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# Decompositions of minimum rank matrices

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### 1. Introduction

The minimum rank problem in combinatorial matrix theory is concerned with determining the minimum possible rank over all symmetric matrices with a specified zero/nonzero off-diagonal

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#### ABSTRACT

Let *F* be a field, let *G* be an undirected graph on *n* vertices, and let  $S^F(G)$  be the class of all *F*-valued symmetric  $n \times n$  matrices whose nonzero off-diagonal entries occur in exactly the positions corresponding to the edges of *G*. For each graph *G*, there is an associated minimum rank class  $\mathscr{MR}^F(G)$  consisting of all matrices  $A \in S^F(G)$  with rank  $A = mr^F(G)$ . For most graphs *G* with connectivity 1 or 2, we give explicit decompositions of matrices in  $\mathscr{MR}^F(G)$  into sums of minimum rank matrices of simpler graphs (usually proper subgraphs) related to *G*. Our results can be thought of a graph with a cut vertex and of a graph with a 2-separation. We conclude by also showing that for these graphs, matrices in  $\mathscr{MR}^F(G)$  can be constructed from matrices of simpler graphs; moreover, we give analogues for positive semidefinite matrices.

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pattern. Our aim in this paper is to also determine the structure of all matrices attaining the minimum rank for a large number of patterns.

In order to state this problem precisely, we introduce the relevant graph-theoretic notation. Let *F* be a field and let  $S_n$  be the set of all symmetric  $n \times n$  matrices over *F*. Given  $A \in S_n$ , define G(A) = (V, E) to be the (simple, undirected) graph with vertex set  $V = \{1, 2, ..., n\}$  and edge set  $E = \{\{i, j\} | a_{ij} \neq 0, i \neq j\}$ . Given any graph *G* on *n* vertices, let

$$\mathcal{S}^F(G) = \{A \in S_n \mid G(A) = G\}$$

$$\operatorname{mr}^{F}(G) = \min\{\operatorname{rank} A \mid A \in \mathcal{S}^{F}(G)\}$$

$$\mathscr{MR}^{F}(G) = \{A \in \mathscr{S}^{F}(G) \mid \operatorname{rank} A = \operatorname{mr}^{F}(G)\}.$$

All of our results and most of our arguments do not depend on the field *F*, so we often suppress it in later use of these definitions. We adopt the convention of including the *F* in statements of theorems to emphasize field independence while excluding the *F* from proofs except where the particular field becomes of importance. The minimum ranks of many graphs are well-known (see, e.g., www.aimmath.org/pastworkshops/matrixspectrum.html) and in examples we will usually state the minimum rank of a graph without explanation.

Much less is known about  $\mathcal{MR}^F(G)$ . For the field  $F_2$  of two elements,  $\mathcal{MR}^{F_2}(G)$  is given explicitly for a few small graphs in Lemma 16 and Proposition 17 of [3]. For many graphs G, it is well understood how to construct matrices in  $\mathcal{MR}^F(G)$  by considering appropriate subgraphs. The next two examples illustrate this for graphs with connectivity one and two.



Then rank  $(A + B) = 2 = \operatorname{mr}^{F}(G)$  so that  $A + B \in \mathcal{MR}^{F}(\bowtie)$ . Note that  $A \in \mathcal{MR}^{F}(G_{1})$  and  $B \in \mathcal{MR}^{F}(G_{2})$  where  $G_{1}$  is 3 and  $G_{2}$  is 3 and  $G_{3}$  is 3 and  $G_{5}$  is 3 and  $G_{7}$  is 3 and  $G_{7}$  is 3 and  $G_{7}$  is 3 and  $G_{7}$  is  $G_{7}$  and  $G_{7}$  and

This motivates the following definition.

**Definition 1.2.** Given a proper subgraph *H* of a graph *G*, let  $\tilde{H}$  be the graph with vertex set V(G) and edge set E(H).

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So if *H* is the subgraph 3 of the bowtie graph in Example 1.1, then  $\tilde{H}$  is the graph  $G_2$  above.

Note that for any graph *G* with subgraph *H*, since *H* and  $\tilde{H}$  differ only by some number of isolated vertices,  $mr(H) = mr(\tilde{H})$ . We will often label matrices with a  $\sim$  when they are padded with rows and columns of zeros, and in this case the  $\sim$  does not constitute an operator symbol but merely emphasizes a relation between a matrix and a corresponding subgraph.

**Example 1.3.** Let *G* be the graph



we have  $A + B \in \mathcal{MR}^F(G)$ ,  $A \in \mathcal{MR}^F(\widetilde{G}_A)$ ,  $B \in \mathcal{MR}^F(\widetilde{G}_B)$ . This is another instance of a decomposition of a matrix in  $\mathcal{MR}^F(G)$  into minimum rank matrices of subgraphs with smaller minimum rank.

The decompositions in Examples 1.1 and 1.3 are obvious as is the case in many further such examples. What is missing in these examples is an explanation of how and under what circumstances such decompositions can be obtained. We will give explicit decompositions of matrices in  $\mathcal{MR}^F(G)$  for all graphs with connectivity equal to 1 or 2 (note that the decomposition is obvious for disconnected graphs). Consequently, one can think of our results as a way to reduce the study of minimum rank matrices of a graph to the study of minimum rank matrices of 3-connected graphs.

### 2. Prior results

Our results can be viewed as generalizing two fundamental results giving formulae for the minimum rank of a graph with a cut vertex and the minimum rank of a graph with a 2-separation.

**Definition 2.1.** Let  $G_1$  and  $G_2$  be graphs with at least two vertices, each with a non-isolated vertex labeled v. The vertex-sum at v of  $G_1$  and  $G_2$  is the graph on  $|G_1| + |G_2| - 1$  vertices obtained by identifying the vertex v in  $G_1$  with the vertex v in  $G_2$ . The vertex v is called a cut vertex of G.

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**Definition 2.2.** Let *F* be a field. The *rank-spread* of a vertex *v* of a graph *G*, denoted  $r_v^F(G)$ , is the difference between the minimum rank of *G* over *F* and the minimum rank of *G* – *v* over *F*. i.e.,

$$r_{v}^{F}(G) = \mathrm{mr}^{F}(G) - \mathrm{mr}^{F}(G - v).$$

The following theorem was originally published with proofs over the real field by Hsieh in [7], and independently by Barioli et al. (see Theorem 2.3 in [1]). Proofs given over any field can be found in [5] (see Theorem 7 where an equivalent version in terms of maximum nullity is given) or in [3] (see Appendix B).

**Theorem 2.3.** Let  $G_1$  and  $G_2$  be graphs on at least two vertices each with a vertex labeled v and let G be the vertex-sum at v of  $G_1$  and  $G_2$ . Let F be any field. Then

 $mr^{F}(G) = min\{mr^{F}(G_{1}) + mr^{F}(G_{2}), mr^{F}(G_{1} - \nu) + mr^{F}(G_{2} - \nu) + 2\}.$ 

Equivalently,

$$r_{v}^{F}(G) = \min\{r_{v}^{F}(G_{1}) + r_{v}^{F}(G_{2}), 2\}.$$

A more complex result applies to graphs with connectivity 2. We first recall the following definitions from [5].

**Definition 2.4.** Let G = (V, E) be a graph with  $V = \{1, 2, ..., n\}$  which we allow to have parallel edges. We denote by  $F_2$  the field with only two elements. If F is a field unequal to  $F_2$ , we define  $S^F(G)$  as the set of all F-valued symmetric  $n \times n$  matrices  $A = [a_{i,j}]$  with

- 1.  $a_{i,j} = 0$  if  $i \neq j$  and i and j are not adjacent,
- 2.  $a_{i,j} \neq 0$  if  $i \neq j$  and i and j are connected by exactly one edge,
- 3.  $a_{i,j} \in F$  if  $i \neq j$  and i and j are connected by multiple edges, and
- 4.  $a_{i,i} \in F$  for all  $i \in V$ .

We define  $S^{F_2}(G)$  as the set of all  $F_2$ -valued symmetric  $n \times n$  matrices  $A = [a_{i,i}]$  with

- 1.  $a_{i,j} \neq 0$  if  $i \neq j$  and *i* and *j* are connected by an odd number of edges,
- 2.  $a_{i,j} = 0$  if  $i \neq j$  and *i* and *j* are connected by an even number of edges, and
- 3.  $a_{i,i} \in F_2$  for all  $i \in V$ .

**Definition 2.5.** A 2-separation of a graph G = (V, E) is a pair of subgraphs  $(G_A, G_B)$  satisfying the following:  $V(G_A) \cup V(G_B) = V$ ,  $|V(G_A) \cap V(G_B)| = 2$ ,  $E(G_A) \cup E(G_B) = E$ , and  $E(G_A) \cap E(G_B) = \emptyset$ .

The main result of [5] (see Theorem 14 and Corollary 15) is:

**Theorem 2.6.** Let  $(G_A, G_B)$  be a 2-separation of G with  $R = \{r_1, r_2\} = V(G_A) \cap V(G_B)$ . Let  $H_A$  and  $H_B$  be obtained from  $G_A$  and  $G_B$ , respectively, by inserting an edge between  $r_1$  and  $r_2$ . Let  $\overline{G_A}$  and  $\overline{G_B}$  be obtained from  $G_A$  and  $G_B$ , respectively, by identifying  $r_1$  and  $r_2$ , or in other words, by inserting edges between one vertex and the neighbors of the other and then deleting the latter.

Then  $\operatorname{mr}^{F}(G) = \min\{\operatorname{mr}^{F}(G_{\mathcal{A}}) + \operatorname{mr}^{F}(G_{\mathcal{B}}),\$ 

$$mr^{F}(H_{\mathcal{A}}) + mr^{F}(H_{\mathcal{B}}),$$
  

$$mr^{F}(\overline{G_{\mathcal{A}}}) + mr^{F}(\overline{G_{\mathcal{B}}}) + 2,$$
  

$$mr^{F}(G_{\mathcal{A}} - r_{1}) + mr^{F}(G_{\mathcal{B}} - r_{1}) + 2,$$
  

$$mr^{F}(G_{\mathcal{A}} - r_{2}) + mr^{F}(G_{\mathcal{B}} - r_{2}) + 2,$$
  

$$mr^{F}(G_{\mathcal{A}} - R) + mr^{F}(G_{\mathcal{B}} - R) + 4\}.$$

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Example 2.7. Continuing with Example 1.3, the graphs appearing in Theorem 2.6 are:



Simple calculations show that

$$\begin{split} & \operatorname{mr}(G_{\mathcal{A}}) + \operatorname{mr}(G_{\mathcal{B}}) = 1 + 2 = 3, \\ & \operatorname{mr}(H_{\mathcal{A}}) + \operatorname{mr}(H_{\mathcal{B}}) = 1 + 3 = 4, \\ & \operatorname{mr}(\overline{G_{\mathcal{A}}}) + \operatorname{mr}(\overline{G_{\mathcal{B}}}) + 2 = 0 + 2 + 2 = 4, \\ & \operatorname{mr}(G_{\mathcal{A}} - r_1) + \operatorname{mr}(G_{\mathcal{B}} - r_1) + 2 = 1 + 2 + 2 = 5, \\ & \operatorname{mr}(G_{\mathcal{A}} - r_2) + \operatorname{mr}(G_{\mathcal{B}} - r_2) + 2 = 1 + 2 + 2 = 5, \\ & \operatorname{mr}(G_{\mathcal{A}} - R) + \operatorname{mr}(G_{\mathcal{B}} - R) + 4 = 0 + 2 + 4 = 6. \end{split}$$

So in this example, the minimum is attained uniquely by the first term.

**Example 2.8.** The following graphs with accompanying 2-separations show that each term in Theorem 2.6 is necessary. To the left of each we show the term that attains the minimum uniquely.





Note that a graph for the term we omitted,  $mr(G_A - r_2) + mr(G_B - r_2) + 2$ , can be obtained by switching the labeling of the vertices  $r_1$  and  $r_2$  in the graph used for the term  $mr(G_A - r_1) + mr(G_B - r_1) + 2$ . Also, since it is not obvious, we note that the minimum rank for the connected 16 vertex graph is 10.

We will also make use of the following lemma from [5] (see Lemma 10 where it is stated in terms of maximum nullity) and two propositions from [8].

