

# *Ab-initio* quantum simulations of complex photocatalytic reactions

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With:

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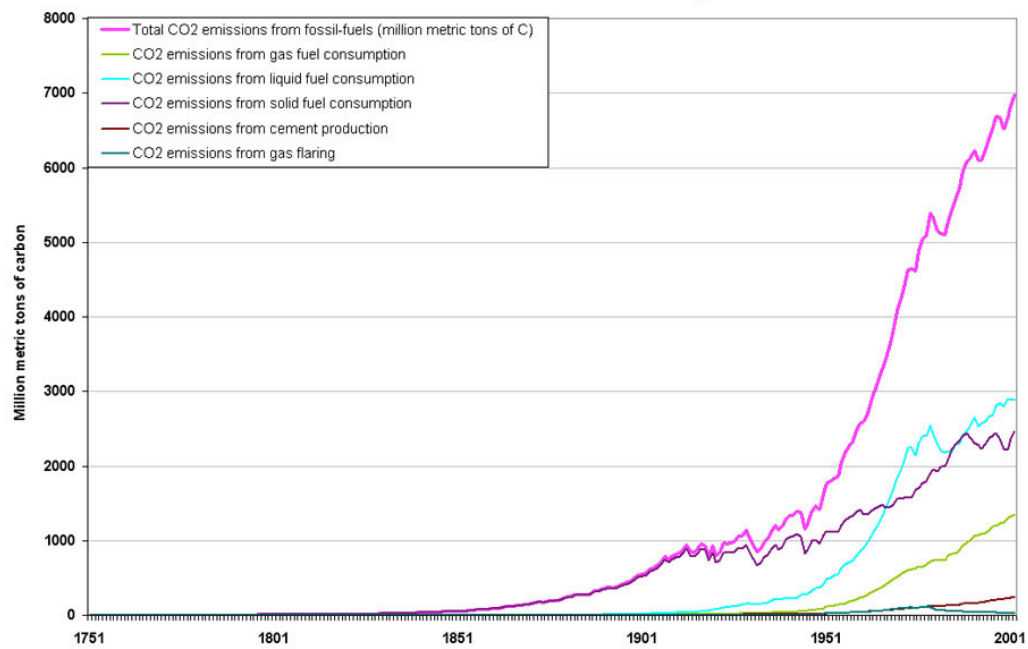
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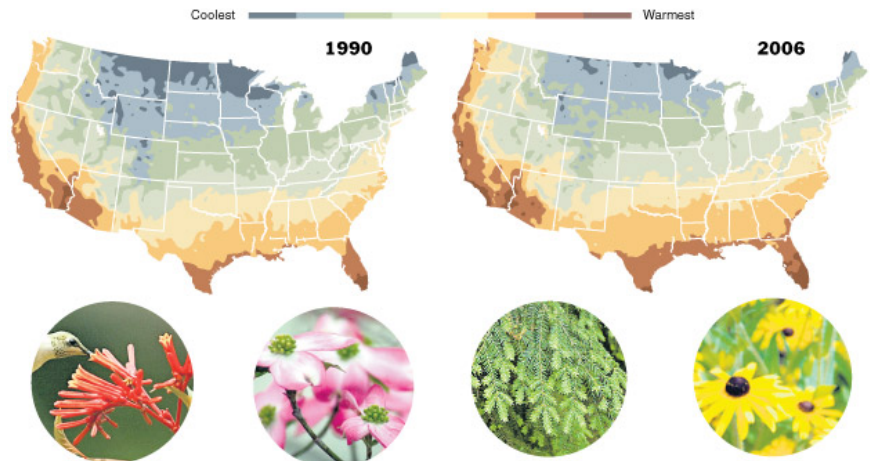
COST – Conference: Fundamental Problems in Quantum Physics,  
March 23-27 2014, Weizmann Institute, Israel

# Motivation: The need for alternative energy sources

Global carbon dioxide emissions from human activities, 1750-2004

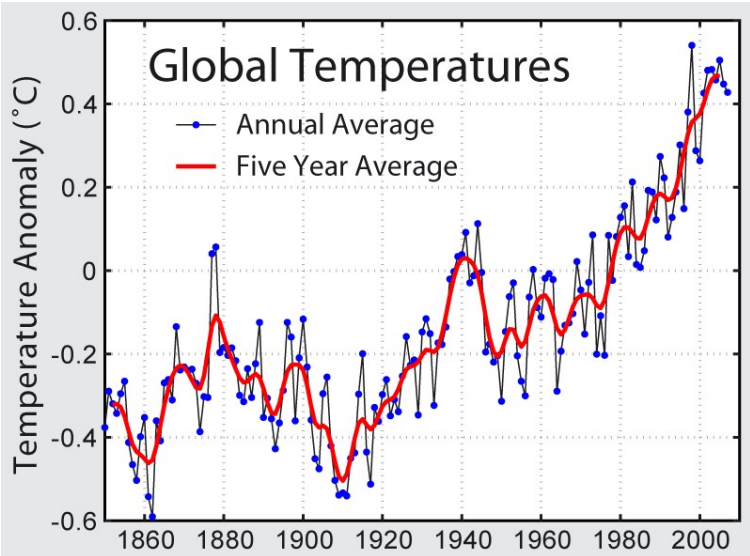


The zones in the maps correspond to low temperatures. As warmer zones cover more of the United States, different types of plants will grow in many areas.



In the winter, **Georgia** is now hospitable to plants like firebush. Serviceberries and dogwoods can be planted in **Nebraska**. A warmer **New York** helps a type of fungus harmful to Canadian hemlock. In **Seattle**, it is more difficult to grow black-eyed susans.

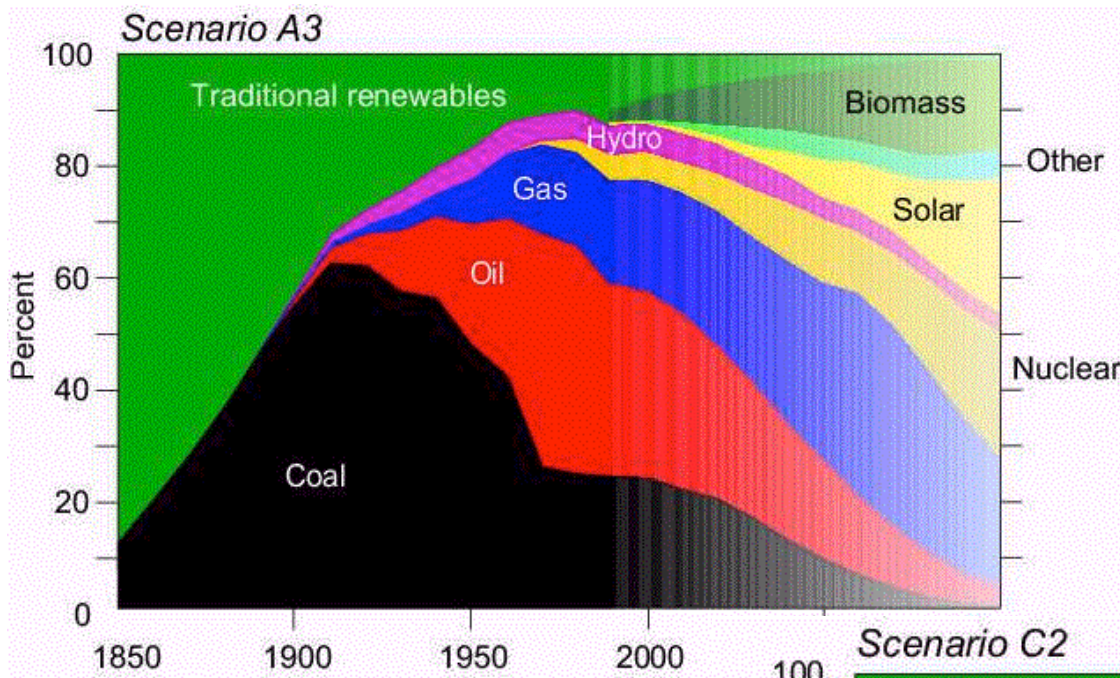
1990 zones are by the United States Department of Agriculture. 2006 zones are by the National Arbor Day Foundation.  
Sources: National Arbor Day Foundation; National Wildlife Federation  
The New York Times



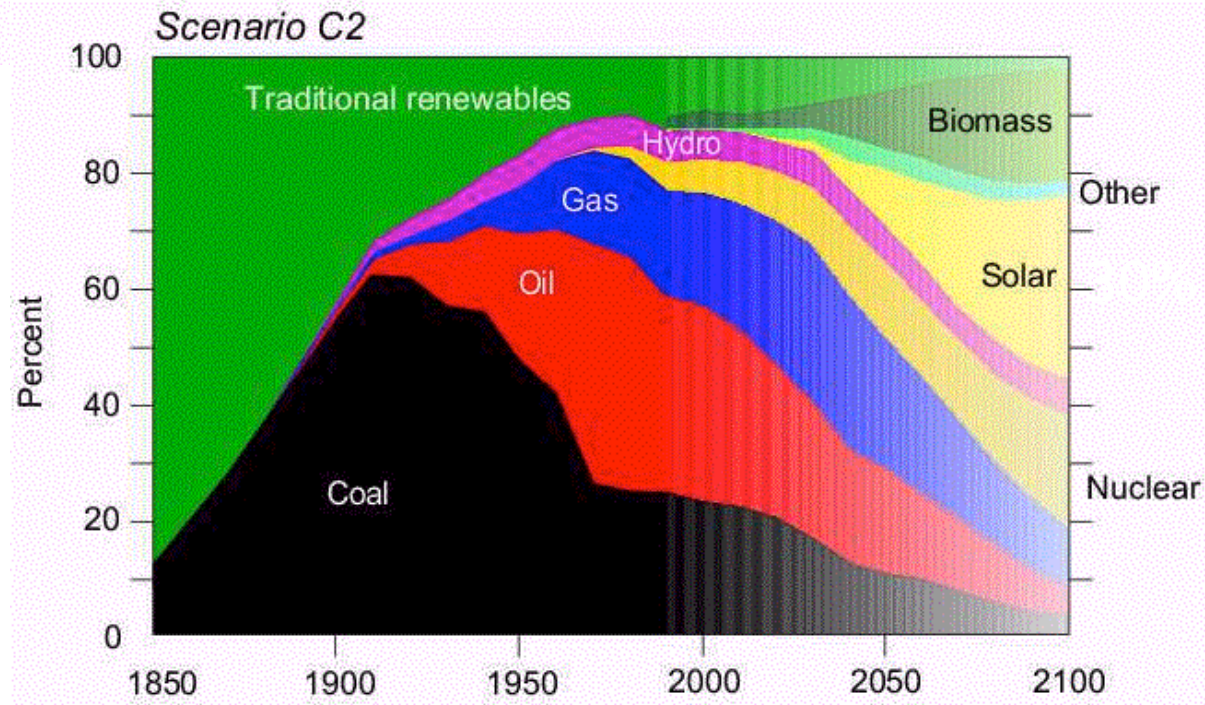
**Positive proof of global warming.**  
18th Century 1900 1950 1970 1980 1990

