### **Kim Pernell**

Department of Sociology University of Toronto 725 Spadina Avenue Toronto, ON M5S 2J4

#### EMPLOYMENT

2016 - Present Assistant Professor of Sociology, University of Toronto Canada Research Chair in Economic Sociology

#### **EDUCATION**

2016	Ph.D., Sociology, Harvard University
2011	A.M., Sociology, Harvard University
2007	B.A., Sociology, Beloit College Summa Cum Laude, Phi Beta Kappa

#### **RESEARCH AND TEACHING INTERESTS**

Economic Sociology, Organizations, Law and Regulation, Public and Social Policy

#### PEER-REVIEWED PUBLICATIONS

2020	Pernell, Kim. "Market Governance, Financial Innovation, and Financial Instability: Lessons from Banks' Adoption of Shareholder Value Management." <i>Theory and</i> <i>Society.</i> 49: 277–306
2017	Pernell, Kim, Jiwook Jung, and Frank Dobbin. "The Hazards of Expert Control: Chief Risk Officers and Risky Derivatives." <i>American Sociological Review</i> 82(3): 511-541.
2015	<ul> <li>Pernell-Gallagher, Kim. "Learning From Performance: Banks, Collateralized Debt Obligations, and the Credit Crisis." <i>Social Forces</i> 94: 31-59.</li> <li>2014 <i>James D. Thompson Award</i> for best graduate student paper, Organization, Occupations, and Work Section of the American Sociological Association</li> </ul>
2013	Vican, Shawna and Kim Pernell-Gallagher. "Instantiation of Institutional Logics: The 'Business Case' for Diversity and the Prevalence of Diversity Mentoring Practices." <i>Research in the Sociology of Organizations</i> 39B: 233-273.

### **OTHER PUBLICATIONS**

2020	Pernell, Kim. "Review of <i>Whistleblowing: Toward A New Theory</i> by Kate Kenny. Cambridge: Harvard University Press, 2019." <i>Contemporary Sociology</i> 49(3): 279-280.
2017	Pernell, Kim, Jiwook Jung, and Frank Dobbin. "Hiring Chief Risk Officers Led Banks To Take On More Risk." <i>Harvard Business Review</i> , July. https://hbr.org/2017/07/research-hiring-chief-risk-officers-led-banks-to-take-on- even-more-risk
2016	Pernell-Gallagher, Kim. "Superstitious Learning and the Credit Crisis" Work in Progress. June 30. https://workinprogress.oowsection.org/2016/06/30/superstitious-learning-and-the-credit-crisis/
2010	Pernell, Kim. "Review of How Markets Fail: The Logic of Economic Calamities, by John Cassidy" <i>Accounts: ASA Economic Sociology Section Newsletter</i> . Eds. Jiwook Jung, Eunmi Mun, Kim Pernell, Sameer Srivastava, Andras Tilcsik. Vol. 9:2.

## FELLOWSHIPS AND GRANTS

2017 - 21	Canada Research Chair (Tier II) in Economic Sociology
2012 - 14	Residential Lab Fellowship and Research Grant, Research Lab on Institutional Corruption, Edmond J. Safra Center for Ethics, Harvard University: \$92,685
2014	Sidney R. Knafel Dissertation Completion Fellowship, Weatherhead Center for International Affairs, Harvard University: \$31,500
2013	National Science Foundation Doctoral Dissertation Improvement Grant SES- 1301627. Kimberly Pernell (Ph.D. Candidate) and Frank Dobbin (Advisor). <i>The</i> <i>Causes of Divergent Banking Regulation, 1988-2006</i> : \$11,965
2012 - 15	Weatherhead Graduate Student Affiliate Research Grants: \$7,800
2012	Canada Program Fellowship for Dissertation Research, Weatherhead Center for International Affairs, Harvard University: \$4,850
2012	Graduate Student Fellowship in Democracy and Markets, The Tobin Project: \$1,500
2012	Graduate Dissertation Research Fellowship, Minda De Gunzburg Center for European Studies, Harvard University (Declined)