**Lemma 2.9.** Let *F* be a field, let G = (V, E) be a graph, and let  $R = \{r_1, r_2\} \subseteq V$ . Let  $\overline{G}$  be obtained from *G* by identifying the vertices of *R*. Then

 $\operatorname{mr}^{F}(G) \leq \operatorname{mr}^{F}(\overline{G}) + 2.$ 

Proposition 2.10 (Nylen). Let F be a field, let G be a graph, and let v a vertex of G. Then

$$\operatorname{mr}^{F}(G-\nu) \leq \operatorname{mr}^{F}(G) \leq \operatorname{mr}^{F}(G-\nu) + 2.$$

Equivalently,

$$0 \leq r_v^F(G) \leq 2.$$

**Proposition 2.11** (Nylen). Let *F* be a field, let *G* be a graph on *n* vertices, and let  $A \in \mathcal{MR}^F(G)$ . Then for any  $i \in \{1, 2, ..., n\}$ , rank  $A(i) = \operatorname{rank} A$  or rank  $A(i) = \operatorname{rank} A - 2$ ; i.e., rank  $A(i) = \operatorname{rank} A - 1$  is impossible.

#### 3. Decompositions for graphs with a cut vertex

In this section we generalize Theorem 2.3. We show that minimum rank matrices of a graph with a cut vertex decompose in two different ways and that these correspond to the two different possible minima in Theorem 2.3.

**Theorem 3.1.** Let G be the vertex-sum at v of  $G_1$  and  $G_2$ , and let  $S_{k+1}$  be the star subgraph of G formed by the degree k vertex v and all of its neighbors. Let F be any field and let  $A \in \mathcal{MR}^F(G)$ .

- 1. If rank  $A = \operatorname{rank} A(v)$ , then  $A \in \mathcal{MR}^{F}(\widetilde{G_{1}}) + \mathcal{MR}^{F}(\widetilde{G_{2}})$  and  $\operatorname{mr}^{F}(G) = \operatorname{mr}^{F}(G_{1}) + \operatorname{mr}^{F}(G_{2})$ . 2. If rank  $A = \operatorname{rank} A(v) + 2$ , then  $A \in \mathcal{MR}^{F}(\widetilde{G_{1}} v) + \mathcal{MR}^{F}(\widetilde{G_{2}} v) + \mathcal{MR}^{F}(\widetilde{S_{k+1}})$  and  $\operatorname{mr}^{F}(G) = \operatorname{mr}^{F}(G_{1} v) + \operatorname{mr}^{F}(G_{2} v) + 2$ .

**Example 3.2.** As an illustration of the above theorem, consider the graphs



noting that G is the vertex-sum at vertex 3 of  $G_1$  and  $G_2$ . Now consider the matrices in  $\mathcal{MR}(G)$ 

$$A = \begin{bmatrix} 1 & 0 & 1 & 0 & 0 \\ 0 & -1 & -1 & 0 & 0 \\ 1 & -1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \end{bmatrix}, \quad B = \begin{bmatrix} 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 1 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 1 \end{bmatrix}.$$

Since rank  $A = \operatorname{rank} A(3)$ , statement 1 of the theorem applies, and

	1	0	1	0	0		0	0	0	0	0
	0 -	-1 -	-1	0	0		0	0	0	0	0
A =	1 -	-1	0	0	0	+	0	0	1	1	1
	0	0	0	0	0		0	0	1	1	1
	0	0	0	0	0		0	0	1	1	1_

where the two matrices are respectively in  $\mathcal{MR}(\widetilde{G_1})$  and  $\mathcal{MR}(\widetilde{G_2})$ . Also note mr(G) = 3 = 2 + 1 =  $mr(G_1) + mr(G_2).$ 

Since rank  $B = \operatorname{rank} B(3) + 2$ , statement 2 of the theorem applies, and

	0000	0 0	[00000]	00100
	0000	0 0	0 0 0 0 0	00100
B =	0000	0 0 +	00000+	11111
	0000	0 0	00011	00100
	0000	0 0		00100

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where the three matrices are respectively in  $M\mathscr{R}(G_1 - v)$ ,  $M\mathscr{R}(G_2 - v)$  and  $M\mathscr{R}(S_5)$  where v is vertex 3. Again note  $mr(G) = 3 = 0 + 1 + 2 = mr(G_1 - v) + mr(G_2 - v) + 2$ .

**Proof.** Let G be as stated in the theorem with  $A \in \mathcal{MR}(G)$  given. Labeling the vertices of G appropri-

ately  $A = \begin{bmatrix} a & x_1^T & x_2^T \\ x_1 & C_1 & 0 \end{bmatrix}$  where each  $C_i \in S(G_i - v)$  and the first row and column of A correspond to  $x_2 \ 0 \ C_2$ 

the vertex v. By Proposition 2.11, either rank  $A(v) = \operatorname{rank} A$  or rank  $A(v) = \operatorname{rank} A - 2$ . Consider the case where rank  $A(v) = \operatorname{rank} A$ . It follows that

rank 
$$A \ge \operatorname{rank} \begin{bmatrix} x_1 & C_1 & 0 \\ x_2 & 0 & C_2 \end{bmatrix} \ge \operatorname{rank} A(v) = \operatorname{rank} C_1 + \operatorname{rank} C_2.$$

Since rank  $A(v) = \operatorname{rank} A$ , we actually have equality throughout. Thus  $\begin{bmatrix} x_1 \\ y_2 \end{bmatrix}$  is in the column space of A(v). Further since A(v) is a block diagonal matrix, each  $x_i$  is in the column space of  $C_i$ . Thus there exist vectors  $y_i$  such that  $x_i = C_i y_i$ . Now rewrite the matrix as  $A = \begin{bmatrix} a & y_1^T C_1 & y_2^T C_2 \\ C_1 y_1 & C_1 & 0 \\ C_2 y_2 & 0 & C_2 \end{bmatrix}$ . Note that the first

row of A is a linear combination of the other rows of A. Thus using block Gaussian elimination we see that  $a = y_1^T C_1 y_1 + y_2^T C_2 y_2$ . Let

$$\widetilde{B_1} = \begin{bmatrix} y_1^T C_1 y_1 \ y_1^T C_1 \ 0 \\ C_1 y_1 \ C_1 \ 0 \\ 0 \ 0 \ 0 \end{bmatrix} \quad \text{and} \quad \widetilde{B_2} = \begin{bmatrix} y_2^T C_2 y_2 \ 0 \ y_2^T C_2 \\ 0 \ 0 \ 0 \\ C_2 y_2 \ 0 \ C_2 \end{bmatrix}.$$

Then  $A = \widetilde{B_1} + \widetilde{B_2}$ , where rank  $\widetilde{B_i} = \operatorname{rank} C_i$  and  $\widetilde{B_i} \in S(\widetilde{G_i})$ , i = 1, 2. By Theorem 2.3,

$$mr(G) \leq mr(G_1) + mr(G_2) = mr(\widetilde{G_1}) + mr(\widetilde{G_2})$$
$$\leq rank \widetilde{B_1} + rank \widetilde{B_2} = rank C_1 + rank C_2 = rank A = mr(G).$$

Then we have equality throughout, so  $mr(G) = mr(G_1) + mr(G_2)$  and  $rank \tilde{B}_i = mr(\tilde{G}_i), i = 1, 2$ . Then  $B_i \in M\mathcal{R}(G_i)$ , i = 1, 2, which completes the proof of the first statement of the theorem.

Now consider the case where rank  $A(v) = \operatorname{rank} A - 2$ . Let

	0 0	0		0 0	0				a	$x_1^T$	$x_2^T$	
$\tilde{E_1} =$	0 C <sub>1</sub>	0	, $\widetilde{E_2} =$	00	0	,	and	$\tilde{E} =$	<i>x</i> <sub>1</sub>	0	0	.
	0 0	0		0 0	<i>C</i> <sub>2</sub>				$x_2$	0	0_	

Note that  $A = \tilde{E_1} + \tilde{E_2} + \tilde{E}$ ,  $\tilde{E_i} \in \mathcal{S}(\widetilde{G_i - \nu})$ , i = 1, 2, and  $\tilde{E} \in \mathcal{S}(\widetilde{S_{k+1}})$ . By Theorem 2.3 and the hypothesis,

$$mr(G) \leq mr(G_1 - \nu) + mr(G_2 - \nu) + 2 = mr(\widetilde{G_1 - \nu}) + mr(\widetilde{G_2 - \nu}) + 2$$
$$\leq \operatorname{rank} \widetilde{E_1} + \operatorname{rank} \widetilde{E_2} + 2 = \operatorname{rank} C_1 + \operatorname{rank} C_2 + 2$$
$$= \operatorname{rank} A(\nu) + 2 = \operatorname{rank} A = mr(G)$$

Thus we have equality throughout, so  $mr(G) = mr(G_1 - v) + mr(G_2 - v) + 2$ , and  $mr(G_i - v) = \operatorname{rank} \tilde{E}_i$ , i = 1, 2, i.e.,  $\tilde{E}_i \in \mathcal{MR}(G_i - v)$ . Since v is not an isolated vertex in either  $G_1$  or  $G_2$ , rank  $\tilde{E} = 2 = mr(S_{k+1})$ , and  $\tilde{E} \in \mathcal{MR}(S_{k+1})$ . Since  $A = \tilde{E}_1 + \tilde{E}_2 + \tilde{E}$ , the proof of the second statement of the theorem is complete.  $\Box$ 

The following corollary gives a method for knowing the possible decompositions given only the graph and also determines when both decompositions or only one is possible.

**Corollary 3.3.** Let G, F, v,  $G_1$ ,  $G_2$  and  $S_{k+1}$  be as in Theorem 3.1.

1. If 
$$r_{\nu}^{F}(G_{1}) + r_{\nu}^{F}(G_{2}) < 2$$
, then  

$$\mathscr{MR}^{F}(G) = \mathscr{MR}^{F}(\widetilde{G_{1}}) + \mathscr{MR}^{F}(\widetilde{G_{2}}).$$
2. If  $r_{\nu}^{F}(G_{1}) + r_{\nu}^{F}(G_{2}) > 2$ , then  

$$\mathscr{MR}^{F}(G) = \mathscr{MR}^{F}(\widetilde{G_{1}} - \nu) + \mathscr{MR}^{F}(\widetilde{G_{2}} - \nu) + \mathscr{MR}^{F}(\widetilde{S_{k+1}}).$$
3. If  $r_{\nu}^{F}(G_{1}) + r_{\nu}^{F}(G_{2}) = 2$ , then  

$$\mathscr{MR}^{F}(G) = \left(\mathscr{MR}^{F}(\widetilde{G_{1}}) + \mathscr{MR}^{F}(\widetilde{G_{2}})\right)$$

$$\cup \left(\mathscr{MR}^{F}(\widetilde{G_{1}} - \nu) + \mathscr{MR}^{F}(\widetilde{G_{2}} - \nu) + \mathscr{MR}^{F}(\widetilde{S_{k+1}})\right).$$

Looking back at Example 3.2, we see that  $r_3(G_1) + r_3(G_2) = 2 + 0 = 2$ , so *G* illustrates statement 3 of the corollary.

Proof. Since

$$mr(G) = min\{mr(G_1) + mr(G_2), mr(G_1 - \nu) + mr(G_2 - \nu) + 2\}$$
  
= mr(G\_1 - \nu) + mr(G\_2 - \nu) + min\{r\_{\nu}(G\_1) + r\_{\nu}(G\_2), 2\},

letting  $r = r_v(G_1) + r_v(G_2)$  we have

$$mr(G) = \begin{cases} mr(G_1) + mr(G_2) < mr(G_1 - \nu) + mr(G_2 - \nu) + 2 & \text{if } r < 2\\ mr(G_1) + mr(G_2) = mr(G_1 - \nu) + mr(G_2 - \nu) + 2 & \text{if } r = 2\\ mr(G_1 - \nu) + mr(G_2 - \nu) + 2 < mr(G_1) + mr(G_2) & \text{if } r > 2. \end{cases}$$
(3.1)

We first prove the forward containments. Let  $A \in \mathcal{MR}(G)$ . By Proposition 2.11, either rank  $A = \operatorname{rank} A(v) + 2$  or rank  $A = \operatorname{rank} A(v)$ .