<http://www.celsius.com/2007/03/20/channel-4-distances-itself-from-global-warming-documentary/>

# The challenge of sustainable energy sources

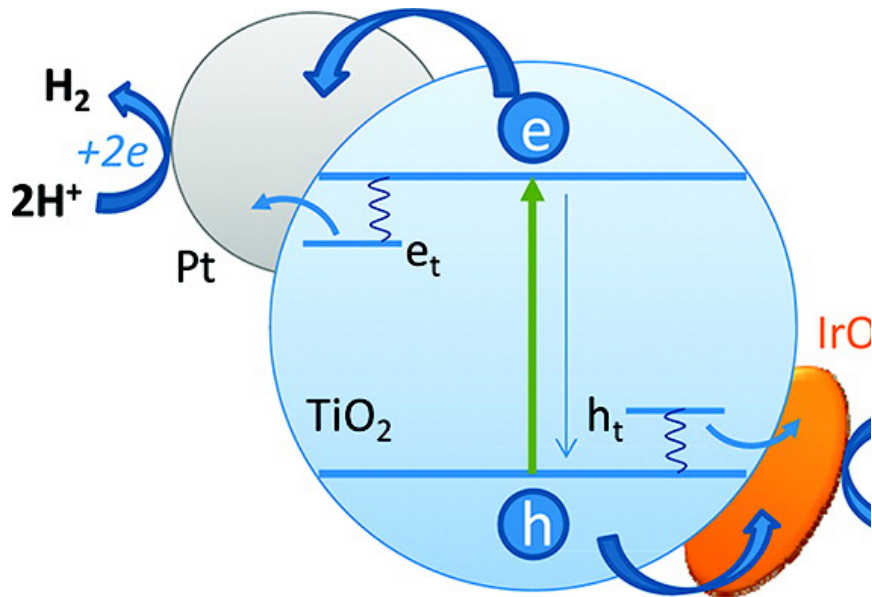


Time and resources  
running out –  
fundamental science  
can play key role in  
enabling technology



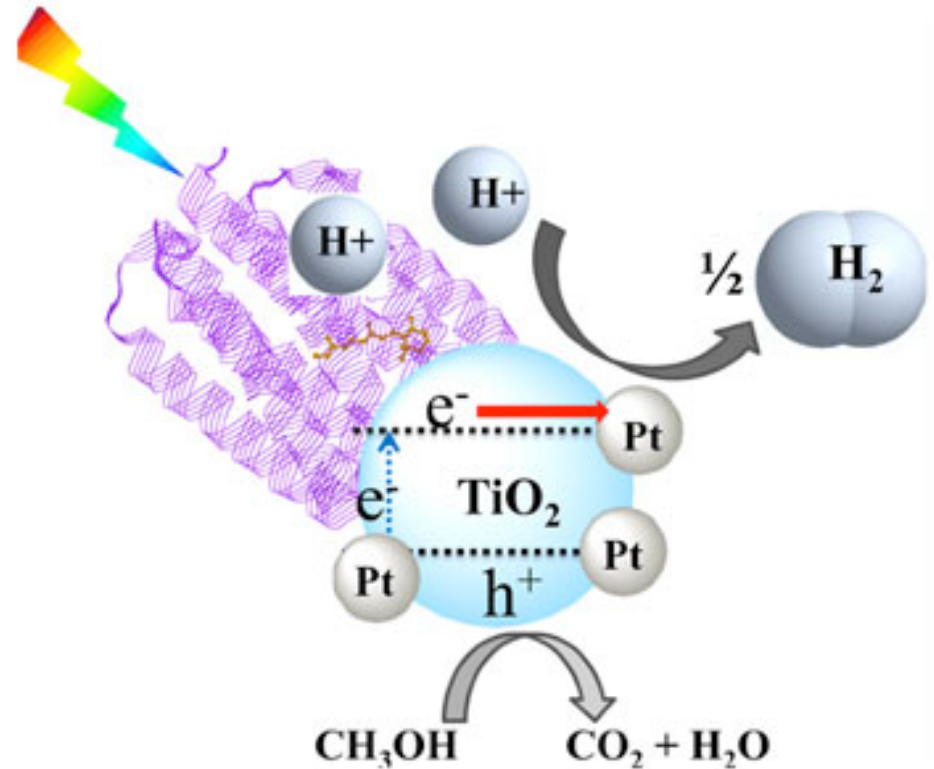
Report of Intergovernmental  
Panel on Climate Change

# Water splitting



Photocatalytic water splitting system utilizing Pt/ $\text{TiO}_2$ / $\text{IrO}_2$ :  
 $\text{TiO}_2$  is light absorber, Pt is the hydrogen evolution catalyst, and  $\text{IrO}_2$  is the oxygen evolution catalyst.

(P. Kamat, U. Notre Dame)



$\text{H}_2$  production from organic molecules using  $\text{TiO}_2$  nano-particles as photo-catalysts  
 (Argonne National Lab)

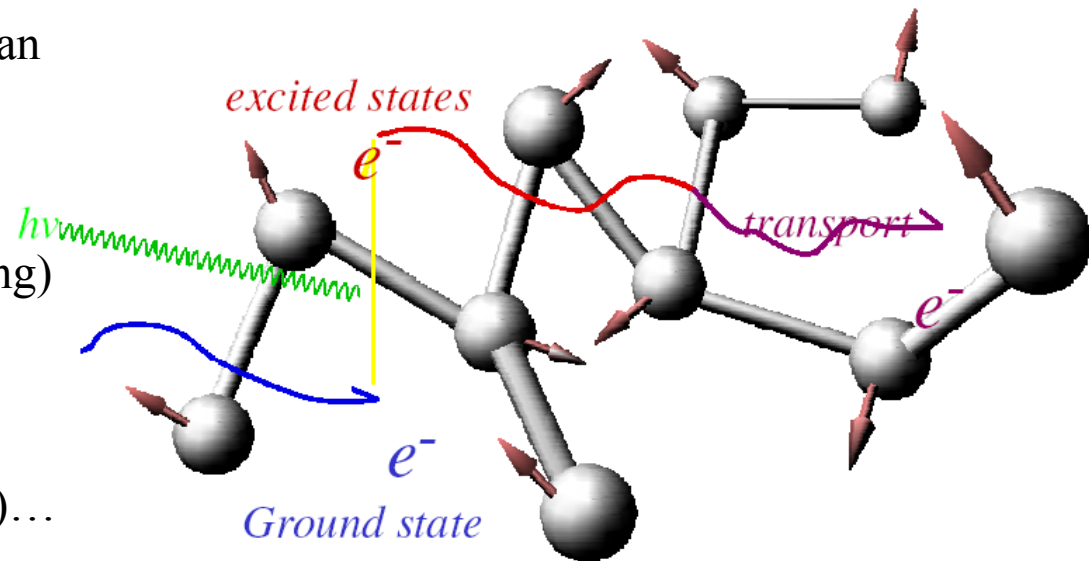
# Main issue: coupled electron-ion dynamics

## Previous work:

-Schroedinger eq. with model Hamiltonian  
Thoss, Miller, Stock, JCP (2000);  
Rego& Batista, JACS (2003);...

-semiempirical Hamiltonian (tight-binding)  
Allen et al., JMO (2003);...

-ground state DFT + TDDFT  
Prezhdo et al., PRL (2005); JACS (2007)...



## Our method:

TDAP: self-consistent TDDFT with atomic motion

## **Coupled electron-ion dynamics without empirical parameters**

Meng & Kaxiras, J. Chem. Phys. (2008).

*Similar in spirit to: Miyamoto et al.; Rubio et al.; Tavernelli et al..*

# Main issue: coupled electron-ion dynamics

$$i\hbar \frac{\partial \phi_j(\mathbf{r}, t)}{\partial t} = \underbrace{\left[ -\frac{\hbar^2}{2m} \nabla_{\mathbf{r}}^2 + v_{ext}(\mathbf{r}, t) + \int \frac{\rho(\mathbf{r}', t)}{|\mathbf{r} - \mathbf{r}'|} d\mathbf{r}' - \sum_I \frac{Z_I}{|\mathbf{r} - \mathbf{R}_I^{cl}|} + v_{xc}[\rho](\mathbf{r}, t) \right]}_{H_{\text{DFT}}} \phi_j(\mathbf{r}, t)$$

$$M_J \frac{d^2 \mathbf{R}_J^{cl}(t)}{dt^2} = -\nabla_{\mathbf{R}_J^{cl}} \left[ V_{ext}^J(\mathbf{R}_J^{cl}, t) - \int \frac{Z_J \rho(\mathbf{r}, t)}{|\mathbf{R}_J^{cl} - \mathbf{r}|} d\mathbf{r} + \sum_{I \neq J} \frac{Z_J Z_I}{|\mathbf{R}_J^{cl} - \mathbf{R}_I^{cl}|} \right]$$

