\text{believe}'_w(j, \phi)]$ **Completeness**
 (λw . John believes _{w} a MaxI member of $\mathcal{B}_w^m(Q)$)
- b. $\lambda w. \forall \phi \in \text{REL}(\llbracket Q \rrbracket)[\text{believe}'_w(m, \neg \phi) \rightarrow \neg \text{believe}'_w(j, \phi)]$ **FA-sensitivity**
 (John doesn't believe anything Q -relevant that contradicts Mary's belief.)

Puzzle: \diamond -questions embedded under *agree* do not admit MS readings.

- (48) John **agrees with** Mary on [who can chair the committee].
- $\forall x$ [Mary believes that x can \rightarrow John believes that x can]
 - ~~$\exists x$ [Mary believes that x can \wedge John believes that x can]~~ (too weak)
 - $\forall x$ [[Mary believes that x can't] \rightarrow **not** [John believes that x can]]










<i>Can ... chair?</i>	<i>a</i>	<i>b</i>	<i>c</i>	<i>d</i>
Mary's belief	Yes	Yes	No	?
John's belief	Yes	No/?	No	?









Judgement: FALSE

Explanation: Indirect questions with *agree* evoke an **Opinionatedness Condition**

(49) **Opinionatedness & FA-sensitivity \Rightarrow MA**

- $\lambda w. \forall \phi \in \text{MaxI}(\mathcal{B}_w^m(Q)) [\text{bel}'_w(j, \phi) \vee \text{bel}'_w(j, \neg\phi)]$ **Opinionatedness**
(John is opinionated about every MaxI belief of Mary on Q.)
- $\lambda w. \forall \phi \in \text{MaxI}(\mathcal{B}_w^m(Q)) [\neg \text{bel}'_w(j, \neg\phi)]$ **\Leftarrow FA-sensitivity**
- a&b $\Rightarrow \lambda w. \forall \phi \in \text{MaxI}(\mathcal{B}_w^m(Q)) [\text{bel}'_w(j, \phi)]$

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