Case 1. Suppose  $r_v(G_1) + r_v(G_2) < 2$ . Since  $mr(G) \neq mr(G_1 - v) + mr(G_2 - v) + 2$ , by the contrapositive of statement 2 of Theorem 3.1 rank  $A = \operatorname{rank} A(v)$  and  $A \in \mathcal{MR}(\widetilde{G_1}) + \mathcal{MR}(\widetilde{G_2})$ .

Case 2. Suppose  $r_v(G_1) + r_v(G_2) > 2$ . Then  $mr(G) \neq mr(G_1) + mr(G_2)$  and by the contrapositive of statement 1 of Theorem 3.1 rank  $A = \operatorname{rank} A(v) + 2$  and  $A \in \mathcal{MR}(\widetilde{G_1 - v}) + \mathcal{MR}(\widetilde{G_2 - v}) + \mathcal{MR}(\widetilde{S_{k+1}})$ .

Case 3. Suppose  $r_v(G_1) + r_v(G_2) = 2$ . Whether rank  $A = \operatorname{rank} A(v) + 2$  or rank  $A = \operatorname{rank} A(v)$ , A is in the union on the right hand side of 3.

Now we verify the reverse containments.

Case 1. Suppose  $r_v(G_1) + r_v(G_2) \leq 2$  and  $A \in \mathcal{MR}(\widetilde{G_1}) + \mathcal{MR}(\widetilde{G_2})$ . Write  $A = \widetilde{A_1} + \widetilde{A_2}$  with  $\widetilde{A_i} \in \mathcal{MR}(\widetilde{G_i}), i = 1, 2$ . Then  $A \in \mathcal{S}(G)$ . By Eq. (3.1), mr(G) = mr( $G_1$ ) + mr( $G_2$ ), so

$$mr(G) \leq \operatorname{rank} A = \operatorname{rank} (\widetilde{A_1} + \widetilde{A_2}) \leq \operatorname{rank} \widetilde{A_1} + \operatorname{rank} \widetilde{A_2}$$
$$= mr(\widetilde{G_1}) + mr(\widetilde{G_2}) = mr(G_1) + mr(G_2)$$
$$= mr(G).$$

Then rank A = mr(G) and  $A \in \mathcal{MR}(G)$ .

Case 2. Now suppose  $r_v(G_1) + r_v(G_2) \ge 2$  and  $A \in \mathcal{MR}(\widetilde{G_1 - v}) + \mathcal{MR}(\widetilde{G_2 - v}) + \mathcal{MR}(\widetilde{S_{k+1}})$ . Write  $A = \widetilde{B_1} + \widetilde{B_2} + \widetilde{E}$  with  $\widetilde{B_i} \in \mathcal{MR}(\widetilde{G_i - v})$ , i = 1, 2, and  $\widetilde{E} \in \mathcal{MR}(\widetilde{S_{k+1}})$ . Necessarily  $A \in \mathcal{S}(G)$ . By Eq. (3.1),

$$mr(G) = mr(G_1 - v) + mr(G_2 - v) + 2$$
  
= mr(G\_1 - v) + mr(G\_2 - v) + 2  
= rank  $\widetilde{B_1}$  + rank  $\widetilde{B_2}$  + rank  $\widetilde{E}$   
 $\geqslant$  rank ( $\widetilde{B_1} + \widetilde{B_2} + \widetilde{E}$ ) = rank  $A \ge mr(G)$ 

Then rank A = mr(G) and  $A \in \mathcal{MR}(G)$ .  $\Box$ 

#### 4. Decompositions for graphs with a 2-separation

We will now give a decomposition theorem associated with Theorem 2.6. There are twelve minimum rank classes associated with the terms on the right hand side of the formula for  $mr^{F}(G)$ , namely:

$$\begin{split} & \mathcal{MR}^{F}(\widetilde{G_{\mathcal{A}}}), \quad \mathcal{MR}^{F}(\widetilde{G_{\mathcal{B}}}), \quad \mathcal{MR}^{F}(\widetilde{H_{\mathcal{A}}}), \quad \mathcal{MR}^{F}(\widetilde{H_{\mathcal{B}}}), \\ & \mathcal{MR}^{F}(\widetilde{\overline{G_{\mathcal{A}}}}), \quad \mathcal{MR}^{F}(\widetilde{\overline{G_{\mathcal{B}}}}), \quad \mathcal{MR}^{F}(\widetilde{G_{\mathcal{A}}}-r_{1}), \quad \mathcal{MR}^{F}(\widetilde{G_{\mathcal{B}}}-r_{1}), \\ & \mathcal{MR}^{F}(\widetilde{G_{\mathcal{A}}}-r_{2}), \quad \mathcal{MR}^{F}(\widetilde{G_{\mathcal{B}}}-r_{2}), \quad \mathcal{MR}^{F}(\widetilde{G_{\mathcal{A}}}-R), \quad \mathcal{MR}^{F}(\widetilde{G_{\mathcal{B}}}-R). \end{split}$$

We will need all of these and five additional graphs and their minimum rank classes in the statement of our decomposition theorem. For ease of reference, we restate the definitions of the above graphs originally given in the statement of Theorem 2.6 as well as define the five additional graphs.

**Definition 4.1.** Let  $(G_A, G_B)$  be a 2-separation of a graph G and let  $R = \{r_1, r_2\} = V(G_A) \cap V(G_B)$ . To avoid degenerate cases we now assume that  $G_A$  and  $G_B$  each have at least 3 vertices. We define the following graphs associated with G.

- 1.  $\overline{G_A}$ ,  $\overline{G_B}$  are the multigraphs obtained by identifying  $r_1$  and  $r_2$  in  $G_A$  and  $G_B$ , respectively.
- 2.  $H_A$ ,  $H_B$  are the multigraphs obtained from  $G_A$  and  $G_B$ , respectively, by inserting one edge  $r_1r_2$ .
- 3. For i = 1, 2,  $Star_i(G) = (V(G), E_i)$  where  $E_i$  is the set of all edges incident to vertex  $r_i$ .  $Star_{12}(G) = Star_1(G) \cup Star_2(G)$ .
- 4.  $TStar_1(G)$  is the graph obtained from  $Star_1(G)$  by inserting an edge between every neighbor of  $r_1$  in G (excluding  $r_2$ ) and  $r_2$ .  $TStar_2(G)$  is the graph obtained from  $Star_2(G)$  by inserting an edge between every neighbor of  $r_2$  in G (excluding  $r_1$ ) and  $r_1$ .

The T in the preceding definition refers to the fact that we are twinning vertices; we are making  $r_2$  a twin of  $r_1$  in forming TStar<sub>1</sub>(*G*) and vice versa in forming TStar<sub>2</sub>(*G*).

It is well known that if *G* is a star on 4 or more vertices and  $M \in \mathcal{MR}(G)$  then  $m_{ii} = 0$  for every pendant vertex *i* (see, e.g., [2]). In the settings we will encounter, the minimum rank classes associated

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with the latter two "star classes" will also have restricted diagonal entries, so for these we modify the definitions at the beginning of the paper appropriately.

#### Definition 4.2. Let

$$\begin{split} \mathcal{S}_{0}^{F}(\mathrm{Star}_{12}(G)) &= \{ M \in \mathcal{S}^{F}(G) \mid m_{jj} = 0; j \neq r_{1}, r_{2} \} \\ \mathcal{S}_{0}^{F}(\mathrm{TStar}_{i}(G)) &= \{ M \in \mathcal{S}^{F}(G) \mid m_{jj} = 0; j \neq r_{1}, r_{2} \}, i = 1, 2 \\ \mathrm{mr}_{0}^{F}(\mathrm{Star}_{12}(G)) &= \min\{\mathrm{rank}\,A \mid A \in \mathcal{S}_{0}^{F}(\mathrm{Star}_{12}(G)) \} \\ \mathrm{mr}_{0}^{F}(\mathrm{TStar}_{i}(G)) &= \min\{\mathrm{rank}\,A \mid A \in \mathcal{S}_{0}^{F}(\mathrm{TStar}_{i}(G)) \}, i = 1, 2 \\ \mathscr{MR}_{0}^{F}(\mathrm{Star}_{12}(G)) &= \{ A \in \mathcal{S}_{0}^{F}(\mathrm{Star}_{12}(G)) \mid \mathrm{rank}\,A = \mathrm{mr}_{0}^{F}(\mathrm{Star}_{12}(G)) \} \\ \mathscr{MR}_{0}^{F}(\mathrm{TStar}_{i}(G)) &= \{ A \in \mathcal{S}_{0}^{F}(\mathrm{TStar}_{i}(G)) \mid \mathrm{rank}\,A = \mathrm{mr}_{0}^{F}(\mathrm{TStar}_{i}(G)) \}, i = 1, 2. \end{split}$$

**Proposition 4.3.** Let *F* be a field. Let  $(G_A, G_B)$  be a 2-separation of a graph *G*, assume that  $G_A$  and  $G_B$  each have at least 3 vertices, let  $R = \{r_1, r_2\} = V(G_A) \cap V(G_B)$  and assume that neither  $r_1$  nor  $r_2$  is a cut vertex of *G*. Then

 $\operatorname{mr}^{F}(\operatorname{Star}_{i}(G)) = 2, \ \operatorname{mr}^{F}_{0}(\operatorname{TStar}_{i}(G)) = 2, \ \text{and} \ \operatorname{mr}^{F}_{0}(\operatorname{Star}_{12}(G)) \leq 4.$ 

**Proof.** Since  $r_2$  is not a cut vertex of G,  $r_1$  is adjacent to a vertex in  $V(G_A) \setminus R$  and a vertex in  $V(G_B) \setminus R$ . Then  $P_3$  is induced in  $\text{Star}_1(G)$  and in  $\text{TStar}_1(G)$ , so each has minimum rank at least 2. Similarly  $\text{Star}_2(G)$  and  $\text{TStar}_2(G)$  each have minimum rank at least 2.

For i = 1 or 2,  $\text{Star}_i(G)$  is a star so  $mr(\text{Star}_i(G)) \leq 2$ . If we label the graph *G* so that  $r_1, r_2$  occur first, there is a matrix of either the form

$\begin{bmatrix} 0 & 0 & x^T \end{bmatrix}$		$\begin{bmatrix} 1 & 1 & x^T \end{bmatrix}$
000	or	100
$\begin{bmatrix} x & 0 & 0 \end{bmatrix}$		$\begin{bmatrix} x & 0 & 0 \end{bmatrix}$

in  $S(\text{Star}_1(G))$ . Then depending on whether or not  $r_1r_2 \in E(G)$ , either

$\begin{bmatrix} 0 & 0 & x^T \end{bmatrix}$		[1	1	$x^T$
$0 0 x^T$	or else	1	1	$x^T$
$\begin{bmatrix} x & x & 0 \end{bmatrix}$		x	x	0

is in  $S_0(\text{TStar}_1(G))$  and both have rank at most 2. So  $\text{mr}_0(\text{TStar}_1(G)) \leq 2$  and similarly  $\text{mr}_0(\text{TStar}_2(G)) \leq 2$ .

Finally, there is a matrix of the form

 $\begin{bmatrix} a & b & x^T \\ b & c & y^T \\ x & y & 0 \end{bmatrix}$ 

in  $S_0(\text{Star}_{12}(G))$ , and its rank is at most 4.  $\Box$ 

The following theorem which generalizes Theorem 2.6 is our main result. We show that minimum rank matrices of graphs with a 2-separation decompose in essentially six different ways, each corresponding to one of the six possible minima in Theorem 2.6.