DFT Hamiltonian at each time step

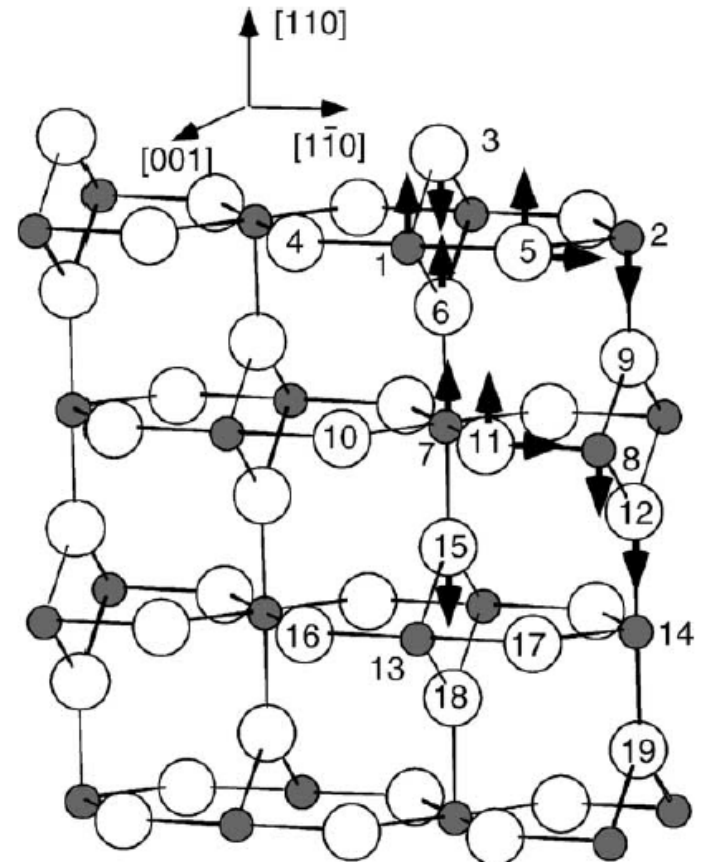
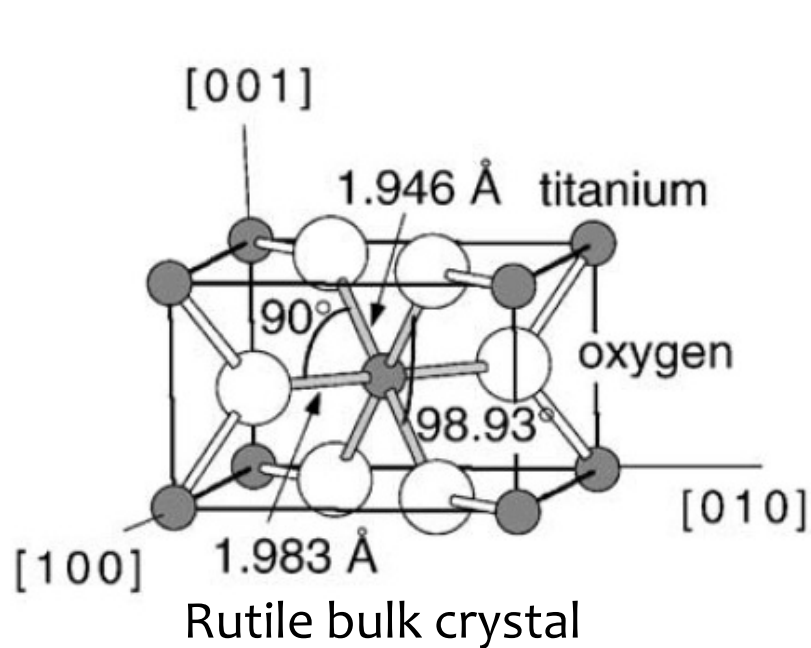
See talks this afternoon by  
Kieron Burke (plenary, 14:00)  
Leeor Kronik (15:45)

# Rutile $\text{TiO}_2$ (110) – a model photocatalyst

Titania ( $\text{TiO}_2$ ) – prototypical surface for studying photocatalysis [1]

Bulk Oxygen – 3 sigma bonds, 1 “lone pair”

Surface Oxygen – 2 sigma bonds, 1 dangling bond, 1 “lp”

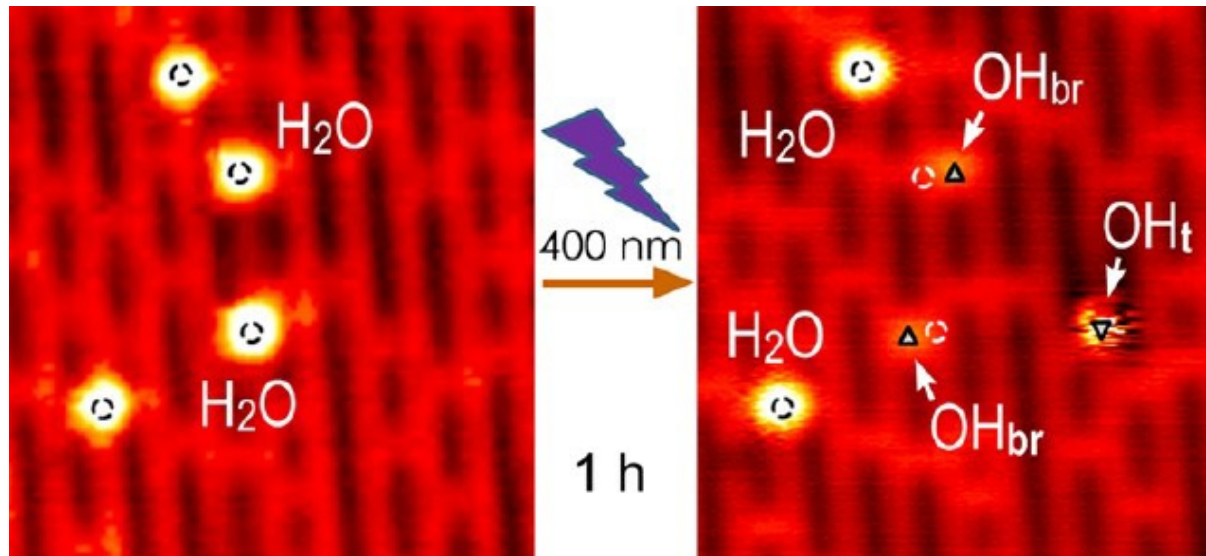


1- A. Fujishima, K. Honda. Nature, 238:37-38 (1972)

2- U. Diebold, Surf. Sci. Rep., 48:53-229 (2003)

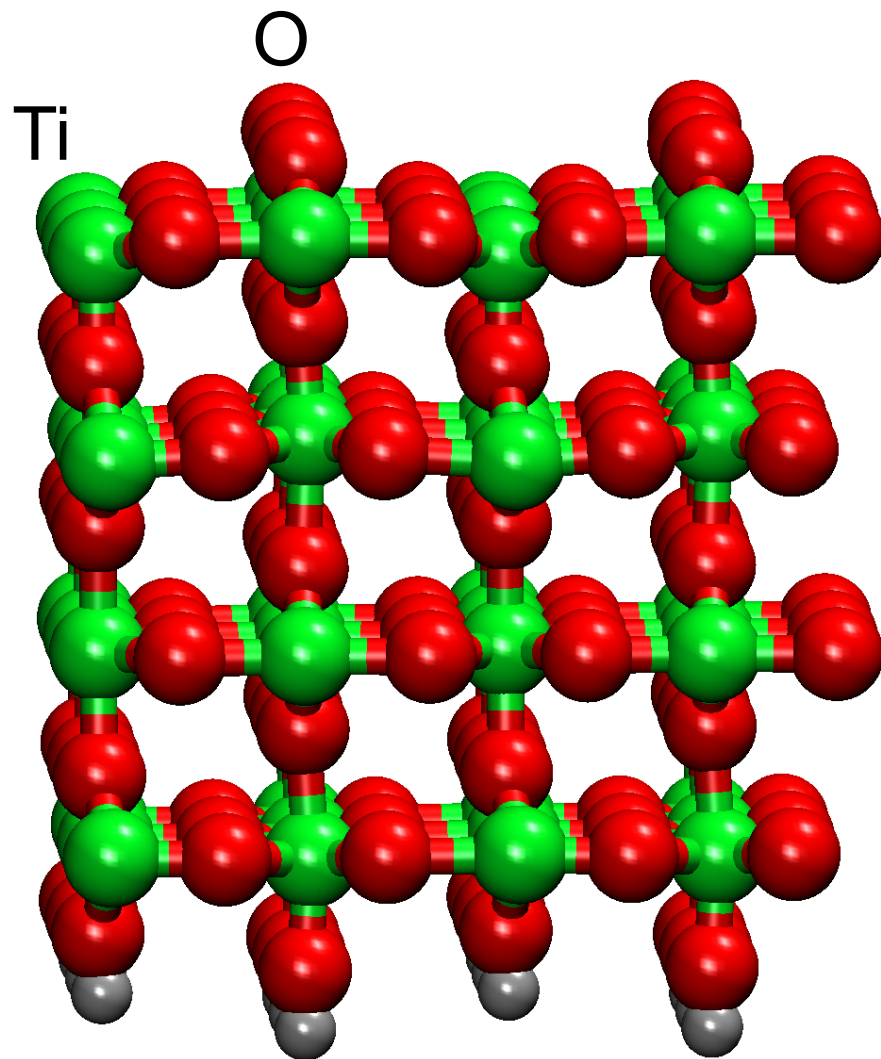
# Experimental evidence for water photo-oxidation (?)

Water might undergo photodissociation in ultra-high vacuum study [1] (has not been reproduced by others).



Our goal: confirm or disprove through real-time simulation of the first step of the water photo-oxidation.