# HONORS AND AWARDS

2017	Dean's Excellence Award, University of Toronto
2016	Richard J. Herrnstein Prize, Harvard University Graduate School of Arts and Sciences (for the dissertation defended at the university that year that best exhibits "excellent scholarship, originality and breadth of thought, and a commitment to intellectual independence")
2014	James D. Thompson Award for best graduate student paper, Organizations, Occupations, and Work Section, American Sociological Association
2012	Star Family Prize for Excellence in Undergraduate Advising, Harvard University
2012 - 14	Fellow, Edmond J. Safra Center for Ethics, Harvard University
2012 - 15	Graduate Student Affiliate, Weatherhead Center for International Affairs
2011	Thomas T. Hoopes Award, Harvard University (for advising outstanding undergraduate research)
2010, 2011	Certificate of Distinction in Teaching, Derek Bok Center for Teaching and Learning, Harvard University
2007	Martha Peterson Prize, Beloit College (awarded to the student who best exemplifies the liberal arts tradition at Beloit College)
2007	William L. Kolb Sociology Award, Beloit College

# PRESENTATIONS

\* Invited

2020	"Moral Hazard and Bank Risk-Taking" American Sociological Association Annual Meeting. August 8 - 11.
2019	Panelist comments for author-meets-critics session for Stephanie Mudge, <i>Leftism</i> <i>Reinvented: Western Parties from Socialism to Neoliberalism</i> . (Harvard University Press). Annual meeting of the American Political Science Association, August 29 - September 1. Washington D.C.
2019	"Imprinting a Risky Logic: Graduate Business Education and Bank Risk-Taking" American Sociological Association Annual Meeting. August 8 – 12.
2019	"Moral Hazard and Bank Risk-Taking." Society for the Advancement of Socioeconomic (SASE) Annual Conference. June 27 - 29. New York City.
2019	"Financialization" (Panel Chair and Discussant). Society for the Advancement of Socioeconomic (SASE) Annual Conference. June 27 - 29. New York City.

2018*	"American Policy and American Finance." University of Chicago Neubauer Collegium for Culture and Society. University of Chicago. May.
2018 *	"Financial Innovation, Market Governance, and Financial Instability" Buffett Institute for Global Studies Conference on Financialization and Market Governance. Northwestern University. March.
2017 *	"The Sociology of Private Funds" (Panelist). Yale Law School Private Funds Conference: Private Equity, Hedge Funds, Venture Capital. November 2017.
2017	<ul> <li>"Institutionalized Meaning and Policymaking: Revisiting the Causes of American Financial Deregulation"</li> <li>Social Science History Association Annual Conference. November 2017.</li> <li>American Sociological Association Annual Conference, Markets, Finance, Credit, and Money Panel, August 2017</li> <li>Society for the Advancement of Socioeconomic (SASE) Annual Conference, June 29 – July 1 2017. Lyon, France.</li> </ul>
2017	"Changing Boundaries Between State and Society." (Panel Chair and Discussant) Canadian Sociological Association Conference. May 30, 2017.
2017	"The Causes of the Divergent Development of Banking Regulation in the U.S., Canada, and Spain." University of Toronto Department of Sociology, Theory Workshop.
2016 *	"How Canada Got it Right: The Regulation of Securitization in the U.S., Canada, and Spain." McMaster University, Hamilton, ON.
2015 *	"Reshaping Economic Policy to End Institutional Corruption" With Paul Romer, Malcolm Salter, and Former Rep. Barney Frank (D-MA). Ending Institutional Corruption Conference, Cambridge, MA
2015 *	<ul> <li>"Be Careful What You Regulate: Sarbanes-Oxley and Banks' Growing Appetite for Risky Derivatives."</li> <li>Ending Institutional Corruption Conference, Cambridge, MA*</li> <li>2015 Annual Meeting of the American Sociological Association, Chicago</li> </ul>
2015	"The Divergent Development of Banking Regulation in the Post-Basel Era," Revisiting Remaking Modernity Conference, Evanston, IL
2014	"How the U.S. Got It Wrong: American Financial Deregulation in Comparative and Historical Perspective," MIT/Harvard Economic Sociology Seminar, Cambridge, MA
2014	"Reforming Financial Regulation" Edmond J. Safra Center for Ethics Institutional Corruption Podcast Series