**Theorem 4.4.** Let F be a field. Given G as in Definition 4.1 and that neither  $r_1$  nor  $r_2$  is a cut vertex, let

$$M = \begin{bmatrix} A & x_1 & x_2 & 0 \\ x_1^T & a & b & y_1^T \\ x_2^T & b & c & y_2^T \\ 0 & y_1 & y_2 & B \end{bmatrix} \in \mathcal{MR}^F(G)$$

where the vertices are labeled so that  $A \in S^F(G_A - R), B \in S^F(G_B - R)$ ,

$$\begin{bmatrix} 0 & x_1 & 0 & 0 \\ x_1^T & a & b & y_1^T \\ 0 & b & 0 & 0 \\ 0 & y_1 & 0 & 0 \end{bmatrix} \in \mathcal{S}^F(\operatorname{Star}_1(G)), \text{ and } \begin{bmatrix} 0 & 0 & x_2 & 0 \\ 0 & 0 & b & 0 \\ x_2^T & b & c & y_2^T \\ 0 & 0 & y_2 & 0 \end{bmatrix} \in \mathcal{S}^F(\operatorname{Star}_2(G)).$$

I. If

 $\operatorname{rank} A + \operatorname{rank} B = \operatorname{rank} [A x_1 x_2] + \operatorname{rank} [y_1 y_2 B],$ 

then either  $M \in \mathcal{MR}^{F}(\widetilde{G_{\mathcal{A}}}) + \mathcal{MR}^{F}(\widetilde{G_{\mathcal{B}}})$  and  $\operatorname{mr}^{F}(G) = \operatorname{mr}^{F}(G_{\mathcal{A}}) + \operatorname{mr}^{F}(G_{\mathcal{B}})$ or  $M \in \mathcal{MR}^{F}(\widetilde{H_{\mathcal{A}}}) + \mathcal{MR}^{F}(\widetilde{H_{\mathcal{B}}})$  and  $\operatorname{mr}^{F}(G) = \operatorname{mr}^{F}(H_{\mathcal{A}}) + \operatorname{mr}^{F}(H_{\mathcal{B}})$ . II. If

$$\operatorname{rank} A + \operatorname{rank} B = \operatorname{rank} [A x_1] + \operatorname{rank} [y_1 B] < \operatorname{rank} [A x_1 x_2] + \operatorname{rank} [y_1 y_2 B] \qquad (EI_1)$$

then  $M \in \mathcal{MR}^{F}(\widetilde{G_{A} - r_{2}}) + \mathcal{MR}^{F}(\widetilde{G_{B} - r_{2}}) + \mathcal{MR}^{F}(\operatorname{Star}_{2}(G))$ and  $\operatorname{mr}^{F}(G) = \operatorname{mr}^{F}(G_{A} - r_{2}) + \operatorname{mr}^{F}(G_{B} - r_{2}) + 2.$ III. If

$$\operatorname{rank} A + \operatorname{rank} B = \operatorname{rank} [A x_2] + \operatorname{rank} [y_2 B] < \operatorname{rank} [A x_1 x_2] + \operatorname{rank} [y_1 y_2 B] \qquad (EI_2)$$

then  $M \in \mathcal{MR}^{F}(G_{\mathcal{A}} - r_{1}) + \mathcal{MR}^{F}(G_{\mathcal{B}} - r_{1}) + \mathcal{MR}^{F}(\operatorname{Star}_{1}(G))$ and  $\operatorname{mr}^{F}(G) = \operatorname{mr}^{F}(G_{\mathcal{A}} - r_{1}) + \operatorname{mr}^{F}(G_{\mathcal{B}} - r_{1}) + 2.$ *IV. If either* 

$$\operatorname{rank} M = \operatorname{rank} A + \operatorname{rank} B + 4, \tag{E}_1$$

or

$$\operatorname{rank} A + \operatorname{rank} B < \operatorname{rank} [A x_1] + \operatorname{rank} [y_1 B] < \operatorname{rank} [A x_1 x_2] + \operatorname{rank} [y_1 y_2 B], \qquad (I_1)$$

or

$$\operatorname{rank} A + \operatorname{rank} B < \operatorname{rank} [A x_2] + \operatorname{rank} [y_2 B] < \operatorname{rank} [A x_1 x_2] + \operatorname{rank} [y_1 y_2 B], \qquad (I_2)$$

then 
$$M \in \mathcal{MR}^{F}(G_{\mathcal{A}} - R) + \mathcal{MR}^{F}(G_{\mathcal{B}} - R) + \mathcal{MR}_{0}^{F}(\operatorname{Star}_{12}(G))$$
  
and  $\operatorname{mr}^{F}(G) = \operatorname{mr}^{F}(G_{\mathcal{A}} - R) + \operatorname{mr}^{F}(G_{\mathcal{B}} - R) + 4.$   
V. If

$$\operatorname{rank} A + \operatorname{rank} B < \operatorname{rank} [A x_1] + \operatorname{rank} [y_1 B] = \operatorname{rank} [A x_1 x_2] + \operatorname{rank} [y_1 y_2 B], \qquad (IE_1)$$

$$\operatorname{rank} A + \operatorname{rank} B < \operatorname{rank} [A x_2] + \operatorname{rank} [y_2 B] = \operatorname{rank} [A x_1 x_2] + \operatorname{rank} [y_1 y_2 B], \qquad (IE_2)$$

and

$$\operatorname{rank} M \neq \operatorname{rank} A + \operatorname{rank} B + 4$$

then

$$M \in \mathcal{MR}^{F}(\widetilde{\overline{G_{A}}}) + \mathcal{MR}^{F}(\widetilde{\overline{G_{B}}}) + \mathcal{MR}_{0}^{F}(\mathrm{TStar}_{1}(G)).$$

$$M \in \mathcal{MR}^{F}(\widetilde{\overline{G_{A}}}) + \mathcal{MR}^{F}(\widetilde{\overline{G_{B}}}) + \mathcal{MR}_{0}^{F}(\mathrm{TStar}_{2}(G))$$

and

$$\operatorname{mr}^{F}(G) = \operatorname{mr}^{F}(\overline{G_{A}}) + \operatorname{mr}^{F}(\overline{G_{B}}) + 2.$$

**Example 4.5.** Let *F* be a field with char  $F \neq 2$ . Let *G* be the graph in Example 1.3 with the same 2-separation ( $G_A$ ,  $G_B$ ). The following matrix is an example where part I applies.

M =	1	1	1	0	0	0
	1	2	1	1	1	0
	1	1	2	0	1	1
	0	1	0	1	1	0
	0	1	1	1	2	1
	0	0	1	0	1	1

Notice that A = M[1], and B = M[4, 5, 6]. Also  $x_1, x_2$  appear as columns in A, while  $y_1, y_2$  appear in B. Thus M satisfies the hypothesis of part I.

In this case  $M \in \mathscr{MR}^{F}(\widetilde{G}_{A}) + \mathscr{MR}^{F}(\widetilde{G}_{B})$  and M can be decomposed as shown in Example 1.3.

**Example 4.6.** The following graph and matrix provide another example where part I applies.



The 2-separation is  $G - \{4\}$  and  $G - \{1\}$ . The matrices A and B are both  $1 \times 1$  matrices corresponding

to M[1] and M[4], respectively. It is easily verified M satisfies the hypothesis of part I. In this case  $M \in \mathcal{MR}^F(\widetilde{H}_A) + \mathcal{MR}^F(\widetilde{H}_B)$  and can be decomposed into the following matrices corresponding to  $\widetilde{H}_A$  and  $\widetilde{H}_B$ , each of which is isomorphic to  $K_3 \cup K_1$ .

[1110]	0	0	0	0
1 1 1 0	0	-1 -	-1	-1
1 1 1 0	0	-1 -	-1	-1
	0	-1 -	-1	-1

**Example 4.7.** Let *F* be a field with char  $F \neq 2$ . The following graph and matrix give an example where part II applies.



We have rank M = 6 = mr(G). The 2-separation is the graph induced by the first 6 vertices and the graph induced by the last 6 vertices excluding edge {56} as in Example 2.8. The matrices *A* and *B* are respectively M[1, 2, 3, 4] and M[7, 8, 9, 10]. In this case  $x_1$  appears as a column of *A* and  $y_1$  appears in *B*. Thus the equality in  $(EI_1)$  is satisfied. Further  $x_2$  is not in the column space of *A*, which justifies the inequality in  $(EI_1)$ .

The conclusion states that *M* can be decomposed into 3 matrices corresponding to the graphs  $\widetilde{G_A - r_2}$ ,  $\widetilde{G_B - r_2}$ , and  $\operatorname{Star}_2(G)$ . The graphs and corresponding matrices are given below.



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**Example 4.8.** Let *F* be a field with char  $F \neq 2$ . The following graph and matrix provide an example where part IV applies.



We have rank M = 10 = mr(G). The 2-separation is illustrated in Example 2.8. Note that A = M[1, 2, 3, 4, 5, 6, 7, 8, 9] and B = M[12, 13, 14, 15, 16]. The matrix *B* has 3 distinct columns, and the second column is the sum of the first and third columns. Thus rank B = 2. Since  $y_1, y_2$ , the first column of *B*, and the third column of *B* form a linearly independent set, rank  $[y_1 y_2 B] = 4$ . Therefore rank  $B < \operatorname{rank} [y_1 B] < \operatorname{rank} [y_1 y_2 B]$  and  $(I_1)$  follows.

0 0	0 0	$\begin{bmatrix} 0 & 0 & 0 & B \end{bmatrix}$	
The conclusion states that in can be decomposed into $A = \begin{bmatrix} 0 & 0 \end{bmatrix}$	0 0	0000	, and
The conclusion states that M can be decomposed into $\tilde{A}$ –	$\begin{array}{c c} 0 & 0 \\ \overline{R} & - \end{array}$	0 0 0 0	

 $S = M - \tilde{A} - \tilde{B}$  corresponding to  $\widetilde{G_A - R}$ ,  $\widetilde{G_B - R}$ , and  $\operatorname{Star}_{12}(G)$ , respectively.

**Example 4.9.** Let *F* be a field with char  $F \neq 2$ . The following graph and matrix provide an example where part V applies.



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We have rank M = 4 = mr(G). The 2-separation is the four cycle induced by  $\{1, 2, 3, 4\}$  and the path with edges  $\{35, 56, 64\}$ . Note that the matrices A and B corresponding to this 2-separation are both the  $2 \times 2$  all 1's matrix. Thus rank  $A = \operatorname{rank} B = 1$ . Neither  $x_1$  nor  $x_2$  is in the column space of A justifying the inequality in both ( $IE_1$ ) and ( $IE_2$ ). Also  $x_1 + x_2$  is in the column space of A and  $y_1 + y_2$  is in the column space of B justifying the equality in both ( $IE_1$ ) and ( $IE_2$ ). Lastly we note that rank  $M = 4 \neq \operatorname{rank} A + \operatorname{rank} B + 4 = 6$ .

The conclusion of part V states that M can be decomposed into 3 matrices corresponding to



The matrices are

	[000000]	00	1 -1	0 0 ]	
110100	000000	0 0	0 0	0 0	
000000	000000	10	2 -1	10	
110100	000111	-10	-1 0	-10	•
000000	000111	0 0	1 -1	0 0	
		00	0 0	0 0	

**Example 4.10.** We note that in rare instances the decomposition may be trivial. Consider the following graph and matrix



with 2-separation consisting of the clique induced by vertices  $\{1, 2, 3\}$  and the path with edges  $\{24, 34\}$ . The matrices *A* and *B* are both  $1 \times 1$  matrices corresponding to *M*[1] and *M*[4], respectively. It is easily verified *M* satisfies the hypothesis of part V.

The conclusion of part V states that M can be decomposed into 3 matrices corresponding to



The only matrix in either  $\mathcal{MR}(\widetilde{G_A})$  or  $\mathcal{MR}(\widetilde{G_B})$  is the zero matrix which leads to the trivial decomposition, M = 0 + 0 + M.

We require several lemmas before giving the proof of Theorem 4.4. The next one follows immediately from Theorem 2.6, but we give an independent proof that aligns with the proof we will give of Theorem 4.4.