# Simulation methodology



Slab (4 - 6 layers thick)

DFT: GGA-PBE, GPAW code [1],  
Including DFT+U correction

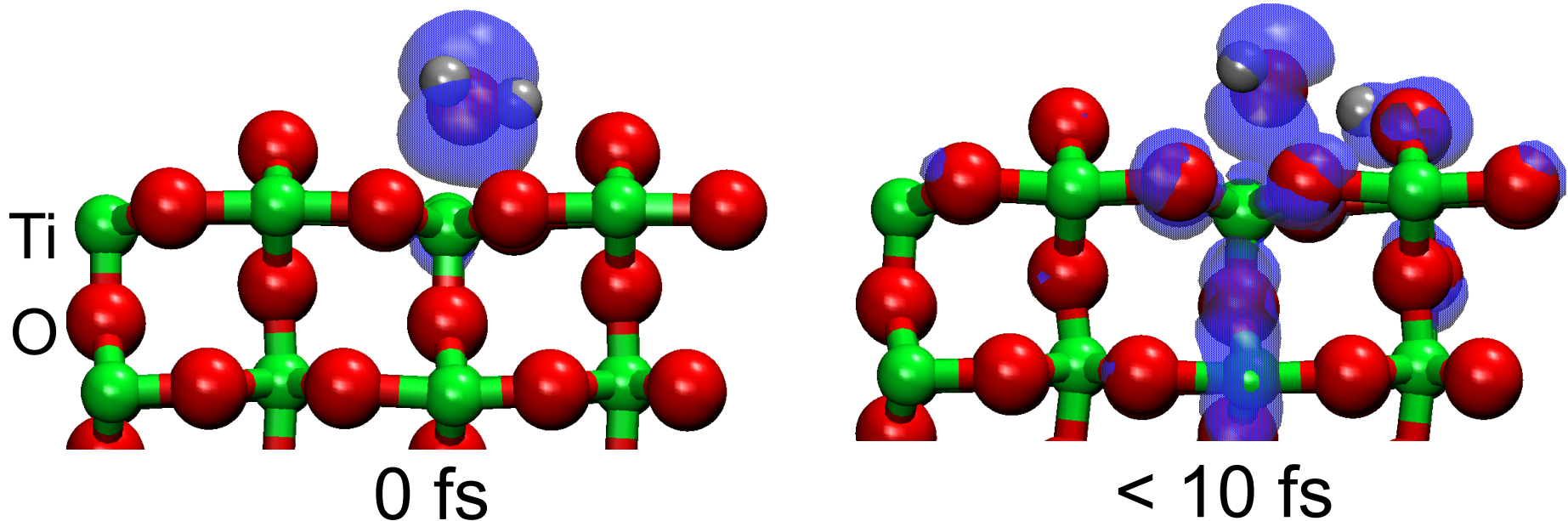
$\Delta$ SCF approach for simulation of  
excitation

Ehrenfest dynamics for time  
propagation

# Dynamics of electron-hole pair on clean (110) surface

Hole on water non-bonding orbital

Excitation energy 9.3 eV

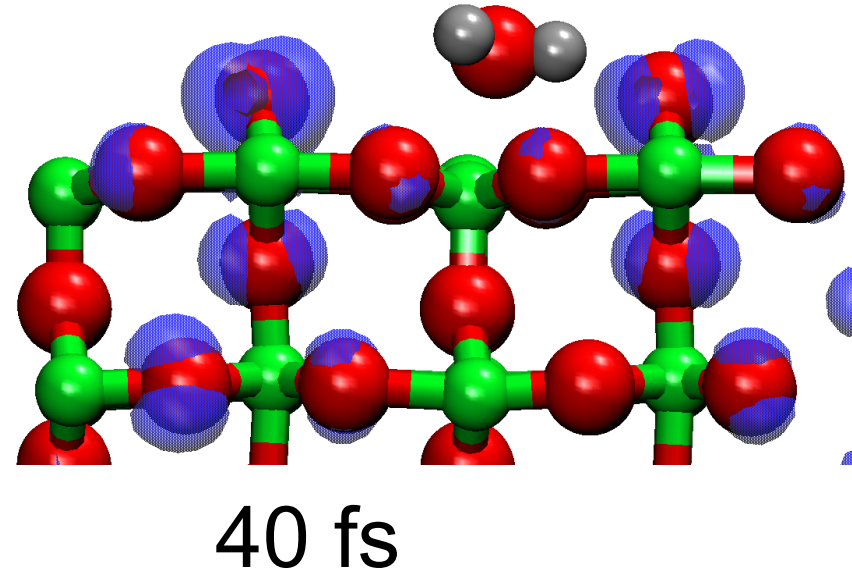
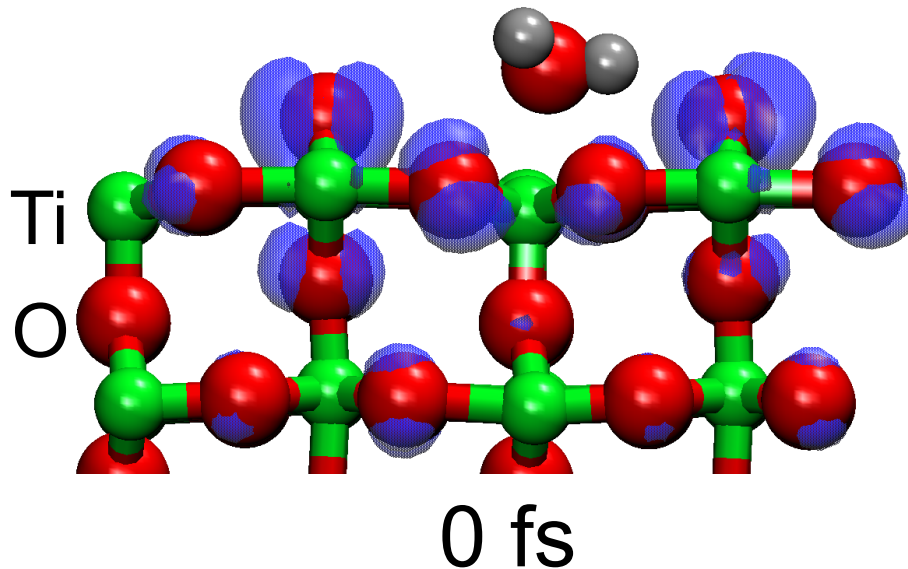


**WATER SPLITS!**

# Dynamics of electron-hole pair on clean (110) surface

Hole on surface localized slab eigenstate

Excitation energy 3.5 eV

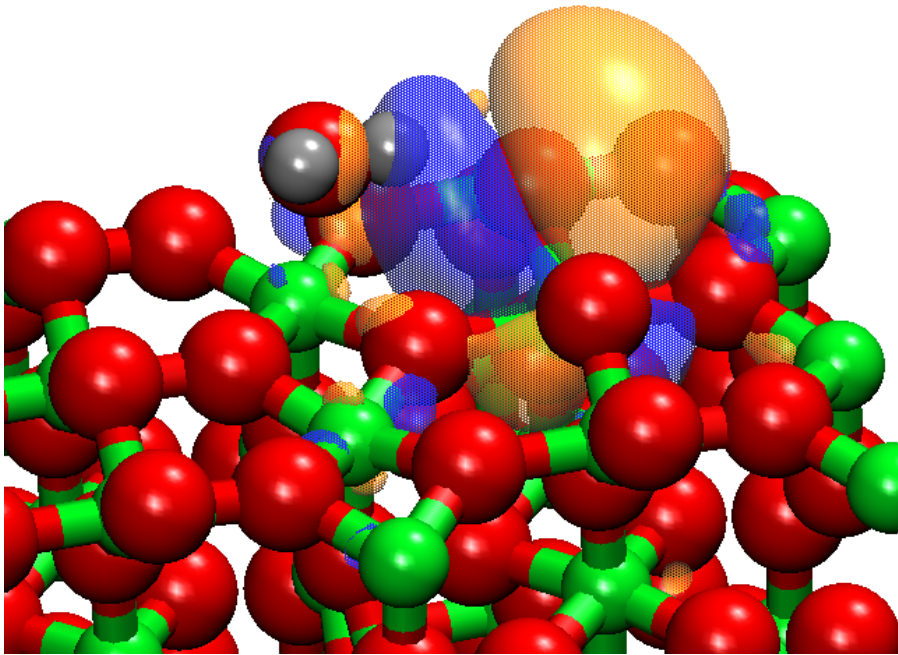


**NO SPLITTING**

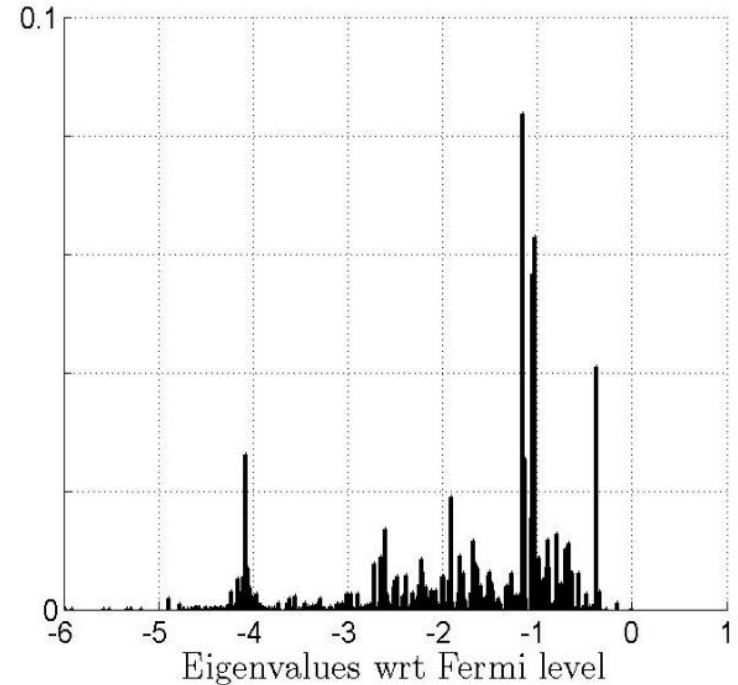
# Dynamics of electron-hole pair on clean (110) surface

Hole on a MLWF (O- $p_z$  orbital)  
Excitation energy 3.8 eV

Maximally Localized Wannier Functions,  
computed using the *Wannier90* code [1]



