Research Data Management with Dataverse

Haverford College Symposium, March 10, 2021

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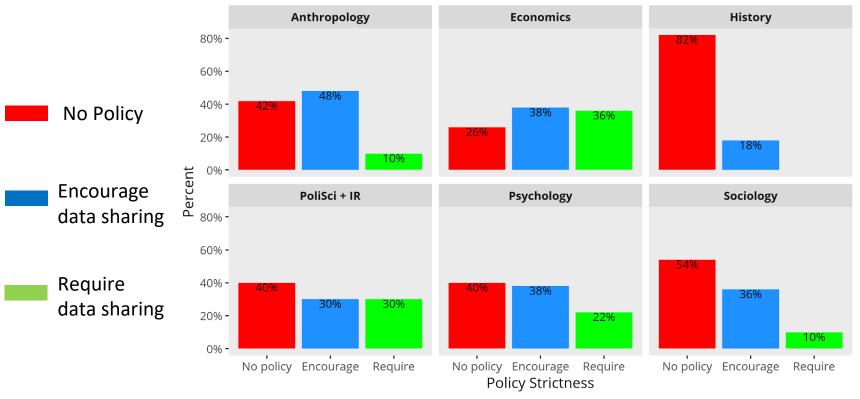
- Global advances in Research Data Sharing
- The Landscape of Research Data and Computing Services in Research Institutions
- Findable, Accessible, Interoperable,
 Reusable (FAIR) data with Dataverse

Recent Advances in Data Sharing

- New data policies in journals
 - Example: > 50% of top social science journals recommend or require sharing the data associated with the article
- New data sharing mandates by funding entities
 - Example: National Institutes of Health (NIH) recent release of Policy for Data Management and Sharing
- Joint statements from scientific communities
 - Example: American Geophysical Union (AGU) Position Statement on Data
- Ubiquity of domain-specific and generalist data repositories
 - Example: Dataverse software powers > 60 repositories world-wide

Data Policies of top 50 journals in 6 disciplines

Percentage of Journals by Strictness of Data Policy



Crosas, Gautier, Karcher, Kirilova, Otalora, Schwartz. Data Policies of Highly-Ranked Social Science Journals, *preprint*, https://osf.io/preprints/socarxiv/9h7ay

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Final NIH Policy for Data Management and Sharing

Notice Number:

NOT-OD-21-013

Key Dates

Release Date: Effective Date:

October 29, 2020 January 25, 2023

Issued by

Office of The Director, National Institutes of Health (OD)



"This policy establishes the baseline expectation that data sharing is a fundamental component of the research process"

Francis S. Collins, M.D., Ph.D.
Director, National Institutes of Health

"[...] NIH encourages data management and sharing practices to be consistent with the FAIR (Findable, Accessible, Interoperable, and Reusable) data principles and reflective of practices within specific research communities."

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"Robust, verifiable, and reproducible science requires that evidence behind an assertion be accessible for evaluation. Researchers have a responsibility to collect, develop, and **share this evidence** in an ethical manner, that is **as open and transparent as possible**."

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Dataverse

Federated FAIR data repositories worldwide

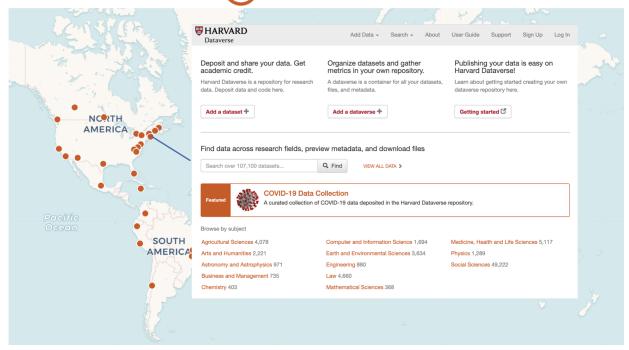


Developed at Harvard's Institute for Quantitative Social Science (IQSS) with contributions from the Dataverse community and the Global Dataverse Community Consortium (https://dataverse.org)

- Open-source
- 67 installations
- 6 continents
- 8K Dataverse collections
- **140K** datasets
- **900K** files
- 30M file downloads
- Metadata shared across repositories



Harvard Dataverse repository



Open to all researchers across all disciplines

- Global advances in Research Data Sharing
- The Landscape of Research Data and Computing Services in Research Institutions
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Review of Research Data Services in U.S. Universities

- Ithaka S+R report (Radecki & Springer, 2020, https://doi.org/10.18665/sr.314397):
 - Reviewed research data services from 120 U.S. Universities and Colleges
- A growing number of research data services distributed across various university units:

Within Libraries and IT (main providers)

- Consulting
- Training events
- Backend work (data architecture, metadata design)
- Front end work (web development, data visualizations)

Outside Libraries and IT

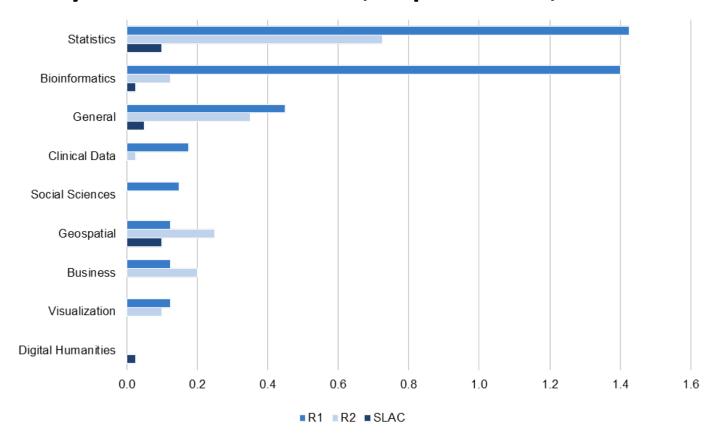
- Statistics
- Bioinformatics
- Geospatial
- Clinical data
- Business
- Social Science
- Visualizations

Profile of types of Library Data Services

Generalist consultation is the most common service offered by the libraries

	Consulting	Training Events	Front End Work	Back End Work	Total
General	35.9%	16.0%	3.2%	2.6%	57.7%
Geospatial	16.7%	9.0%	0.0%	0.0%	25.6%
Statistics	7.1%	1.3%	0.0%	0.0%	8.3%
Digital Humanities	2.6%	1.3%	0.0%	0.0%	3.8%
Social Sciences	0.6%	0.6%	0.0%	0.0%	1.3%
Health Sciences	0.6%	0.0%	0.0%	0.0%	0.6%
Other	1.3%	1.3%	0.0%	0.0%	2.6%
Total	64.7%	29.5%	3.2%	2.6%	100%

Average number of research data services per institution offered by centers and facilities, departments, and schools



Research Data and Computing Services at Harvard

- Increase of research data and computing services
 - Along with increase in data-centric and data science research
 - To support funders and journals requirements
 - Services distributes across units and schools
- Collaboration between Research, Library, and IT/Research Computing is key
- Build a catalog to learn what services are provided across units
 - Standardize the information
 - Unify services when possible
- A research support hub to find all service offerings in a common way (to be launched in 2021)
- Find gaps and connect services, tools, and teams
- Foster a community of research computing and data teams at the University (working groups, events)

Services offerings throughout the research lifecycle

Research Lifecycle

ACCESS & REUSE DESIGN SHARE & DESIGN SHARE & COLLECT & CREATE EVALUATE & ANALYZE & COLLABORATE COLLABORATE

The research lifecycle refers to the (often iterative) process of conducting research, from the initial planning, funding, and research project design to publishing and disseminating the conclusions or work of scholarship. Although the research process varies across disciplines and research domains, it often includes validating a model or hypothesis by using information and data. In turn, the results from the data help improve the model and thus, gather additional data to validate the new model. On this site, we refer to data in the broadest sense of the word, including experimental, observational, acquired, and simulated data, as well as any relevant information, artifacts, and original sources. In recent

years, the research lifecycle has also included publishing the data, code, and workflows to facilitate the reproducibility of the published results.

Planning:

Access & Reuse
Plan & Design
(13 service offerings)

Active Research:

Collect & Create
Analyze & Collaborate
(20 service offerings)

Dissemination & Preservation:

Evaluate & Archive Share & Disseminate (5 service offerings)

https://researchsupport.harvard.edu/ (to be launched Spring 2021)

SERVICES

Research Administration& Compliance

Data Safety & Regulated Data

Data Use Agreement Processing

eSupport - Committee on Microbiological Safety (eCOMS)

Human Subjects and Animal Research Resources

Pre- & Post-Award Resources

- ▶ Research Computing
- Research Data and Scholarship

HOME / SERVICES / RESEARCH ADMINISTRATION & COMPLIANCE /

Data Use Agreement Processing

The transfer of data between organizations is common in the research community. When the data is confidential, proprietary, or otherwise considered sensitive or protected, the organization providing the data, whether that is Harvard or a third party, may require a Data Use Agreement (DUA) to govern the exchange of data. This section includes guidance on the DUA-Agreements Application which supports process for DUAs, and other related resources. Data Use Agreement review and compliance application for PLAN & & REUSE DESIGN from a third party, or providing data to a third party. This management process for Data Use Agreements. A DUA is a binding contract governing access to and to SHARE & STORE & "Provider") to another party (a "Recipient"). DUAs are o DISSEMINAT MANAGE & CREATE for Harvard data to be disclosed to another organization and regulations governing the specific type of data to be ANALYZE & Provider and Recipient. If you are unsure whether a DUA & ARCHIVE COLLABORATE research office. **Details by Provider**