**Lemma 4.11.** Let *F* be any field. Given *G*,  $G_A$ ,  $G_B$ ,  $H_A$ ,  $H_B$ ,  $\overline{G_A}$ ,  $\overline{G_B}$  as in Definition 4.1,

$$mr^{F}(G) \leq \min\{mr^{F}(G_{\mathcal{A}}) + mr^{F}(G_{\mathcal{B}}), mr^{F}(H_{\mathcal{A}}) + mr^{F}(H_{\mathcal{B}}), mr^{F}(\overline{G_{\mathcal{A}}}) + mr^{F}(\overline{G_{\mathcal{B}}}) + 2, mr^{F}(G_{\mathcal{A}} - r_{1}) + mr^{F}(G_{\mathcal{B}} - r_{1}) + 2, mr^{F}(G_{\mathcal{A}} - r_{2}) + mr^{F}(G_{\mathcal{B}} - r_{2}) + 2, mr^{F}(G_{\mathcal{A}} - R) + mr^{F}(G_{\mathcal{B}} - R) + 4\}.$$

**Proof.** If  $r_1r_2 \in E(G)$ , we assume that  $r_1r_2 \in E(G_A)$ .

1. Let

$$M_1 = \begin{bmatrix} A & x_1 & x_2 \\ x_1^T & a & b \\ x_2^T & b & c \end{bmatrix} \in \mathcal{S}(G_{\mathcal{A}}) \text{ with rank } M_1 = \operatorname{mr}(G_{\mathcal{A}})$$

and

$$M_2 = \begin{bmatrix} h & 0 & y_1^T \\ 0 & k & y_1^T \\ y_1 & y_2 & B \end{bmatrix} \in \mathcal{S}(G_{\mathcal{B}}) \text{ with rank } M_2 = \operatorname{mr}(G_{\mathcal{B}}).$$

Then

$$M = \begin{bmatrix} A & x_1 & x_2 & 0 \\ x_1^T & a + h & b & y_1^T \\ x_2^T & b & c + k & y_2^T \\ 0 & y_1 & y_2 & B \end{bmatrix} = \begin{bmatrix} A & x_1 & x_2 & 0 \\ x_1^T & a & b & 0 \\ x_2^T & b & c & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} + \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & h & 0 & y_1^T \\ 0 & 0 & k & y_2^T \\ 0 & y_1 & y_2 & B \end{bmatrix} \in \mathcal{S}(G)$$

\_

whether or not  $r_1r_2 \in E(G)$ . Then

 $\operatorname{mr}(G) \leq \operatorname{rank} M \leq \operatorname{rank} M_1 + \operatorname{rank} M_2 = \operatorname{mr}(G_{\mathcal{A}}) + \operatorname{mr}(G_{\mathcal{B}}).$ 

2. Let

$$M_1 = \begin{bmatrix} A & x_1 & x_2 \\ x_1^T & a & b \\ x_2^T & b & c \end{bmatrix} \in \mathcal{S}(H_{\mathcal{A}}) \text{ with rank } M_1 = \operatorname{mr}(H_{\mathcal{A}})$$

and

$$M_2 = \begin{bmatrix} r & s & y_1^T \\ s & t & y_2^T \\ y_1 & y_2 & B \end{bmatrix} \in \mathcal{S}(H_B) \text{ with rank } M_2 = \operatorname{mr}(H_B)$$

(so  $s \neq 0$ ).

Subcase 1.  $r_1r_2 \notin E(G)$ . Then  $r_1r_2 \in E(H_A)$  so  $b \neq 0$ . Let

$$M = s \begin{bmatrix} A & x_1 & x_2 & 0 \\ x_1^T & a & b & 0 \\ x_2^T & b & c & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} - b \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & r & s & y_1^T \\ 0 & s & t & y_2^T \\ 0 & y_1 & y_2 & B \end{bmatrix}.$$

Then  $M \in \mathcal{S}(G)$  and  $mr(G) \leq \operatorname{rank} M \leq \operatorname{rank} M_1 + \operatorname{rank} M_2 = mr(H_A) + mr(H_B)$ .

Subcase 2.  $r_1r_2 \in E(G)$ .

Then there is a double edge between  $r_1$  and  $r_2$  in  $H_A$ . For  $F \neq F_2$ , b may or may not be 0, while for  $F = F_2$ , b = 0. Since  $s \neq 0$ , there is a nonzero k such that  $kb + s \neq 0$ . Let

$$M = k \begin{bmatrix} A & x_1 & x_2 & 0 \\ x_1^T & a & b & 0 \\ x_2^T & b & c & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} + \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & r & s & y_1^T \\ 0 & s & t & y_2^T \\ 0 & y_1 & y_2 & B \end{bmatrix}.$$

Then  $M \in \mathcal{S}(G)$  and  $mr(G) \leq \operatorname{rank} M \leq \operatorname{rank} M_1 + \operatorname{rank} M_2 = mr(H_A) + mr(H_B)$ .

3. The multigraph  $\overline{G}$ , obtained from G by identifying  $r_1$  and  $r_2$ , is the vertex sum at v of  $\overline{G_A}$  and  $\overline{G_B}$ . By Lemma 2.9 and Theorem 2.3,  $mr(G) \leq mr(\overline{G}) + 2 \leq mr(\overline{G_A}) + mr(\overline{G_B}) + 2$ .

4. Let

$$M_1 = \begin{bmatrix} A & x_2 \\ x_2^T & c_1 \end{bmatrix} \in \mathcal{S}(G_{\mathcal{A}} - r_1) \text{ with rank } M_1 = \operatorname{mr}(G_{\mathcal{A}} - r_1)$$

and

$$M_2 = \begin{bmatrix} c_2 & y_2^T \\ y_2 & B \end{bmatrix} \in \mathcal{S}(G_{\mathcal{B}} - r_1) \text{ with rank } M_2 = \operatorname{mr}(G_{\mathcal{B}} - r_1).$$

Then

$$M = \begin{bmatrix} A & x_2 & 0 \\ x_2^T & c_1 & 0 \\ 0 & 0 & 0 \end{bmatrix} + \begin{bmatrix} 0 & 0 & 0 \\ 0 & c_2 & y_2^T \\ 0 & y_2 & B \end{bmatrix} \in \mathcal{S}(G - r_1)$$

and by Proposition 2.10,  $\operatorname{mr}(G) \leq \operatorname{mr}(G - r_1) + 2 \leq \operatorname{rank} M + 2 \leq \operatorname{rank} M_1 + \operatorname{rank} M_2 + 2 = \operatorname{mr}(G_A - r_1) + \operatorname{mr}(G_B - r_1) + 2.$ 

By the same argument,  $mr(G) \leq mr(G_A - r_2) + mr(G_B - r_2) + 2$ .

5. We have  $mr(G) \leq mr(G - r_1) + 2 \leq mr(G - r_1 - r_2) + 4 = mr(G - R) + 4 = mr((G_A - R) \cup (G_B - R)) + 4 = mr(G_A - R) + mr(G_B - R) + 4$ .  $\Box$ 

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**Lemma 4.12.** Let *F* be a field, let *G* be a graph on 3 or more vertices, let *u*, *v* be vertices of *G* and let  $\overline{G}$  be the multigraph obtained by identifying *u* and *v*. Let

$$M = \begin{bmatrix} A & x & y \\ x^{T} & a & b \\ y^{T} & b & c \end{bmatrix} \in \mathcal{S}^{F}(G)$$

where the last 2 rows and columns are associated with u and v. Then for any nonzero h,k and any scalar  $a^\prime,$ 

$$\overline{M} = \begin{bmatrix} A & hx + ky \\ \\ hx^T + ky^T & a' \end{bmatrix} \in S^F(\overline{G})$$

**Proof.** Let  $i \neq u, v$  be a vertex of *G*. Then

$$hx_i + ky_i \text{ is } \begin{cases} 0 & \text{if neither } iu \text{ nor } iv \text{ is an edge of } G \\ \text{nonzero} & \text{if exactly one of } iu, iv \text{ is an edge of } G \\ 0 \text{ or nonzero} & \text{if } iu, iv \text{ are both edges of } G \text{ and } F \neq F_2 \\ 0 & \text{if } iu, iv \text{ are both edges of } G \text{ and } F = F_2. \end{cases}$$

It follows that  $\overline{M} \in S^F(\overline{G})$ .  $\Box$ 

Lemma 4.13. Let F be a field. Given G as in Definition 4.1, let

$$M = \begin{bmatrix} A & x_1 & x_2 & 0 \\ x_1^T & a & b & y_1^T \\ x_2^T & b & c & y_2^T \\ 0 & y_1 & y_2 & B \end{bmatrix} \in \mathscr{MR}^F(G),$$
  
$$K_1 = \begin{bmatrix} A & x_1 & 0 \\ x_1^T & a & y_1^T \\ 0 & y_1 & B \end{bmatrix}, \text{ and } K_2 = \begin{bmatrix} A & x_2 & 0 \\ x_2^T & c & y_2^T \\ 0 & y_2 & B \end{bmatrix}.$$

If  $\operatorname{rank} [Ax_1] + \operatorname{rank} [y_1 B] < \operatorname{rank} [Ax_1 x_2] + \operatorname{rank} [y_1 y_2 B],$ then  $K_1 \in \mathscr{MR}^F(G - r_2), \operatorname{rank} K_1 = \operatorname{rank} M - 2, \operatorname{and} \operatorname{mr}^F(G) = \operatorname{mr}^F(G - r_2) + 2,$ while if  $\operatorname{rank} [Ax_2] + \operatorname{rank} [y_2 B] < \operatorname{rank} [Ax_1 x_2] + \operatorname{rank} [y_1 y_2 B],$ then  $K_2 \in \mathscr{MR}^F(G - r_1), \operatorname{rank} K_2 = \operatorname{rank} M - 2, \operatorname{and} \operatorname{mr}^F(G) = \operatorname{mr}^F(G - r_1) + 2.$ 

**Proof.** It suffices to prove the first claim. Then either rank  $[Ax_1] < \operatorname{rank} [Ax_1x_2]$  or else rank  $[y_1B] < \operatorname{rank} [y_1y_2B]$ . Without loss of generality assume rank  $[Ax_1] < \operatorname{rank} [Ax_1x_2]$ . Since  $x_2 \notin C([Ax_1])$ , rank  $K_1 < \operatorname{rank} M$ . By Propositions 2.11 and 2.10,

$$\operatorname{mr}(G - r_2) \leq \operatorname{rank} K_1 = \operatorname{rank} M - 2 = \operatorname{mr}(G) - 2 \leq \operatorname{mr}(G - r_2).$$

Therefore  $mr(G - r_2) = \operatorname{rank} K_1 = \operatorname{rank} M - 2 = mr(G) - 2$  and  $K_1 \in \mathcal{MR}(G - r_2)$ .  $\Box$ 

Lemma 4.14. Let F be a field. Given G as in Definition 4.1, let

$$M = \begin{bmatrix} A & x_1 & x_2 & 0 \\ x_1^T & a & b & y_1^T \\ x_2^T & b & c & y_2^T \\ 0 & y_1 & y_2 & B \end{bmatrix} \in \mathcal{MR}^F(G).$$

Then rank M - rank A - rank B equals 4, 2, 1, or 0.

**Proof.** Let  $K_1$  be as in Lemma 4.13. By Proposition 2.11, rank  $K_1$  is either rank M or rank M - 2.

Case 1. rank  $K_1 = \operatorname{rank} M - 2$ 

Then  $K_1 \in \mathcal{MR}(G - r_2)$  and again by Proposition 2.11 the rank  $K_1 - \operatorname{rank} \begin{bmatrix} A & 0 \\ 0 & B \end{bmatrix}$  is either 0 or 2. Then

$$\operatorname{rank} M - \operatorname{rank} A - \operatorname{rank} B = (\operatorname{rank} M - \operatorname{rank} K_1) + \left( \operatorname{rank} K_1 - \operatorname{rank} \begin{bmatrix} A & 0 \\ 0 & B \end{bmatrix} \right)$$

which is either 2 + 0 or 2 + 2; i.e., 2 or 4.

Case 2. rank  $K_1 = \operatorname{rank} M$ Then rank  $K_1 - \operatorname{rank} \begin{bmatrix} A & 0 \\ 0 & B \end{bmatrix}$  is 0,1, or 2 and rank  $M - \operatorname{rank} A - \operatorname{rank} B = \operatorname{rank} K_1 - \operatorname{rank} \begin{bmatrix} A & 0 \\ 0 & B \end{bmatrix}$ 

which is 0,1, or 2.  $\Box$ 

#### **Proof.** (Of Theorem 4.4)

The five hypotheses of I, II, III, IV, V are mutually exclusive and exhaustive. We adopt the same convention as in the proof of Lemma 4.11 that if  $r_1r_2 \in E(G)$  then  $r_1r_2 \in E(G_A)$ .