**NO SPLITTING**

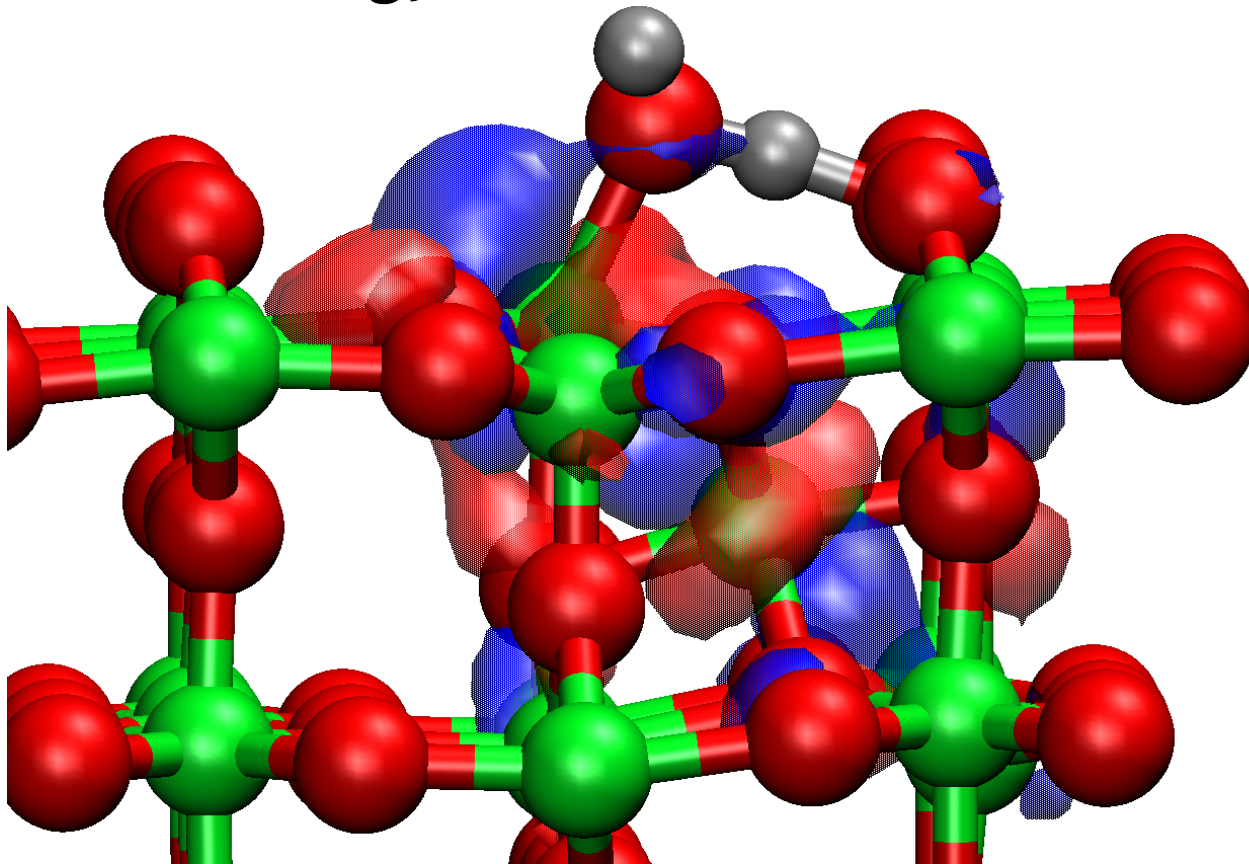


[1] A. Mostofi *et al.* Comput. Phys. Commun. 178:685 (2008)

# Interstitial titanium atom defect level

Hole on interstitial defect level

Excitation energy 1.7 eV



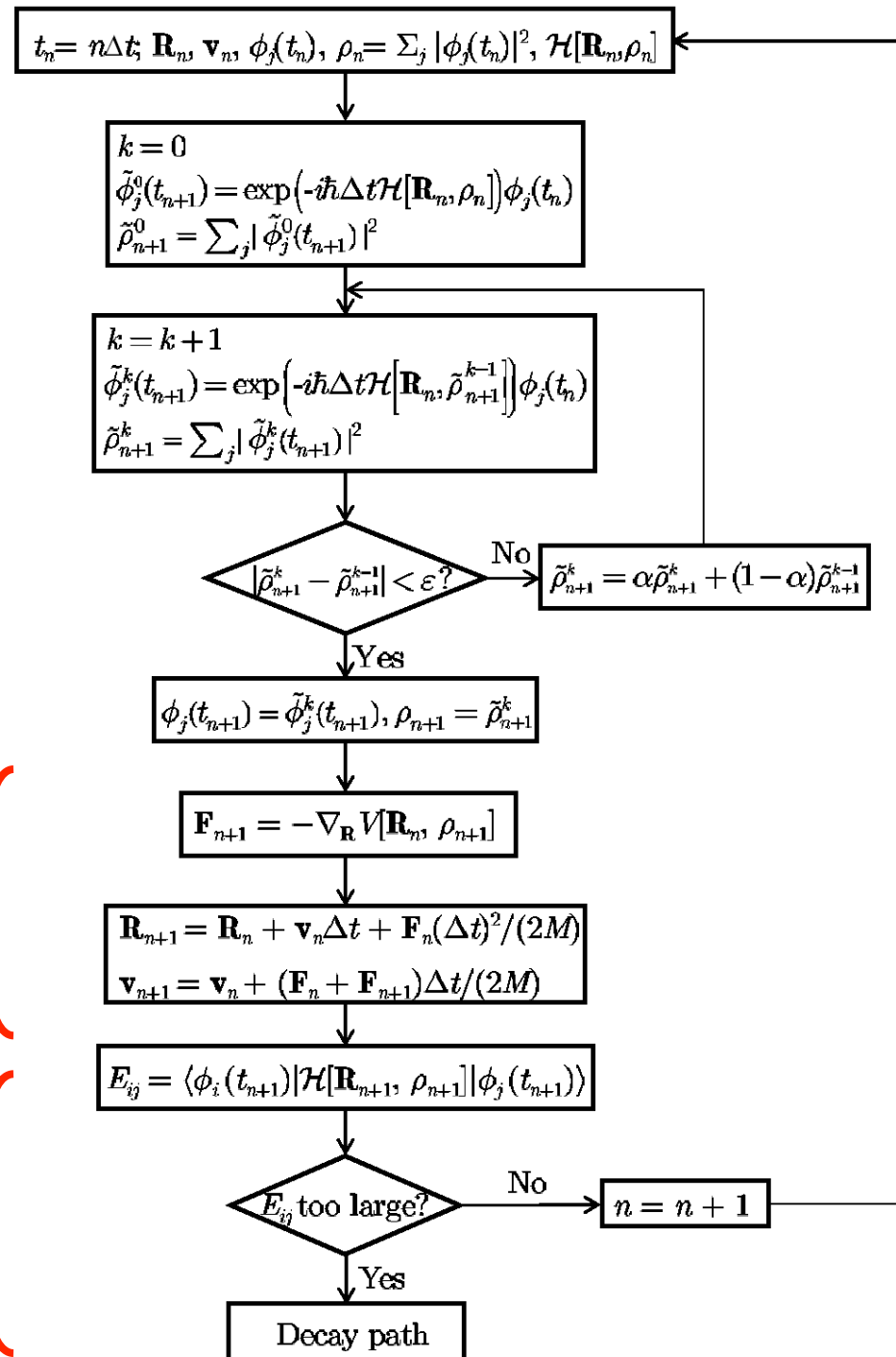
**NO SPLITTING**

TDAP: an improved TDDFT  
scheme (computationally  
efficient)  
w/ Ehrenfest dynamics

Self-consistent  $e$  propagation

Ionic motion

Break down



Self-consistent  
e propagation

$$t_n = n\Delta t; \mathbf{R}_n, \mathbf{v}_n, \phi_j(t_n), \rho_n = \sum_j |\phi_j(t_n)|^2, \mathcal{H}[\mathbf{R}_n, \rho_n]$$

Localized orbitals

$$k = 0$$

$$\tilde{\phi}_j^0(t_{n+1}) = \exp(-i\hbar\Delta t\mathcal{H}[\mathbf{R}_n, \rho_n])\phi_j(t_n)$$

$$\tilde{\rho}_{n+1}^0 = \sum_j |\tilde{\phi}_j^0(t_{n+1})|^2$$

Lanczos algorithm

$$k = k + 1$$

$$\tilde{\phi}_j^k(t_{n+1}) = \exp(-i\hbar\Delta t\mathcal{H}[\mathbf{R}_n, \tilde{\rho}_{n+1}^{k-1}])\phi_j(t_n)$$

$$\tilde{\rho}_{n+1}^k = \sum_j |\tilde{\phi}_j^k(t_{n+1})|^2$$

$$|\tilde{\rho}_{n+1}^k - \tilde{\rho}_{n+1}^{k-1}| < \varepsilon?$$

No

$$\tilde{\rho}_{n+1}^k = \alpha \tilde{\rho}_{n+1}^k + (1 - \alpha) \tilde{\rho}_{n+1}^{k-1}$$

Yes

$$\phi_j(t_{n+1}) = \tilde{\phi}_j^k(t_{n+1}), \rho_{n+1} = \tilde{\rho}_{n+1}^k$$

Ionic motion

$$\mathbf{F}_{n+1} = -\nabla_{\mathbf{R}} V[\mathbf{R}_n, \rho_{n+1}]$$

$$\begin{aligned}\mathbf{R}_{n+1} &= \mathbf{R}_n + \mathbf{v}_n \Delta t + \mathbf{F}_n (\Delta t)^2 / (2M) \\ \mathbf{v}_{n+1} &= \mathbf{v}_n + (\mathbf{F}_n + \mathbf{F}_{n+1}) \Delta t / (2M)\end{aligned}$$

Break down

$$E_{ij} = \langle \phi_i(t_{n+1}) | \mathcal{H}[\mathbf{R}_{n+1}, \rho_{n+1}] | \phi_j(t_{n+1}) \rangle$$