2014	"How the U.S. Got It Wrong: The Regulation of Securitization in Comparative and Historical Perspective"
	<ul> <li>2014 Annual Meeting of the American Sociological Association, San Francisco</li> </ul>
	<ul> <li>Society for the Advancement of Socio-Economics Conference, Chicago</li> <li>Lab Seminar Series on Institutional Corruption, Edmond J. Safra Center for Ethics</li> </ul>
	<ul> <li>GSA Seminar, Weatherhead Center for International Affairs</li> </ul>
2014	"From Enron to Lehman: Formalization of Risk Management and Bank Involvement in Derivatives Trading," Society for the Advancement of Socio- Economics Conference, Chicago
2014	"Learning from Performance: Banks, Collateralized Debt Obligations, and the Credit Crisis," 2014 Annual Meeting of the American Sociological Association, San Francisco
2013	"The Causes of Divergent Banking Regulation in an Era of International Convergence,"
	<ul> <li>Lab Seminar Series on Institutional Corruption, Edmond J. Safra Center for Ethics</li> </ul>
	GSA Seminar, Weatherhead Center for International Affairs
2010	"Innovation, Imitation, and Idiocy: Bank Involvement with Collateralized Debt Obligations, 1996-2007"
	Harvard-MIT Economic Sociology Seminar, Cambridge, MA
	Harvard/Manchester Summer Workshop on Inequality and Social Change
	<ul> <li>Economic Sociology and Political Economy Summer School, Sciences Po, Paris</li> </ul>

#### **TEACHING AND ADVISING**

University of Toronto (Undergraduate)

2016; 2017; 2019	Sociology 306H1F: Economic Sociology
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- 2016; 2017; 2019 Sociology 489H1F: Sociology of Organizations
- 2017; 2018; 2020 Sociology 208H1S: Introduction to Social Policy

Harvard University (Undergraduate)

- 2011 12 Departmental Writing Fellow, Sociology
- 2011 12 Tutor (Non-Resident) and Sociology Concentration Advisor, Kirkland House and Eliot House, Harvard College
- 2011 12 Senior Thesis Advisor, Harvard College: Shadman Zaman '12 (Cum Laude), Katherine Gunn '11 (Magna Cum Laude; winner of 2011 Thomas Temple Hoopes prize for outstanding undergraduate research)

### **RELATED WORK EXPERIENCE**

2010 - 11 Project Manager, Program Evaluation of the Commonwealth Seminar (nonprofit program)

# DEPARTMENTAL AND PROFESSIONAL SERVICE

2010 - 23	Executive Council Member, Society for the Advancement of Socio-
	Economics
2019 - 20	Creator and Organizer: Work, Stratification, and Markets Workshop,
	University of Toronto
2019	Sociology Search Committee, University of Toronto
2019	Final Oral Exam Committee, University of Toronto
2018 - 20	Comprehensive Exam Committee, Work, Stratification, and Markets Area,
	University of Toronto
2015	Editor, Accounts: ASA Economic Sociology Section Newsletter
2014	Chair, 2014-2015 Student Affairs Committee, Organizations, Occupations and Work
	Section of the American Sociological Association
2014	Student Representative to Council, Organizations, Occupations and Work Section of
	the American Sociological Association
2011	Student Representative, Committee on Higher Degrees, Harvard University
2011 - 15	Graduate Student Peer Mentor, Department of Sociology, Harvard
	University
2010 - 12	Graduate Student Coordinator, Harvard/MIT Economic Sociology Seminar
2010	Editor, Accounts: ASA Economic Sociology Section Newsletter
2010	Graduate Admissions Committee, Harvard University Dept. of Sociology
2009	Departmental Representative, Harvard Graduate Student Council