- HUIT Administrative Technology Services, Research Administration and Compliance
- Harvard Medical and Dental Schools
- Harvard T. H. Chan School of Public Health
- Harvard University Area

Example of Service offering in Planning Phase:

- DUA and Safety System to:
 - Track all DUAs for incoming and outgoing compliant data
 - Manage DUA while data are used for research
- Assistance with DUA negotiation
- Connect process with IRB and Security officers

SERVICES

Research Administration
 & Compliance

Research Computing

Cluster Computing

Data Science and Research Software Engineering Collaboration

Database

Research Computing Consulting and Facilitation

Research Data Storage

Virtual Instances

 Research Data and Scholarship HOME / SERVICES / RESEARCH COMPUTING /

Data Science and Research Software Engineering Collaboration

Data Science and Software Engineering play an important role in and analyze data, helping ensure reproducibility, and aiding r ACCESS & REUSE PLAN & data. The term software here is used broadly to include al, Researchers utilize software in their research by using so software. Data science also covers a wide range of skill processing, and statistics that are typically beyond wha SHARE & STORE & DISSEMINATE MANAGE the rapidly evolving nature of research, there are not alv sources; therefore, the software or data pipelines are de development was done with researchers (graduate stude EVALUATE & ARCHIVE ANALYZE & approach poses several issues in terms of maintenance, of COLLABORATE Scientist team can work closely with other Research Comput optimize, and maintain software packages/tools and data pipeli architectures to accelerate cutting-edge research at Harvard University

Details by Provider

- Faculty of Arts and Sciences, Research Computing
- Institute for Quantitative Social Sciences
- Harvard Business School

Example of Service offering in Active Research phase:

Data Science Services offered by the Institute for Quantitative Social Science (IQSS)

- Focuses on social science support, but includes other scientific domains
- · Consulting: short term
- Collaboration: longer project (fee)
- Training materials (in collaboration with Harvard Business School):
 - Python: Introduction, web scraping
 - R: Introduction, regressions models, graphics, data wrangling
 - Stata: Introduction, data management, regression models, graphics
 - Other: Introduction to programming, SAS introduction, data science tools

SERVICES

- Research Administration
 & Compliance
- Research Computing
- Research Data and Scholarship

Archiving Faculty Research Data and Archiving Data

Buying and Licensing Data

Copyright and Intellectual Property

Data Cleaning

Data Curation

Data Deposit

Data Handling

Data Retrieval

Data Security Support

Data Sharing and Publishing

Data Visualization

Dataset Creation

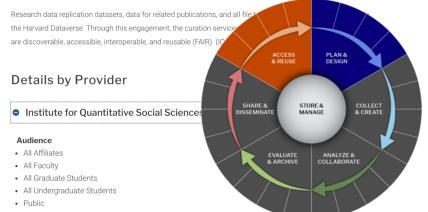
Finding Data

Geospatial Library,
Data Analysis, Creation,
Visualization

HOME / SERVICES / RESEARCH DATA AND SCHOLARSHIP /

Harvard Dataverse Curation

The Harvard Dataverse data curation team, staffed by member of IQSS and the Harvard Library (and separately, the Harvard Kennedy School Library), provides fee-based curation services and free consultations to researchers around the world who are depositing data into the Harvard Dataverse.



Service Provider

Institute for Quantitative Social Sciences (IQSS)

Service Fee

Yes

Service Website

https://support.dataverse.harvard.edu/curation-services

Contact Information

support@dataverse.harvard.edu

Example of Service Offering in Dissemination phase:

Dataverse Curation services

- A collaboration between IQSS and the Harvard Library
- Tiered service offerings:
 - Free consultation (< 3 hours)
 - Extended consultation services
 - Dataverse collection set-up
 - administration and curation services
 - Custom services
- In 2021, new service for supporting "managed collections" interested in receiving Core Trust Seal certification.