No

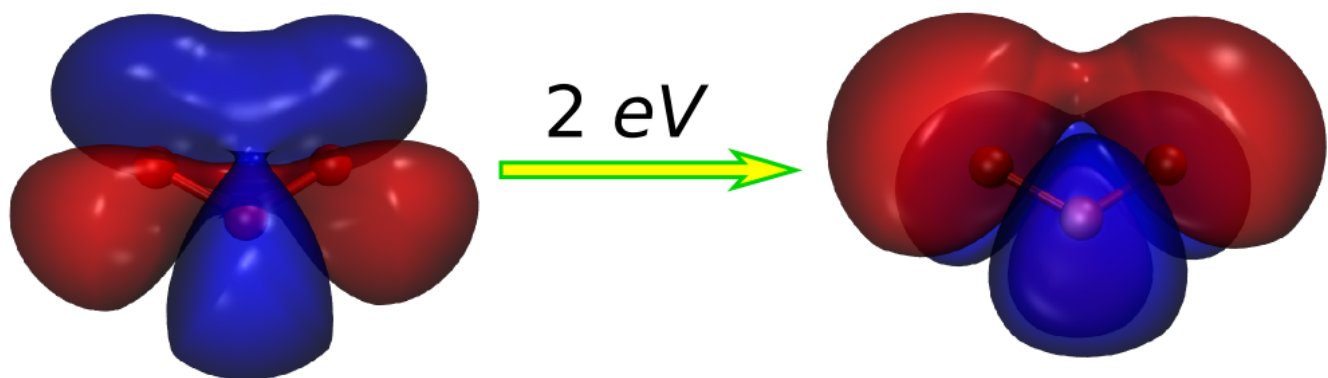
$$n = n + 1$$

Yes

Decay path

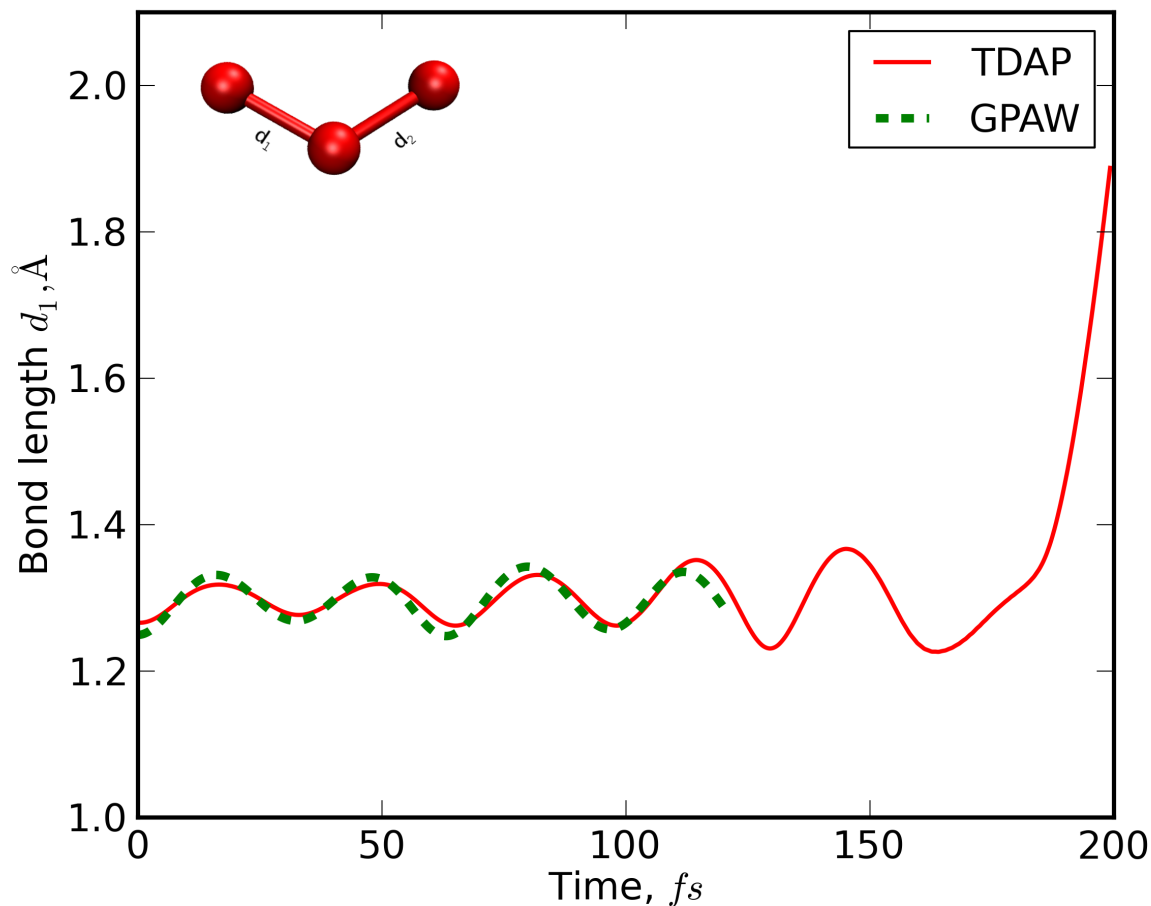
# Example: ozone photolysis

- Excitation HOMO to LUMO: slow dissociation



Matsumi, Y. & Kawasaki, M. Photolysis of atmospheric ozone in the ultraviolet region. *Chemical reviews* **103**, 4767–4782 (2003).

# 1<sup>st</sup> excited state trajectory



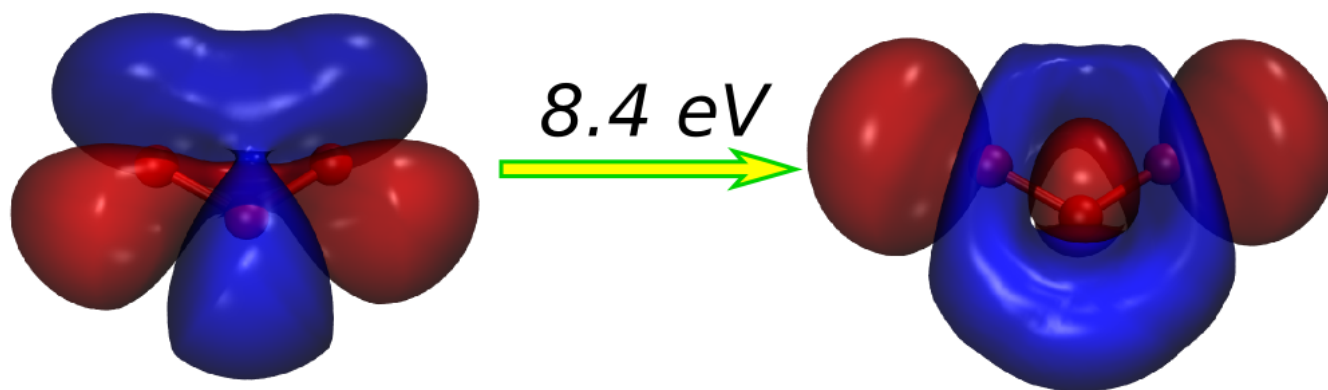
GPAW computation  
time 37 days (4 cores)

TDAP: 1 hour

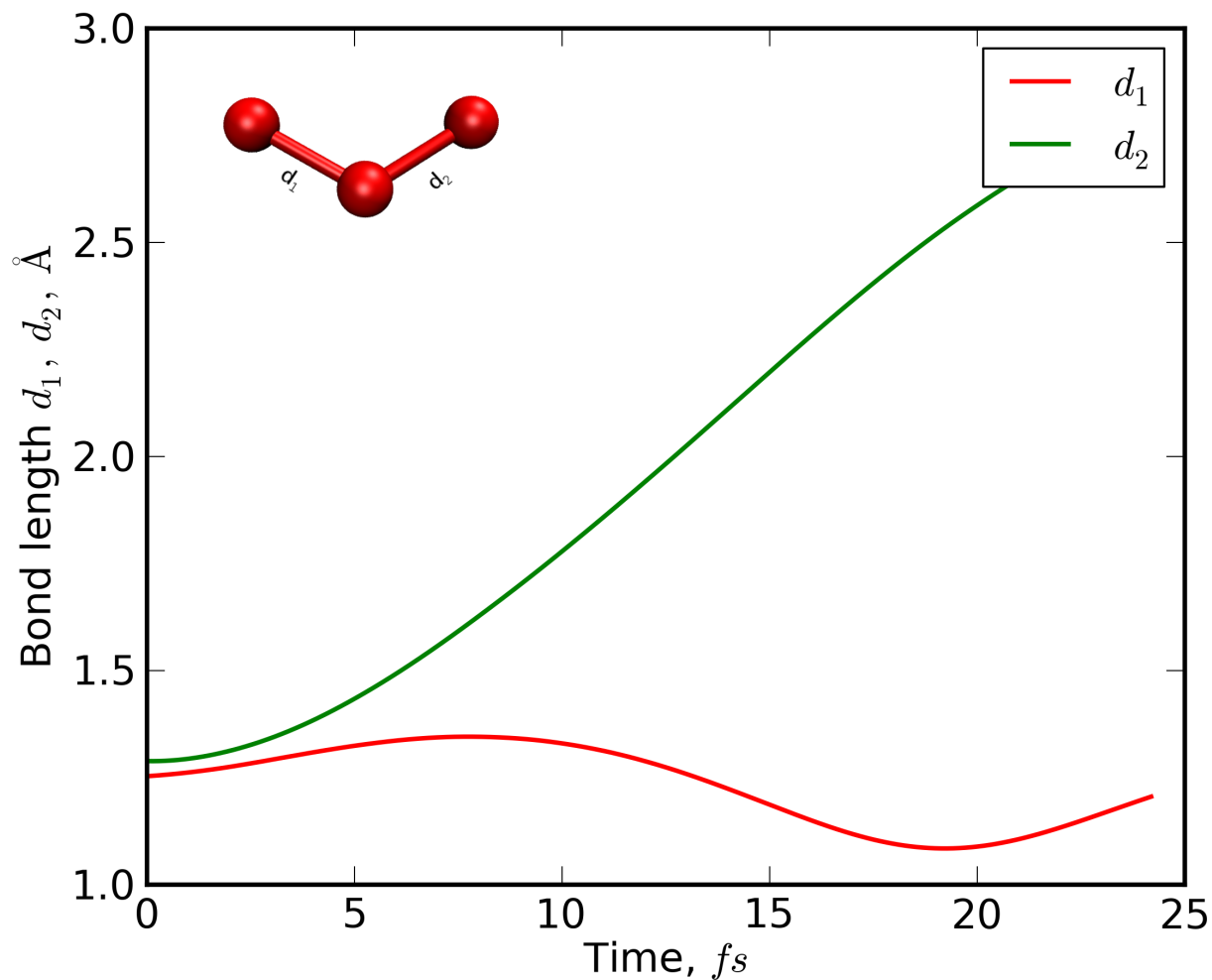
Time step: 5 attosec  
(both)