**I.** Assume that rank A + rank B = rank  $[A x_1 x_2]$  + rank  $[y_1 y_2 B]$ . It follows that  $x_1, x_2 \in C(A)$  and  $y_1, y_2 \in C(B)$ . Then there are vectors  $u_1, v_1, u_2, v_2$  such that  $x_1 = Au_1, y_1 = Bv_1, x_2 = Au_2, y_2 = Bv_2$ 

and hence  $M = \begin{bmatrix} A & Au_1 & Au_2 & 0 \\ u_1^T A & a & b & v_1^T B \\ u_2^T A & b & c & v_2^T B \\ 0 & Bv_1 & Bv_2 & B \end{bmatrix}$ . It is straightforward that M is row and column equivalent to

$$R = \begin{bmatrix} A & 0 & 0 & 0 \\ 0 & a - u_1^T A u_1 - v_1^T B v_1 & b - u_1^T A u_2 - v_1^T B v_2 & 0 \\ 0 & b - u_2^T A u_1 - v_2^T B v_1 & c - u_2^T A u_2 - v_2^T B v_2 & 0 \\ 0 & 0 & 0 & B \end{bmatrix}$$

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So rank M = rank R = rank A + rank B + rank T where

$$T = \begin{bmatrix} a - u_1^T A u_1 - v_1^T B v_1 & b - u_1^T A u_2 - v_1^T B v_2 \\ b - u_2^T A u_1 - v_2^T B v_1 & c - u_2^T A u_2 - v_2^T B v_2 \end{bmatrix}$$

We now explain why rank *T* is 0 or 1. If the off-diagonal entry of *T* is 0 then since  $M \in \mathcal{MR}(G)$ , the two diagonal entries of *T* must be 0. And if the off-diagonal entry of *T* is nonzero then since *a* and *c* could be chosen to make det T = 0 and since  $M \in \mathcal{MR}(G)$  it must be the case that they were so chosen.

Case 1. *T* is the zero matrix. Then rank  $M = \operatorname{rank} A + \operatorname{rank} B$  and  $a = u_1^T A u_1 + v_1^T B v_1$ ,  $b = u_1^T A u_2 + v_1^T B v_2$  ( $= u_2^T A u_1 + v_2^T B v_1$ ) and  $c = u_2^T A u_2 + v_2^T B v_2$ .

$$So M = \begin{bmatrix} A & Au_1 & Au_2 & 0 \\ u_1^T A & u_1^T Au_1 + v_1^T Bv_1 & u_1^T Au_2 + v_1^T Bv_2 & v_1^T B \\ u_2^T A & u_2^T Au_1 + v_2^T Bv_1 & u_2^T Au_2 + v_2^T Bv_2 & v_2^T B \\ 0 & Bv_1 & Bv_2 & B \end{bmatrix}.$$
  
Let  $\widetilde{M_{\mathcal{A}}} = \begin{bmatrix} A & Au_1 & Au_2 & 0 \\ u_1^T A & u_1^T Au_1 & u_1^T Au_2 & 0 \\ u_2^T A & u_2^T Au_1 & u_2^T Au_2 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}, \quad \widetilde{M_{\mathcal{B}}} = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & v_1^T Bv_1 & v_1^T Bv_2 & v_1^T B \\ 0 & v_2^T Bv_1 & v_2^T Bv_2 & v_2^T B \\ 0 & Bv_1 & Bv_2 & B \end{bmatrix}.$ 

Then  $M = \widetilde{M_A} + \widetilde{M_B}$ , rank  $\widetilde{M_A} = \operatorname{rank} A$  and rank  $\widetilde{M_B} = \operatorname{rank} B$ . Subcase 1.  $v_1^T B v_2 = 0$ .

Then  $u_1^T A u_2 = b \neq 0$  if and only if  $r_1 r_2 \in E(G)$  if and only if  $r_1 r_2 \in E(\widetilde{G_A})$ . Then  $\widetilde{M_A} \in S(\widetilde{G_A})$  and  $\widetilde{M_B} \in S(\widetilde{G_B})$ . By Lemma 4.11,

$$mr(G_{\mathcal{A}}) + mr(G_{\mathcal{B}}) \ge mr(G) = \operatorname{rank} M = \operatorname{rank} A + \operatorname{rank} B$$
$$= \operatorname{rank} \widetilde{M_{\mathcal{A}}} + \operatorname{rank} \widetilde{M_{\mathcal{B}}} \ge mr(\widetilde{G_{\mathcal{A}}}) + mr(\widetilde{G_{\mathcal{B}}}) = mr(G_{\mathcal{A}}) + mr(G_{\mathcal{B}}).$$

It follows that rank  $\widetilde{M_A} = \operatorname{mr}(\widetilde{G_A})$  and rank  $\widetilde{M_B} = \operatorname{mr}(\widetilde{G_B})$  so  $\widetilde{M_A} \in \mathcal{MR}(\widetilde{G_A})$ ,  $\widetilde{M_B} \in \mathcal{MR}(\widetilde{G_B})$  and  $M \in \mathcal{MR}(\widetilde{G_A}) + \mathcal{MR}(\widetilde{G_B})$ . Furthermore  $\operatorname{mr}(G) = \operatorname{mr}(G_A) + \operatorname{mr}(G_B)$ .

Subcase 2.  $v_1^T B v_2 \neq 0$ .

Then  $\widetilde{M_{\mathcal{B}}} \in \mathcal{S}(\widetilde{H_{\mathcal{B}}})$ . We claim that  $\widetilde{M_{\mathcal{A}}} \in \mathcal{S}(\widetilde{H_{\mathcal{A}}})$  also. For if  $r_1r_2 \notin E(G)$ ,  $r_1r_2 \in E(\widetilde{H_{\mathcal{A}}})$  and  $u_1^TAu_2 = -v_1^TBv_2 \neq 0$ . If  $r_1r_2 \in E(G)$ , there is a double edge between  $r_1$  and  $r_2$  in  $\widetilde{H_{\mathcal{A}}}$ . Here  $u_1^TAu_2$  may be zero or nonzero for any  $F \neq F_2$ . But if  $F = F_2$ ,

 $1 = b = u_1^T A u_2 + v_1^T A v_2 = u_1^T A u_2 + 1$ 

and  $u_1^T A u_2 = 0$ . So in either case  $\widetilde{M_A} \in \mathcal{S}(\widetilde{H_A})$ . By Lemma 4.11,

 $mr(H_A) + mr(H_B) \ge mr(G) = rank M = rank A + rank B$ 

$$= \operatorname{rank} \widetilde{M_{\mathcal{A}}} + \operatorname{rank} \widetilde{M_{\mathcal{B}}} \ge \operatorname{mr}(\widetilde{H_{\mathcal{A}}}) + \operatorname{mr}(\widetilde{H_{\mathcal{B}}}) = \operatorname{mr}(H_{\mathcal{A}}) + \operatorname{mr}(H_{\mathcal{B}}).$$

It follows that rank  $\widetilde{M_{\mathcal{A}}} = \operatorname{mr}(\widetilde{H_{\mathcal{A}}})$  and rank  $\widetilde{M_{\mathcal{B}}} = \operatorname{mr}(\widetilde{H_{\mathcal{B}}})$  so  $\widetilde{M_{\mathcal{A}}} \in \mathcal{MR}(\widetilde{H_{\mathcal{A}}}), \widetilde{M_{\mathcal{B}}} \in \mathcal{MR}(\widetilde{H_{\mathcal{B}}})$ , and  $M \in \mathcal{MR}(\widetilde{H_{\mathcal{A}}}) + \mathcal{MR}(\widetilde{H_{\mathcal{B}}})$ . Furthermore  $\operatorname{mr}(G) = \operatorname{mr}(H_{\mathcal{A}}) + \operatorname{mr}(H_{\mathcal{B}})$ .

Case 2. Now assume rank T = 1. Then rank  $M = \operatorname{rank} A + \operatorname{rank} B + 1$ . Let

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$$\widetilde{M_{\mathcal{A}}} = \begin{bmatrix} A & Au_1 & Au_2 & 0 \\ u_1^T A & a - v_1^T B v_1 & b - v_1^T B v_2 & 0 \\ u_2^T A & b - v_2^T B v_1 & c - v_2^T B v_2 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}, \widetilde{M_{\mathcal{B}}} = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & v_1^T B v_1 & v_1^T B v_2 & v_1^T B \\ 0 & v_2^T B v_1 & v_2^T B v_2 & v_2^T B \\ 0 & B v_1 & B v_2 & B \end{bmatrix}$$

Then  $M = \widetilde{M_A} + \widetilde{M_B}$ , rank  $\widetilde{M_B} = \operatorname{rank} B$  and rank  $\widetilde{M_A} = \operatorname{rank} A + 1$  since  $\widetilde{M_A}$  is row and column equivalent to  $A \oplus T$ . So

$$mr(G) = \operatorname{rank} M = \operatorname{rank} A + 1 + \operatorname{rank} B = \operatorname{rank} \widetilde{M_A} + \operatorname{rank} \widetilde{M_B}, \qquad (E_2)$$

Subcase 1.  $v_1^T B v_2 = 0$ .

Then  $b - v_1^T B v_2 = b$  and whether or not b = 0,  $\widetilde{M_A} \in \mathcal{S}(\widetilde{G_A})$  and  $\widetilde{M_B} \in \mathcal{S}(\widetilde{G_B})$ . Then by  $(E_2)$  and Lemma 4.11,

$$\operatorname{mr}(G) = \operatorname{rank} \widetilde{M_{\mathcal{A}}} + \operatorname{rank} \widetilde{M_{\mathcal{B}}} \ge \operatorname{mr}(\widetilde{G_{\mathcal{A}}}) + \operatorname{mr}(\widetilde{G_{\mathcal{B}}}) = \operatorname{mr}(G_{\mathcal{A}}) + \operatorname{mr}(G_{\mathcal{B}}) \ge \operatorname{mr}(G).$$

Then we have  $\widetilde{M_{\mathcal{A}}} \in \mathcal{MR}(\widetilde{G_{\mathcal{A}}}), \widetilde{M_{\mathcal{B}}} \in \mathcal{MR}(\widetilde{G_{\mathcal{B}}})$  and  $mr(G) = mr(G_{\mathcal{A}}) + mr(G_{\mathcal{B}})$ .

Subcase 2.  $v_1^T B v_2 \neq 0$ .

Then  $\widetilde{M_{\mathcal{B}}} \in \mathscr{MR}(\widetilde{H_{\mathcal{B}}})$ . If  $r_1r_2 \notin E(G)$ ,  $r_1r_2 \in E(\widetilde{H_{\mathcal{A}}})$ ; also  $b = 0 \Rightarrow b - v_1^T Bv_2 \neq 0$  and  $\widetilde{M_{\mathcal{A}}} \in \mathcal{S}(\widetilde{H_{\mathcal{A}}})$ . If  $r_1r_2 \in E(G)$ , there is a double edge between  $r_1$  and  $r_2$  in  $\widetilde{H_{\mathcal{A}}}$ . We only need to check the case in which  $F = F_2$  and in that case  $b = 1 = v_1^T Bv_2 \Rightarrow b - v_1^T Bv_2 = 0$ . So  $M_{\mathcal{A}} \in \mathcal{S}(\widetilde{H_{\mathcal{A}}})$ . Again by  $(E_2)$  and Lemma 4.11,

$$\operatorname{mr}(G) = \operatorname{rank} \widetilde{M_{\mathcal{A}}} + \operatorname{rank} \widetilde{M_{\mathcal{B}}} \ge \operatorname{mr}(\widetilde{H_{\mathcal{A}}}) + \operatorname{mr}(\widetilde{H_{\mathcal{B}}}) = \operatorname{mr}(H_{\mathcal{A}}) + \operatorname{mr}(H_{\mathcal{B}}) \ge \operatorname{mr}(G).$$

Now  $\widetilde{M_{\mathcal{A}}} \in \mathscr{MR}(\widetilde{H_{\mathcal{A}}}), \widetilde{M_{\mathcal{B}}} \in \mathscr{MR}(\widetilde{H_{\mathcal{B}}})$  and  $\operatorname{mr}(G) = \operatorname{mr}(H_{\mathcal{A}}) + \operatorname{mr}(H_{\mathcal{B}})$ . **II.** Now assume (*EI*<sub>1</sub>):

 $\operatorname{rank} A + \operatorname{rank} B = \operatorname{rank} [A x_1] + \operatorname{rank} [y_1 B] < \operatorname{rank} [A x_1 x_2] + \operatorname{rank} [y_1 y_2 B].$ 

Because of the inequality, we can apply Lemma 4.13 to conclude

$$K_1 = \begin{bmatrix} A & x_1 & 0 \\ x_1^T & a & y_1^T \\ 0 & y_1 & B \end{bmatrix} \in \mathcal{MR}(G - r_2), \quad \operatorname{rank} K_1 = \operatorname{rank} M - 2,$$

and  $mr(G) = mr(G - r_2) + 2$ .