- Global advances in Research Data Sharing
- The Landscape of Research Data and Computing Services in Research Institutions
- Findable, Accessible, Interoperable,
 Reusable (FAIR) data with Dataverse

The FAIR Guiding Principles for scientific data management and stewardship

Mark D. Wilkinson, Michel Dumontier, IJsbrand Jan Aalbersberg, Gabrielle Appleton, Myles Axton, Arie Baak, Niklas Blomberg, Jan-Willem Boiten, Luiz Bonino da Silva Santos, Philip E. Bourne, Jildau Bouwman, Anthony J. Brookes, Tim Clark, Mercè Crosas, Ingrid Dillo, Olivier Dumon, Scott Edmunds, Chris T. Evelo, Richard Finkers, Alejandra Gonzalez-Beltran, Alasdair J.G. Gray, Paul Groth, Carole Goble, Jeffrey S. Grethe, Jaap Heringa, Peter A.C 't Hoen, Rob Hooft, Tobias Kuhn, Ruben Kok, Joost Kok, Scott J. Lusher, Maryann E. Martone, Albert Mons, Abel L. Packer, Bengt Persson, Philippe Rocca-Serra, Marco Roos, Rene van Schaik, Susanna-Assunta Sansone, Erik Schultes, Thierry Sengstag, Ted

1329 tweeters

1 F1000

Data

6 Google+ users

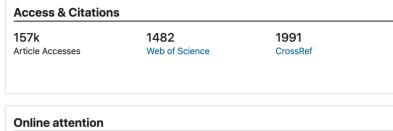
3085 Mendelev

Slater, George Strawn, Morris A. St Velterop, Andra Waagmeester, Pet

-Show fewer authors

Scientific Data 3, Article number:

157k Accesses | 1991 Citations



112 blogs

20 Citeulike

This article is in the 99th percentile (ranked 59th) of the 266,984 tracked articles of a similar age in all journals and the 1st percentile (ranked 1st) of the 1 tracked articles of a similar age in *Scientific*

107 news outlets

1 Video uploaders

21 Facebook pages

1 Redditors

4 Wikipedia page

15 Principles

- Published in 2016
- 54 authors
- > 1990 citations
- > 157K accesses
 Adoption by funding agencies, research
 communities

Emphasis on Machine-Actionability

"The FAIR Principles put specific emphasis on enhancing the ability of machines to automatically find and use the data, in addition to supporting its reuse by individuals."

Wilkinson et al. 2016. Nature-Springer Scientific Data.

The FAIR Guiding Principles for Scientific Data

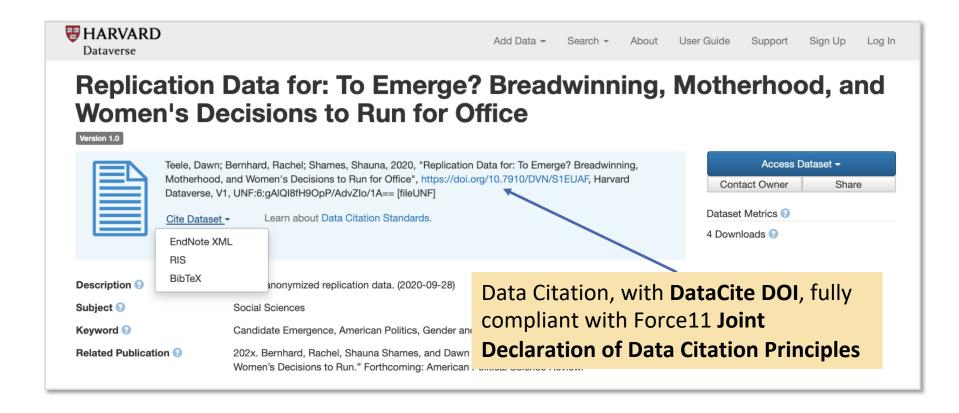
Management and Stewardship. doi: 10.1038/sdata.2016.18

To Be Findable (4 principles)

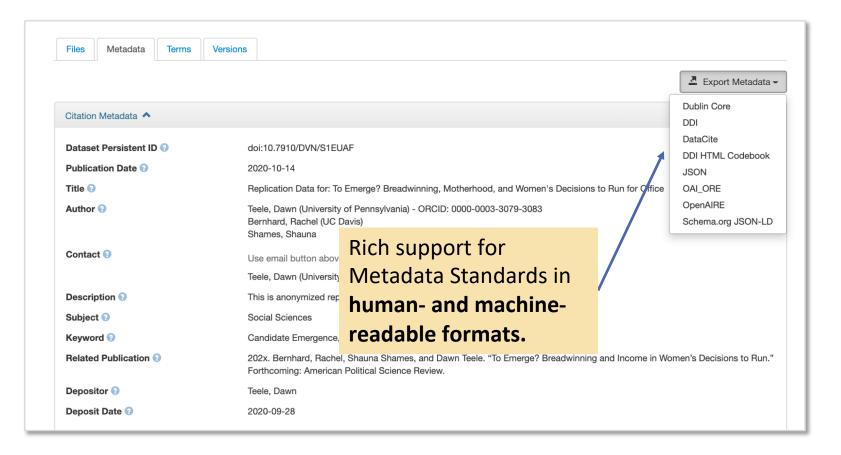
"Digital resources should be easy to find for both humans and computers. Extensive machine-actionable metadata are essential for automatic discovery of relevant datasets and services, and are therefore an essential component of the FAIRification process."