# Example: ozone photolysis

- Excitation HOMO to LUMO+1: quick dissociation

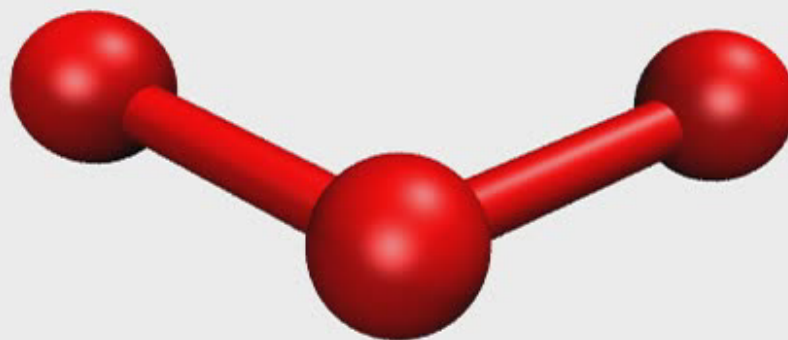


# 2<sup>nd</sup> excited state trajectory



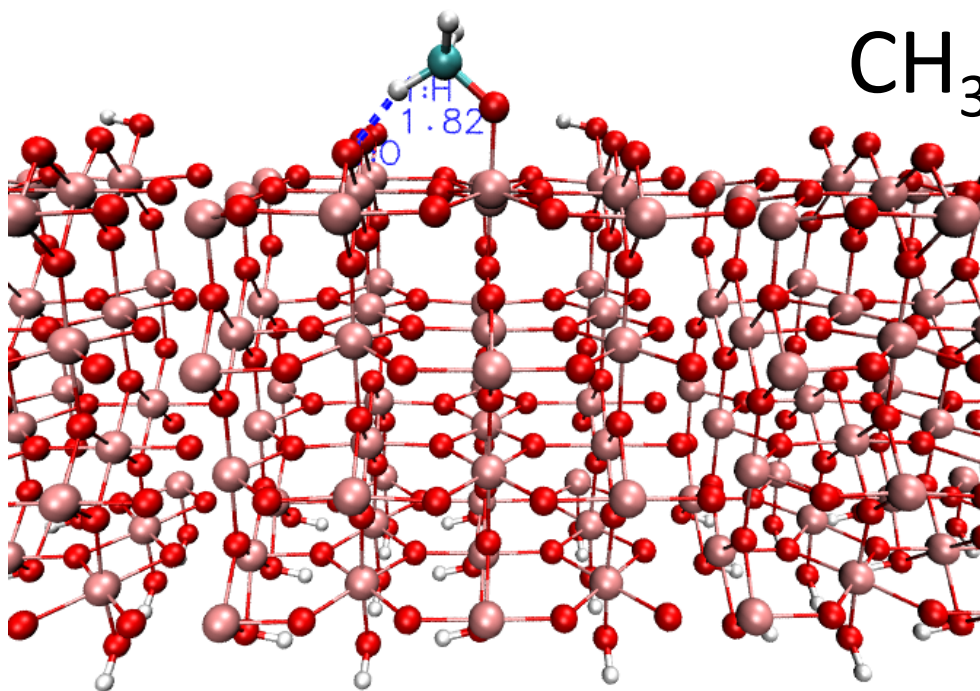
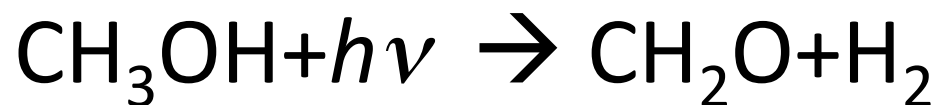
# 2<sup>nd</sup> excited state trajectory

- Movie:  
o3split.mov



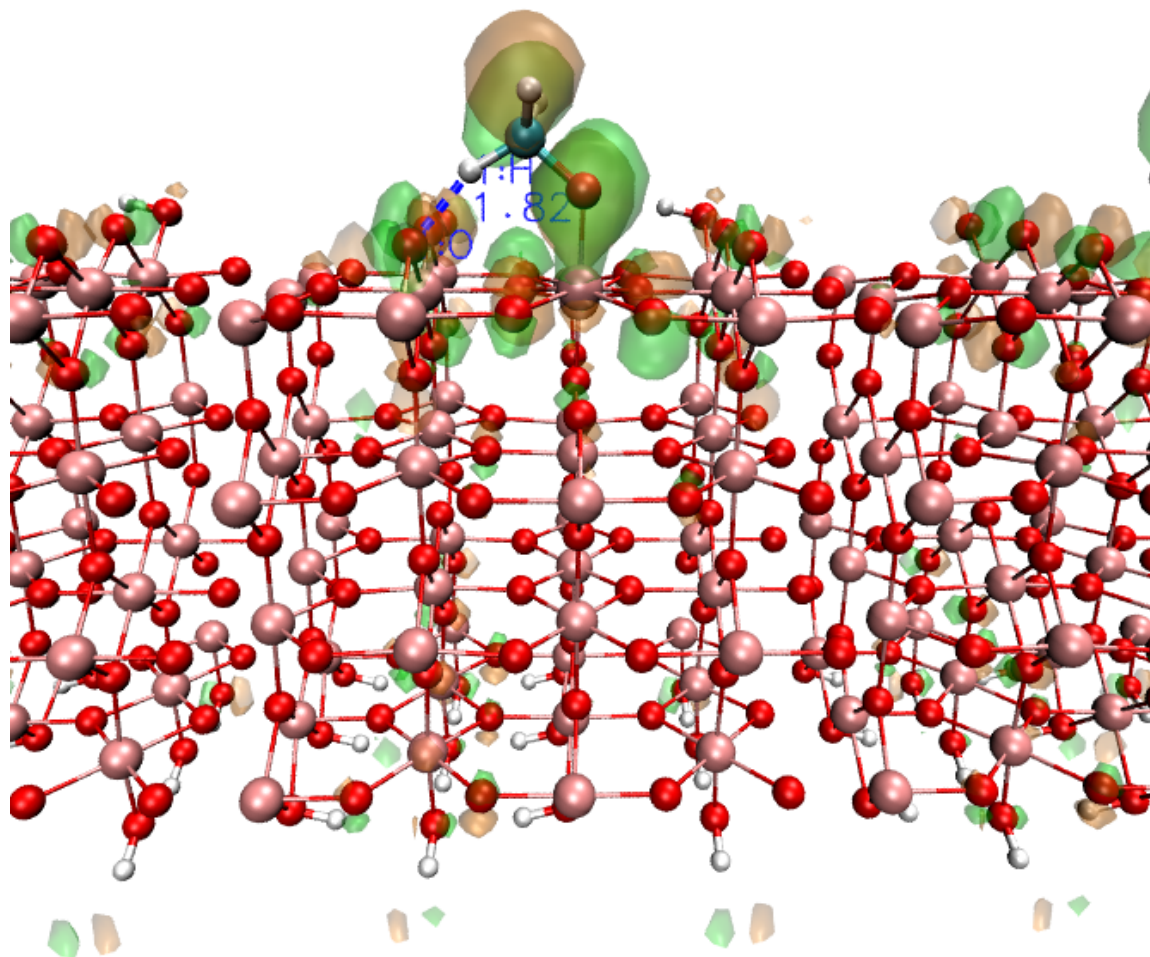
# Methoxy splitting on TiO<sub>2</sub> surface

- Formaldehyde was photochemically produced from methoxy on TiO<sub>2</sub> (110) surface