The equality implies that  $x_1 \in C(A)$  and  $y_1 \in C(B)$ . Write  $x_1 = Au_1$  and  $y_1 = Bv_1$  so that

$$K_1 = \begin{bmatrix} A & Au_1 & 0 \\ u_1^T A & a & v_1^T B \\ 0 & Bv_1 & B \end{bmatrix}.$$

Since *K*<sup>1</sup> is row and column equivalent to

$$R_{1} = \begin{bmatrix} A & 0 & 0 \\ 0 & a - u_{1}^{T}Au_{1} + v_{1}^{T}Bv_{1} & 0 \\ 0 & 0 & B \end{bmatrix}, \text{ rank } K_{1} = \text{ rank } R_{1}.$$

Also, since  $K_1$  is a minimum rank matrix, we must have  $a - u_1^T A u_1 - v_1^T B v_1 = 0$ . Then rank  $M = \operatorname{rank} K_1 + 2 = \operatorname{rank} R_1 + 2 = \operatorname{rank} A + \operatorname{rank} B + 2$ . Now let

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Then  $\widetilde{M_A} \in \mathcal{S}(\widetilde{G_A - r_2})$ , rank  $\widetilde{M_A} = \operatorname{rank} A$ ,  $\widetilde{M_B} \in \mathcal{S}(\widetilde{G_B - r_2})$ , rank  $\widetilde{M_B} = \operatorname{rank} B$ ,  $S_2 \in \mathcal{S}(\operatorname{Star}_2(G))$ , and  $M = \widetilde{M_A} + \widetilde{M_B} + S_2$ . Also, since  $r_1$  is a cut vertex for  $G - r_2$  and  $G - r_2$  is the vertex sum at  $r_1$  of  $G_A - r_2$  and  $G_B - r_2$ , we have by Theorem 2.3,  $\operatorname{mr}(G - r_2) \leq \operatorname{mr}(G_A - r_2) + \operatorname{mr}(G_B - r_2)$ . Therefore,

 $mr(G) = mr(G - r_2) + 2 \leqslant mr(G_A - r_2) + mr(G_B - r_2) + 2$ 

$$= \operatorname{mr}(\widetilde{G}_{\mathcal{A}} - r_2) + \operatorname{mr}(\widetilde{G}_{\mathcal{B}} - r_2) + 2 \leqslant \operatorname{rank}\widetilde{M}_{\mathcal{A}} + \operatorname{rank}\widetilde{M}_{\mathcal{B}} + 2$$

 $= \operatorname{rank} A + \operatorname{rank} B + 2 = \operatorname{rank} M = \operatorname{mr}(G).$ 

So mr(G) = mr( $G_A - r_2$ )+mr( $G_B - r_2$ )+2, rank  $\widetilde{M}_A = mr(\widetilde{G}_A - r_2)$ , and rank  $\widetilde{M}_B = mr(\widetilde{G}_B - r_2)$ . Thus  $\widetilde{M}_A \in \mathcal{MR}(\widetilde{G}_A - r_2)$  and  $\widetilde{M}_B \in \mathcal{MR}(\widetilde{G}_B - r_2)$ .

Finally, by Proposition 4.3,  $mr(Star_2(G)) = 2$  so rank  $S_2 = 2$ . Then  $S_2 \in \mathcal{MR}(Star_2(G))$ . Since  $M = \widetilde{M_A} + \widetilde{M_B} + S_2$ , the proof is complete.

**III.** The only difference between  $(EI_1)$  and  $(EI_2)$  is that the roles of  $x_1, x_2$  and of  $y_1, y_2$  are both reversed. So the result in *III* follows from that of *II*.

For convenience we let  $G_A = G_A - R$  and  $G_B = G_B - R$ .

**IV.** We first show that any of  $(E_1)$ ,  $(I_1)$ ,  $(I_2)$  imply that

 $mr(G) = mr(G_A) + mr(G_B) + 4, A \in \mathcal{MR}(G_A)$ , and  $B \in \mathcal{MR}(G_B)$ .

First, assume (*E*<sub>1</sub>), that rank  $M = \operatorname{rank} A + \operatorname{rank} B + 4$ . Since  $A \in S(G_A)$  and  $B \in S(G_B)$ , mr(*G*) = rank  $M = \operatorname{rank} A + \operatorname{rank} B + 4 \ge \operatorname{mr}(G_A) + \operatorname{mr}(G_B) + 4 \ge \operatorname{mr}(G)$ , where the last inequality follows from Lemma 4.11. So equality holds, and  $A \in \mathcal{MR}(G_A)$ , and  $B \in \mathcal{MR}(G_B)$ .

Next, assume that  $(I_1)$  holds:

 $\operatorname{rank} A + \operatorname{rank} B < \operatorname{rank} [A x_1] + \operatorname{rank} [y_1 B] < \operatorname{rank} [A x_1 x_2] + \operatorname{rank} [y_1 y_2 B].$ 

By Lemma 4.13,  $K_1 \in \mathcal{MR}(G - r_2)$ , rank  $K_1 = \operatorname{rank} M - 2$ , and  $\operatorname{mr}(G) = \operatorname{mr}(G - r_2) + 2$ . Now either rank  $[Ax_1] > \operatorname{rank} A$  or else rank  $[y_1B] > \operatorname{rank} B$ ; equivalently,  $x_1 \notin C(A)$  or  $y_1 \notin C(B)$ . Applying Proposition 2.11, rank  $\begin{bmatrix} A & 0 \\ 0 & B \end{bmatrix} = \operatorname{rank} K_1(r_1) = \operatorname{rank} K_1 - 2$ . Then

 $\operatorname{mr}(G) = \operatorname{rank} M = \operatorname{rank} K_1 + 2 = \operatorname{rank} A + \operatorname{rank} B + 4 \ge \operatorname{mr}(G_A) + \operatorname{mr}(G_B) + 4 \ge \operatorname{mr}(G),$ 

and again equality holds,  $A \in \mathcal{MR}(G_A)$  and  $B \in \mathcal{MR}(G_B)$ .

The case in which  $(I_2)$  holds is similar, so any of  $(E_1)$ ,  $(I_1)$ ,  $(I_2)$  imply  $mr(G) = mr(G_A) + mr(G_B) + 4$ ,  $A \in \mathcal{MR}(G_A)$ , and  $B \in \mathcal{MR}(G_B)$ .

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Since  $A \in \mathcal{MR}(G_A)$  and  $B \in \mathcal{MR}(G_B)$ , we have  $\widetilde{A} \in \mathcal{MR}(\widetilde{G_A})$  and  $\widetilde{B} \in \mathcal{MR}(\widetilde{G_B})$ . Now  $S \in S_0(\operatorname{Star}_{12}(G))$  and clearly rank  $S \leq 4$ . Let S' be any matrix in  $\mathcal{MR}_0(\operatorname{Star}_{12}(G))$ , and let  $M' = \widetilde{A} + \widetilde{B} + S' \in S(G)$ . Then

$$mr(G) \leq \operatorname{rank} M' \leq \operatorname{rank} A + \operatorname{rank} B + \operatorname{rank} S' \leq \operatorname{rank} A + \operatorname{rank} B + 4 = mr(G)$$

which implies rank S' = 4. Therefore  $mr_0(Star_{12}(G)) = 4$  and  $S \in \mathcal{MR}_0(Star_{12}(G))$ . Then  $M \in \mathcal{MR}(\widetilde{G_A}) + \mathcal{MR}(\widetilde{G_B}) + \mathcal{MR}_0(Star_{12}(G))$ . We arrive at the same conclusion if  $(I_2)$  holds, so this concludes the proof of IV.

**V.** By Lemma 4.14, rank  $M \leq \operatorname{rank} A + \operatorname{rank} B + 2$ . By the inequality of  $(IE_1)$ , either  $x_1 \notin C(A)$  or else  $y_1 \notin C(B)$ . Without loss of generality say  $x_1 \notin C(A)$ . The equality of  $(IE_1)$  implies that  $x_2 \in C([A x_1])$  and  $y_2 \in C([y_1 B])$ . Therefore there are vectors u and v and scalars h and k such that  $x_2 = Au + hx_1$  and  $y_2 = Bv + ky_1$ . By the inequality of  $(IE_2)$ , h and k cannot both be 0. Now

$$M = \begin{bmatrix} A & x_1 & Au + hx_1 & 0 \\ x_1^T & a & b & y_1^T \\ u^T A + hx_1^T & b & c & v^T B + ky_1^T \\ 0 & y_1 & Bv + ky_1 & B \end{bmatrix}$$

and it is straightforward to show that *M* is row and column equivalent to

$$M' = \begin{bmatrix} A & x_1 & 0 & 0 \\ x_1^T & a & b - x_1^T u - ah & y_1^T \\ 0 & b - u^T x_1 - ha & c - u^T A u + h^2 a - 2hb & v^T B + (k - h)y_1^T \\ 0 & y_1 & Bv + (k - h)y_1 & B \end{bmatrix}$$

Since  $x_1 \notin C(A)$  we know that the rank of the matrix

$$M'(2) = \begin{bmatrix} A & 0 & 0 \\ 0 & c - u^{T}Au + h^{2}a - 2hb & v^{T}B + (k - h)y_{1}^{T} \\ 0 & Bv + (k - h)y_{1} & B \end{bmatrix}$$

is rank M - 2. Since

rank A + rank  $B \le \operatorname{rank} M'(2) = \operatorname{rank} M - 2 \le \operatorname{rank} A + \operatorname{rank} B$ , rank M = rank A + rank B + 2 and rank M'(2) = rank A + rank B.

It follows that rank  $B = \operatorname{rank} \begin{bmatrix} c - u^T A u + h^2 a - 2hb \ v^T B + (k - h)y_1^T \\ Bv + (k - h)y_1 & B \end{bmatrix}$ . Thus  $Bv + (k - h)y_1 \in C(B)$ which implies  $(k - h)y_1 \in C(B)$ . Then either k = h or  $y_1 \in C(B)$ , so we consider these two cases.

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Case 1. 
$$k = h$$
.  
Let  $\widetilde{M_A} = \begin{bmatrix} A & 0 & Au & 0 \\ 0 & 0 & 0 & 0 \\ u^T A & 0 & u^T Au & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix}$ ,  $\widetilde{M_B} = \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 0 & v^T Bv & v^T B \\ 0 & 0 & v & B \end{bmatrix}$ , and  
 $N = M - \widetilde{M_A} - \widetilde{M_B} = \begin{bmatrix} 0 & x_1 & hx_1 & 0 \\ x_1^T & a & b & y_1^T \\ hx_1^T & b & c - u^T Au - v^T Bv hy_1^T \\ 0 & y_1 & hy_1 & 0 \end{bmatrix}$ .  
Then since  $h = k, M'(2) = \begin{bmatrix} A & 0 & 0 \\ 0 & c - u^T Au + h^2 a - 2hb & v^T B \\ 0 & Bv & B \end{bmatrix}$ . Since rank  $M'(2) = rank A + rank B$ ,  
it follows that  $v^T Bv = c - u^T Au + h^2 a - 2hb \Rightarrow c - u^T Au - v^T Bv = 2hb - h^2 a$ . Thus  $N = \begin{bmatrix} 0 & x_1 & hx_1 & 0 \\ x_1^T & a & b & y_1^T \\ hx_1^T & b & 2hb - h^2 a & hy_1^T \\ 0 & y_1 & hy_1 & 0 \end{bmatrix}$  which is row and column equivalent to  $\begin{bmatrix} 0 & x_1 & 0 & 0 \\ x_1^T & a & b & y_1^T \\ 0 & b - ha & 0 & 0 \\ 0 & y_1 & 0 & 0 \end{bmatrix}$ , which as rank 2.