A. Jacobsen, et al. 2020. FAIR principles: Interpretations and implementation considerations. Data Intelligence 2(2020), 10-29. doi: $10.1162/dint_r_00024$

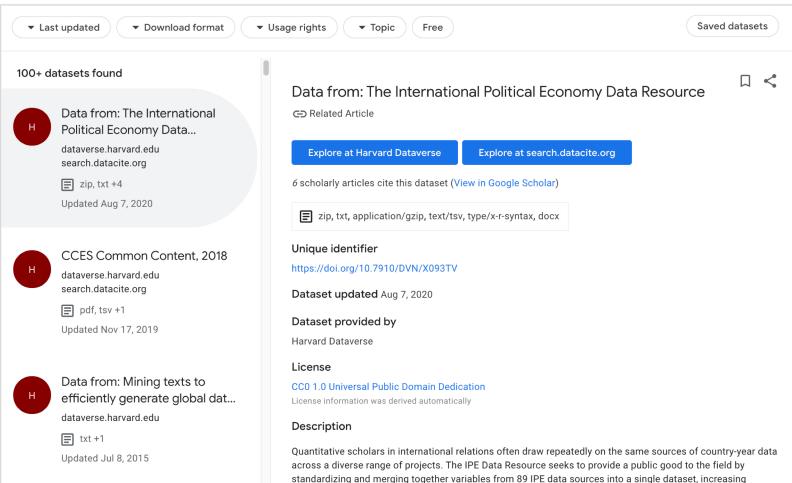
Full, standard data citation automatically generated



Support for multiple metadata standards







To Be Accessible (4 Principles)

"Protocols for retrieving digital resources should be made explicit, for both humans and machines, including well-defined mechanisms to obtain authorization for access to protected data."

A. Jacobsen, et al. 2020.

Search

User Guide

Admin Guide

API Guide

Getting Started with APIs

API Tokens and Authentication

Search API
Data Access API

Native API

Metrics API

SWORD API

Client Libraries

Building External Tools

Apps

Frequently Asked Questions

Installation Guide

Developer Guide

Style Guide

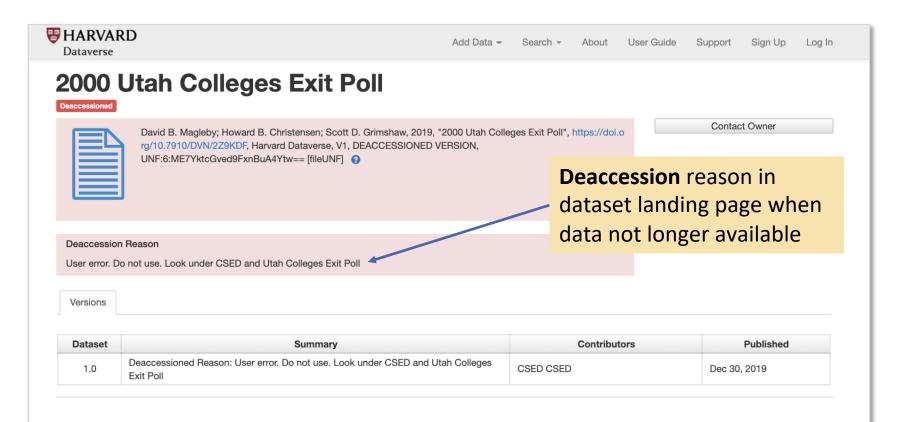
API Guide

Contents:

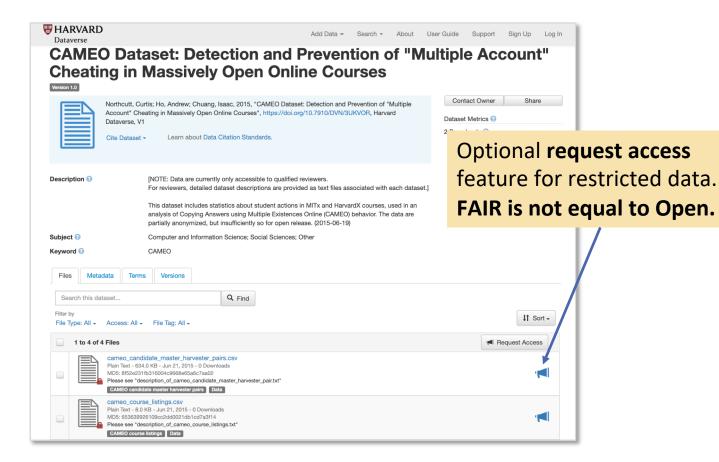
https://guides.dataverse.org

- Introduction
 - What is an API?
 - Types of Dataverse API Users
 - API Users Within a Single Installation of Dataverse
 - Users of Integrations and Apps
 - Power Users
 - Support Teams and Superusers
 - Sysadmins
 - In House Developers
 - API Users Across the Dataverse Project
 - Developers of Integrations, External Tools, and Apps
 - Developers of Dataverse API Client Libraries
 - Developers of Dataverse Itself
 - · How This Guide is Organized
 - How This Guide is OrganizedGetting Started
 - API Tokens and Authentication
 - AFT TOKETS and Admentical
 - Lists of Dataverse APIs
 - Client Libraries
 - Examples
 - Frequently Asked Questions
 - Getting Help
- · Getting Started with APIs
 - Servers You Can Test With
 - Getting an API Token
 - curl Examples and Environment Variables
 - Depositing Data

Metadata always available



Access terms available for restricted data



To Be Interoperable (3 Principles)

"When two or more digital resources are related to the same topic or entity, it should be possible for machines to merge the information into a richer, unified view of that entity. Similarly, when a digital entity is capable of being processed by an online service, a machine should be capable of automatically detecting this compliance and facilitating the interaction between the data and that tool."

A. Jacobsen, et al. 2020.



Add Data ▼ Search ▼

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User Guide

Support



Merce Crosas
 ■ ▼



File Citation

Albouy, David, Graf, Walter, Kellogg, Ryan, and Wolff, Hendrik, 2018, "ClimateRegressionData_150327.tab", Replication Data for: "Climate Amenities, Climate Change, and American Quality of Life" Journal of the Association of Environmental and Resource Economists 3, no. 1 (March 2016): 205-246., https://doi.org/10.7910/DVN/QCE1XY/BNJLIA, Harvard Dataverse, V1,

UNF:6:CBIOoHJrG5/T6i+XjwBVwg== [fileUNF]

Learn about Data Citation Standards

Learn about Data Citation Standards.

File Metrics (

45 Downloads 🕣

This file is part of "Replication Data for: "Climate Amenities, Climate Change, and American Quality of Life" Journal of the Association of Environmental and Resource Economists 3, no. 1 (March 2016): 205-246.".

Dataset Citation

Albouy, David, Graf, Walter, Kellogg, Ryan, and Wolff, Hendrik, 2018, "Replication Data for: "Climate Amenities, Climate Change American Quality of Life" Journal of the Association of Environmental and Resource Economists 3, no. 1 (March 2016): 205-24 https://doi.org/10.7910/DVN/QCE1XY, Harvard Dataverse, V1, UNF:6:CBIOoHJrG5/T6i+XjwBVwg== [fileUNF]

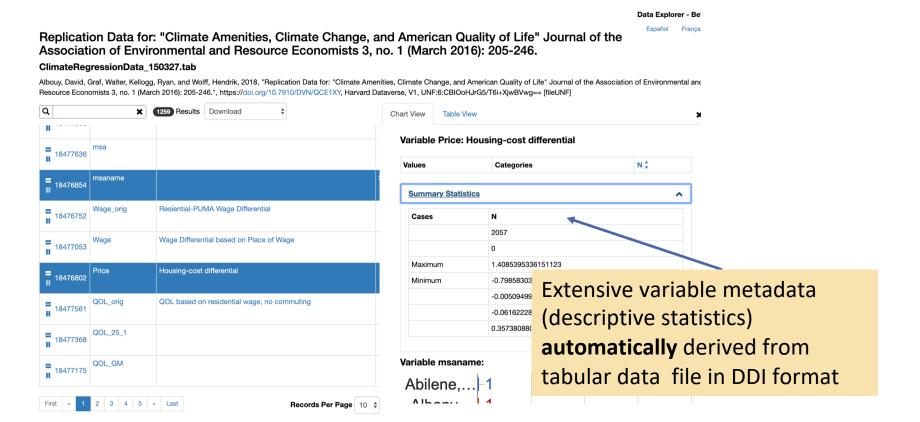
Variable metadata from tabular data file