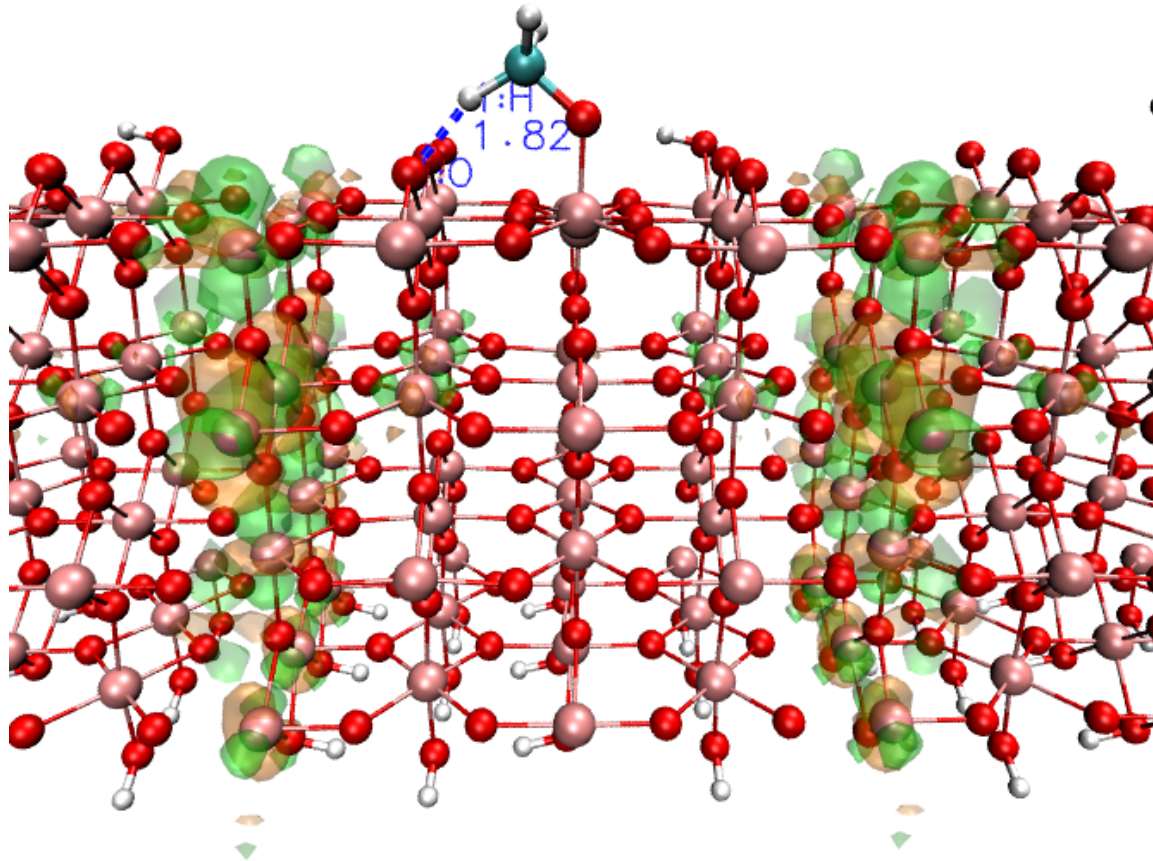


Phillips, K. R., Jensen, S. C., Baron, M., Li, S.-C. & Friend, C. M.  
Sequential photo-oxidation of methanol to methyl formate on TiO<sub>2</sub> (110).  
*Journal of the American Chemical Society* **135**, 574–577 (2013).

# Hole: HOMO-4 State

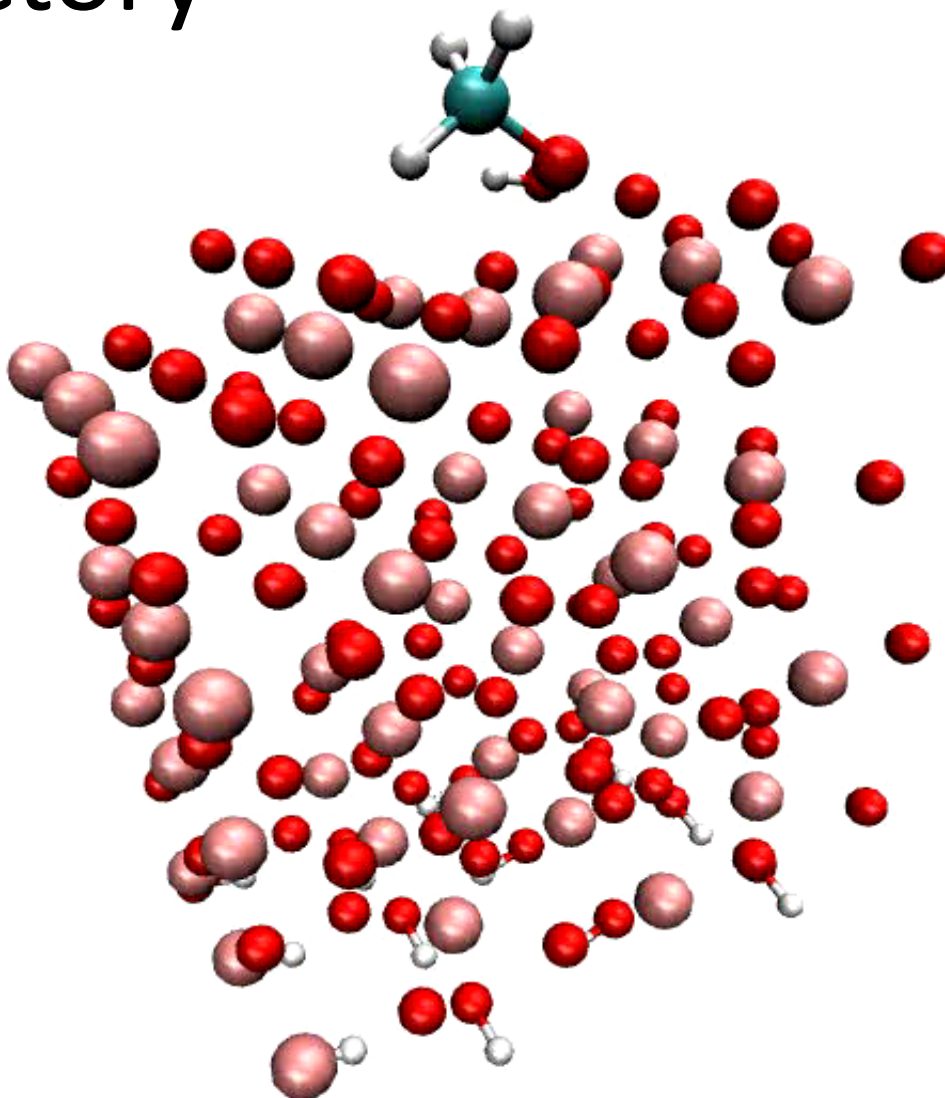


# Electron: LUMO state



# TDDFT trajectory

- Movie:  
[mxsplit.mpg](#)



# Conclusions and outlook

## **Encouraging results:**

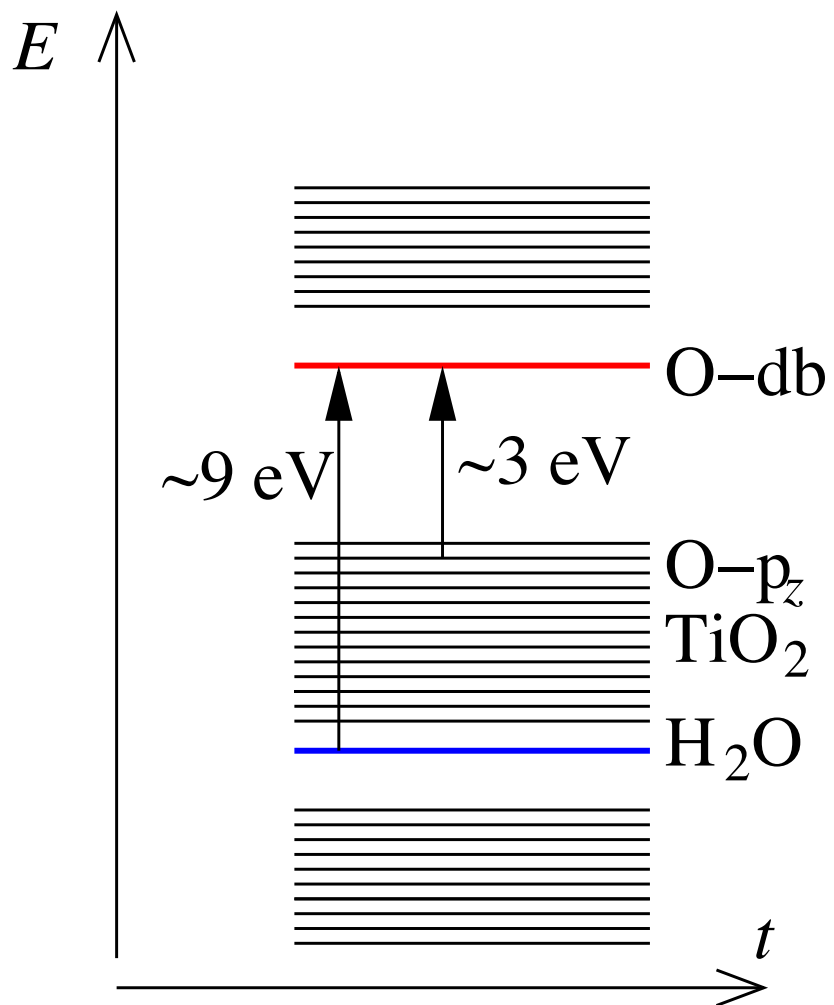
- Clear distinction between systems that show reaction and systems that don't
- Issue of simulation duration

## **“Behind the scenes”:** Dozens of simulations with varying initial conditions:

- Hole localization
- Initial configuration
- Initial velocities of nuclei, etc.

## **Additional features that may be necessary for quantitative comparison to experiment:**

- Adsorbate – adsorbate interactions
- Presence of water and changes in the dielectric constant of the environment
- Presence of types of defects, or complex interplay between defects of different types on surface
- Other reactive sites (steps, kinks), other surface orientations (facets)



# Computational setup

- Excitation: promoting electron from HOMO-4 to LUMO to model hole on methoxy group
- In experiment 3-6 eV UV band was used

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	$E_{\text{gap}}, \text{ eV}$ Bulk $\text{TiO}_2$	$E_{\text{gap}}, \text{ eV}$ Methoxy on (110) $\text{TiO}_2$
Experiment	3.03	-
SIESTA DFT+U	2.8	2.6
TDAP, $\Delta\text{SCF}$	-	2.9

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# TDAP: improved TDDFT (computationally efficient) + Ehrenfest dynamics

Electrons are propagated according to time-dependent Kohn-Sham equations

$$i\hbar \frac{\partial \phi_j(\mathbf{r}, t)}{\partial t} = \hat{H}_{KS} \phi_j$$

$$\rho(\mathbf{r}, t) = \sum_j |\phi_j(\mathbf{r}, t)|^2$$

Nuclei are propagated classically

$$M_J \frac{d^2 \mathbf{R}_J^{cl}(t)}{dt^2} = -\nabla_{\mathbf{R}_J^{cl}} \left[ V_{ext}^J(\mathbf{R}_J^{cl}, t) - \int \frac{Z_J \rho(\mathbf{r}, t)}{|\mathbf{R}_J^{cl} - \mathbf{r}|} d\mathbf{r} + \sum_{I \neq J} \frac{Z_J Z_I}{|\mathbf{R}_J^{cl} - \mathbf{R}_I^{cl}|} \right]$$

$$\rho_J(\mathbf{R}, t) = |\psi_J(\mathbf{R}, t)|^2 = \delta(\mathbf{R} - \mathbf{R}_J^{cl})$$