Metadata Versions Preview

Open View Data

statefip PumalD msa msaname Wage_orig	Wage	Price
1 1 100100.0 2650 Florence, AL -0.14469655	-0.15300082	-0.3673279
2 1 100200.0 3440 Huntsville, AL -0.06367312	-0.0687066	-0.2114262
3 1 100300.0 3440 Huntsville, AL -0.06052007	-0.06744661	-0.3109654
4 1 100400.0 19999 Non-metro, AL -0.16140184	-0.166009	-0.49454302
5 1 100500.0 19999 Non-metro, AL -0.16811557	-0.15688014	-0.4044082
6 1 100600.0 2030 Decatur, AL -0.07162431	-0.09517802	-0.3435659
7 1 100700.0 19999 Non-metro, AL -0.21245104	-0.19640322	-0.6055518

DDI supports interoperability even at the variable level



Add Data ▼

Search ▼

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Subject Social Sciences

Topic Classification (2) mra

50 or fewer (Sampsize) http://www.murray.harvard.edu/vocabulary

male (Gender) http://www.murray.harvard.edu/vocabulary

18-22 (Age) http://www.murray.harvard.edu/vocabulary

White (Race) http://www.murray.harvard.edu/vocabulary student (SES) http://www.murray.harvard.edu/vocabulary

1 (Generations) http://www.murray.harvard.edu/vocabulary Special aspects of education (Education) http://authorities.loc.gov/

Martal backle (Lackle) between the with a lace and

Mental health (Health) http://authorities.loc.gov/

Distributor 🕣

Murray Research Archive http://www.murray.harvard.edu



Distribution Date 1981

Time Period Covered (2) Start: 1930 ; End: 1940

Date of Collection
Start: 1930

Kind of Data (1) field study

Use standard, global
Controlled Vocabulary from
the Library of Congress

the Library of Congress

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Contact C Share



Australian National Political Attitudes, 1967: Supplemented with Treiman Prestige Scores (M023V1)



Donald Treiman, 2012, "Australian National Political Attitudes, 1967: Supplemented with Treiman Prestige Scores (M023V1)", https://doi.org/10.7910/DVN/D1NDDL, Harvard Dataverse, V2



Learn about Data Citation Standards.



Related Material

McDonnell, Patrick, Leonard Blom, F. Lancaster Jones, and Paul Duncan-Jones, "Notes on the Australian Occupational Classification," Australian National University, Paper preared fro annual meeting of the Sociological Association of Australia and New Zealand, August 1976. Australia, Bureau of Census and Statistics, "Classification and Classified List of Occupations" (revised June 1961), Government Printer, Canberra, 1961. Australia, Bureau of census and Statistics, "Index of Occupations" (revised June 1961), Government Printer, Canberra, 1961. Broom, Leonard, F. Lancaster Jones and Jerzy Zubrzycki, "A Occupational Classification of the Australian Workforce," THE AUSTRALIAN AND NEW ZEALAND JOURNAL OF SOCIOLOGY Vol. 1, No. 2 (October, 1965), p.1-2.

Aitkin, Donald, Michael Kahan, and Donald E. Stokes. AUSTRALIAN NATIONAL POLITICAL ATTITUDES, 1967. Conducted by Donald Aitkin and Michael Kahan, Australian National University, and Donald E. Stokes, University of Michigan. ICPSR ed. Ann Arbor, MI: Inter-university Consortium for Political and Social Research [producer and distributor], 1975. doi:10.3886/ICPSR07282.v1; Aitkin, Donald, Michael Kahan, and Donald E. Stokes. Australian National Political Attitudes, 1969. ICPSR07393-v1. Ann Arbor, MI: Inter-university Consortium for Political and Social Research [distributor], 2001. doi:10.3886/ICPSR07393.v1

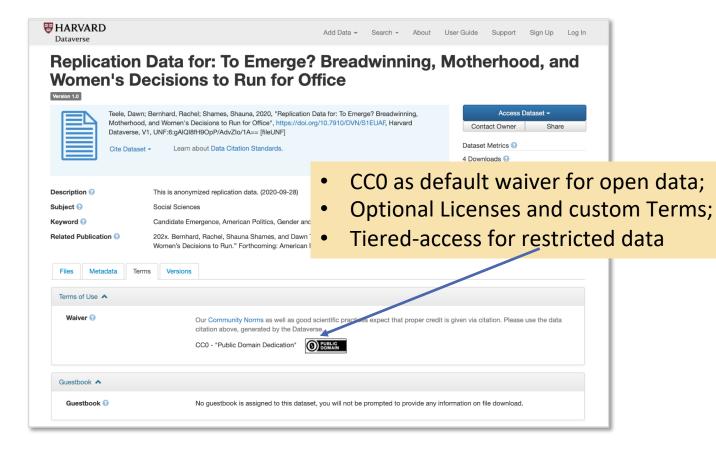
Reference in metadata to related datasets or other research objects

To Be Reusable (4 Principles)

"Digital resources are sufficiently well described for both humans and computers, such that a machine is capable of deciding: if a digital resource should be reused; if a digital resource can be reused, and under what conditions; and who to credit if it is reused."

A. Jacobsen, et al. 2020.

Licenses, Terms, and Tiered-Access to Data



Files

Metadata

Terms

Versions

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Search ▼

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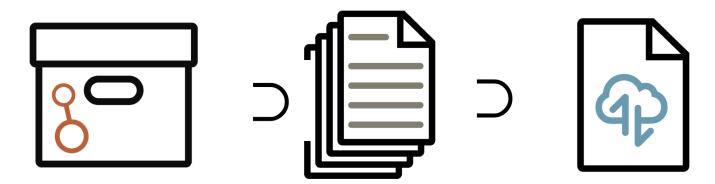
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Versioning to track provenance and help with reuse

₩ View Differences

Dataset	Summary	Contributors	Published
8.0	Files (Added: 2; Removed: 2); View Details	Sergio Petralia	Jan 19, 2019
7.2	Terms of Use/Access Changed View Details	Sergio Petralia	Dec 19, 2017
7.1	Citation Metadata: Author (3 Changed); Contact (1 Changed); Additional Citation Metadata: (5 Changed); View Details	Sergio Petralia	Oct 4, 2017
7.0	Files (Added: 1; Removed: 1; Replaced: 1); View Details	Sergio Petralia	Sep 12, 2017
6.1	Citation Metadata: Notes (Changed); View Details	Sergio Petralia	Jan 10, 2017
6.0	Files (Added: 2; Removed: 2); View Details	Sergio Petralia	Jan 10, 2017
5.0	Files (Added: 1; Removed: 1); View Details	Sergio Petralia	Oct 3, 2016
4.0	Citation Metadata: Description (1 Changed); Additional Citation Metadata: (1 Added, 8 Changed); Files (Added: 2; Removed: 2; Changed File Metadata: 1); View Details	Sergio Petralia	Sep 29, 2016
3.3	Additional Citation Metadata: (5 Changed); View Details	Sergio Petralia	Sep 7, 2016
3.2	Additional Citation Metadata: (2 Added); View Details	Sergio Petralia	Sep 7, 2016
3.1	Additional Citation Metadata: (5 Added); View Details	Sergio Petralia	Sep 7, 2016
3.0	Files (Added: 1; Removed: 1); View Details	Sergio Petralia	Sep 7, 2016
2.1	Citation Metadata: Notes (Changed); View Details	Sergio Petralia	Sep 1, 2016
2.0	Files (Added: 1); View Details	Sergio Petralia	Sep 1, 2016
1.1	Additional Citation Metadata: (2 Added); View Details	Sergio Petralia	Sep 1, 2016
1.0	This is the first published version.	Sergio Petralia	Aug 26, 2016

Organization of a Dataverse Repository



Dataverse collection

Collection of datasets
Own administration
Own branding (& can be embedded in your site)

dataset

Citation
Metadata
Versioning
Terms/permissions
Collection of Files

File

Citation
Preview/Explore
Metadata
Versioning
Permissions

Summary of FAIR Dataverse Features

- ✓ Data Citation with **DOI for datasets and files** with credit to data authors
- ✓ Link from data to related article
- ✓ **Standard** schemas and custom metadata
- ✓ Access controls (open vs guestbook vs restricted) with licenses and terms of use
- ✓ Versioning and provenance
- ✓ **Descriptive Statistics** generated from variables in tabular data files
- ✓ Conversion to multiple formats of tabular data files
- ✓ Flexible upload of large data files (>> 5GB): Web UI, API, Standalone Client
- ✓ Integration with external tools through extensive API
- ✓ Data usage metrics with Make Data Count

Q & A Thank you!

- Data Sharing is becoming a key part of transparent and reproducible research
- Research institutions should provide data
 management and sharing services to support the
 increase of demands on data-centric research
- Dataverse repositories can be part of the solution, providing support for FAIR data and giving credit to data authors

Mercè Crosas, Ph.D., Harvard University scholar.harvard.edu/mercecrosas @mercecrosas