Since  $Au = -hx_1 + x_2$ ,  $Bv = -ky_1 + y_2 = -hy_1 + y_2$ , and h is nonzero, by Lemma 4.12,  $\widetilde{M_A} \in \mathcal{S}(\widetilde{G_A})$  and  $\widetilde{M_B} \in \mathcal{S}(\overline{G_B})$ . Also  $h \neq 0$  gives that  $N \in \mathcal{S}_0(\operatorname{TStar}_1(G))$ . Moreover

$$mr(G) = \operatorname{rank} M = \operatorname{rank} A + \operatorname{rank} B + 2 = \operatorname{rank} \overline{\widetilde{M}_{\mathcal{A}}} + \operatorname{rank} \overline{\widetilde{M}_{\mathcal{B}}} + \operatorname{rank} N$$
  
$$\geq mr(\overline{\widetilde{G}_{\mathcal{A}}}) + mr(\overline{\widetilde{G}_{\mathcal{B}}}) + 2 = mr(\overline{G_{\mathcal{A}}}) + mr(\overline{G_{\mathcal{B}}}) + 2 \ge mr(G)$$

where the last inequality follows by Lemma 4.11. Therefore  $mr(\overline{G_A}) + mr(\overline{G_B}) + 2 = mr(G)$ , and rank  $\widetilde{M_A} = mr(\widetilde{G_A})$ , rank  $\widetilde{M_B} = mr(\widetilde{G_B})$ , and by Proposition 4.3 rank  $N = mr_0(TStar_1(G))$ . In other words,

$$\widetilde{\overline{M_{\mathcal{A}}}} \in \mathscr{MR}(\widetilde{\overline{G_{\mathcal{A}}}}), \quad \widetilde{\overline{M_{\mathcal{B}}}} \in \mathscr{MR}(\widetilde{\overline{G_{\mathcal{B}}}}), \quad \text{and} \quad N \in \mathscr{MR}_0(\mathrm{TStar}_1(G)).$$

Case 2:  $y_1 \in C(B)$ .

Thus there is a vector *z* such that  $y_1 = Bz$ . Since  $y_2 = Bv + ky_1 = Bv + kBz$ ,  $y_2 \in C(B)$  as well and there exists a vector *w* such that  $y_2 = Bw$ . Then we have

$$M = \begin{bmatrix} A & x_1 & Au + hx_1 & 0 \\ x_1^T & a & b & z^T B \\ u^T A + hx_1^T & b & c & w^T B \\ 0 & Bz & Bw & B \end{bmatrix}.$$

Since  $y_2 \in C(B)$ , the inequality of  $(IE_2)$  implies that  $x_2 \notin C(A)$ . Therefore  $h \neq 0$ .

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Since  $Au = -hx_1 + x_2$  and  $B(w - hz) = -hy_1 + y_2$ , by Lemma 4.12,  $\widetilde{M_A} \in \mathcal{S}(\widetilde{G_A})$ , and  $\widetilde{M_B} \in \mathcal{S}(\widetilde{G_B})$ . Also note  $N \in \mathcal{S}_0(\text{TStar}_1(G))$ . Substituting  $y_1 = Bz$  and Bw = Bv + kBz into M'(2), we find

$$M'(2) = \begin{bmatrix} A & 0 & 0 \\ 0 & c - u^{T}Au - 2hb + h^{2}a & (w^{T} - hz^{T})B \\ 0 & B(w - hz) & B \end{bmatrix}$$

Since M'(2) has rank equal to rank A + rank B, it follows that  $c - u^T u - 2hb + h^2 a = (w - hz)^T B(w - hz)$ . Thus  $c - u^T A u - (w - hz)^T B(w - hz) = 2hb - h^2 a$ . Substituting this into N we obtain  $N = \begin{bmatrix} 0 & x_1 & hx_1 & 0 \\ x_1^T & a & b & z^T B \\ hx_1^T & b & 2hb - h^2 a & hz^T B \\ 0 & Bz & hBz & 0 \end{bmatrix}$ . Row and column reducing N we obtain  $\begin{bmatrix} 0 & x_1 & 0 & 0 \\ x_1^T & a & b - ha & z^T B \\ 0 & b - ha & 0 & 0 \\ 0 & Bz & 0 & c \end{bmatrix}$ , which has rank 2.

The remainder of the proof of Case 2 is the same as the end of the proof of Case 1.

If we had begun the proof by considering the second given inequality to conclude that either  $x_2 \notin C(A)$  or  $y_2 \notin C(B)$ , an entirely similar proof yields the conclusion

$$M \in \mathcal{MR}(\widetilde{\widetilde{G_A}}) + \mathcal{MR}(\widetilde{\widetilde{G_B}}) + \mathcal{MR}_0(\mathrm{TStar}_2(G)).$$

This concludes the proof.  $\Box$ 

Given any minimum rank matrix M corresponding to a graph G as in Definition 4.1, Theorem 4.4 explains how M can be decomposed (except for rare exceptions as in Example 4.10) as a sum of mini-

mum rank matrices of simpler graphs related to *G*. We now ask the question, is it possible to build the class of minimum rank matrices of  $G(\mathscr{MR}(G))$  by summing the classes of minimum rank matrices of simpler graphs related to *G*? Again, except for rare exceptions, the answer is yes and is given by the next theorem.

**Definition 4.15.** Let *F* be a field. Let  $\mathcal{G}_2$  be the class of all connected graphs with a 2-separation ( $\mathcal{G}_A$ ,  $\mathcal{G}_B$ ) as in Definition 4.1, and assume neither  $r_1$  nor  $r_2$  is a cut vertex as in the hypothesis of Theorem 4.4. A particular graph may appear more than once in  $\mathcal{G}_2$  because it may have several such two separations. So properly each graph in  $\mathcal{G}_2$  is a graph with two labeled vertices  $r_1$ ,  $r_2$ , all other vertices unlabeled, and a specified 2-separation ( $\mathcal{G}_A$ ,  $\mathcal{G}_B$ ). Let

$$\mathscr{C}_{1.1} = \{ G \in \mathcal{G}_2 \mid \mathrm{mr}^F(G) = \mathrm{mr}^F(G_A) + \mathrm{mr}^F(G_B) \}$$
  

$$\mathscr{C}_{1.2} = \{ G \in \mathcal{G}_2 \mid \mathrm{mr}^F(G) = \mathrm{mr}^F(H_A) + \mathrm{mr}^F(H_B) \}$$
  

$$\mathscr{C}_2 = \{ G \in \mathcal{G}_2 \mid \mathrm{mr}^F(G) = \mathrm{mr}^F(G_A - r_2) + \mathrm{mr}^F(G_B - r_2) + 2 \}$$
  

$$\mathscr{C}_3 = \{ G \in \mathcal{G}_2 \mid \mathrm{mr}^F(G) = \mathrm{mr}^F(G_A - r_1) + \mathrm{mr}^F(G_B - r_1) + 2 \}$$
  

$$\mathscr{C}_4 = \{ G \in \mathcal{G}_2 \mid \mathrm{mr}^F(G) = \mathrm{mr}^F(G_A - R) + \mathrm{mr}^F(G_B - R) + 4 \}$$
  

$$\mathscr{C}_5 = \{ G \in \mathcal{G}_2 \mid \mathrm{mr}^F(G) = \mathrm{mr}^F(\overline{G_A}) + \mathrm{mr}^F(\overline{G_B}) + 2 \}$$

For each  $G \in \mathcal{G}_2$  let

$$J(G) = \{i \in \{1.1, 1.2, 2, 3, 4, 5\} \mid G \in \mathscr{C}_i\}.$$

Now define the following matrix classes for  $G \in \mathcal{G}_2$ ,

$$\begin{aligned} \mathcal{D}_{1,1}(G) &= \mathscr{MR}^{F}(\widetilde{G_{A}}) + \mathscr{MR}^{F}(\widetilde{G_{B}}) \\ \mathcal{D}_{1,2}(G) &= \mathscr{MR}^{F}(\widetilde{H_{A}}) + \mathscr{MR}^{F}(\widetilde{H_{B}}) \\ \mathcal{D}_{2}(G) &= \mathscr{MR}^{F}(\widetilde{G_{A}} - r_{2}) + \mathscr{MR}^{F}(\widetilde{G_{B}} - r_{2}) + \mathscr{MR}^{F}(\operatorname{Star}_{2}(G)) \\ \mathcal{D}_{3}(G) &= \mathscr{MR}^{F}(\widetilde{G_{A}} - r_{1}) + \mathscr{MR}^{F}(\widetilde{G_{B}} - r_{1}) + \mathscr{MR}^{F}(\operatorname{Star}_{1}(G)) \\ \mathcal{D}_{4}(G) &= \mathscr{MR}^{F}(\widetilde{G_{A}} - R) + \mathscr{MR}^{F}(\widetilde{G_{B}} - R) + \mathscr{MR}^{F}(\operatorname{Star}_{12}(G)) \\ \mathcal{D}_{5}(G) &= (\mathscr{MR}^{F}(\widetilde{G_{A}}) + \mathscr{MR}^{F}(\widetilde{G_{B}}) + \mathscr{MR}^{F}_{0}(\operatorname{TStar}_{1}(G))) \\ & \cup (\mathscr{MR}^{F}(\widetilde{G_{A}}) + \mathscr{MR}^{F}(\widetilde{G_{B}}) + \mathscr{MR}^{F}_{0}(\operatorname{TStar}_{2}(G))). \end{aligned}$$

**Theorem 4.16.** Let  $G \in \mathcal{G}_2$ . Then

$$\mathscr{MR}^{F}(G) = \left(\bigcup_{i \in J(G)} \mathcal{D}_{i}(G)\right) \cap \mathscr{S}^{F}(G).$$

**Proof.** First note that  $J(G) \neq \emptyset$  by Theorem 2.6. Let  $M \in \mathcal{MR}(G)$ . We show  $M \in \bigcup_{i \in J(G)} D_i$ .

Since the hypotheses in the five statements of Theorem 4.4 are mutually exclusive and exhaustive, M satisfies the hypothesis of exactly one of the statements. Call this statement *R*. Case 1. Suppose  $R \in \{II, III, IV, V\}$ . For notational convenience we define

$$I(R) = \begin{cases} 2 & \text{if } R = II \\ 3 & \text{if } R = III \\ 4 & \text{if } R = IV \\ 5 & \text{if } R = V. \end{cases}$$

By Theorem 4.4,  $G \in \mathscr{G}_{I(R)}$  and  $M \in \mathcal{D}_{I(R)}$ . Thus  $I(R) \in J(G)$  and  $M \in \bigcup_{i \in J(G)} D_i$ . Case 2. Suppose R = I. By Theorem 4.4  $G \in \mathscr{C}_{1,1} \cup \mathscr{C}_{1,2}$  and  $M \in \mathcal{D}_{1,1} \cup \mathcal{D}_{1,2}$ . If  $\{1.1, 1.2\} \subseteq J(G)$ ,  $M \in \bigcup_{i \in J(G)} D_i$ . If  $1.2 \notin J(G)$ ,  $\operatorname{mr}(G) < \operatorname{mr}(H_A) + \operatorname{mr}(H_B)$ . Since R = I we must have  $\operatorname{mr}(G) = \operatorname{mr}(G_A) + \operatorname{mr}(G_B)$  and  $M \in \mathcal{D}_{1,1}$ . Thus  $1.1 \in J(G)$  and  $M \in \bigcup_{i \in J(G)} D_i$ . Similarly, if  $1.1 \notin J(G)$ ,  $M \in \bigcup_{i \in J(G)} D_i$ .

Therefore  $\mathscr{MR}(G) \subseteq \bigcup_{i \in J(G)} D_i \cap \mathscr{S}(G)$ , since  $\mathscr{MR}(G) \subseteq \mathscr{S}(G)$ .

Let  $M \in (\bigcup_{i \in J(G)} \mathcal{D}_i(G)) \cap \mathcal{S}(G)$ . Then M is in at least one of  $\mathcal{D}_{1,1}(G) \cap \mathcal{S}(G)$ ,  $\mathcal{D}_{1,2}(G) \cap \mathcal{S}(G)$ ,  $\mathcal{D}_2(G) \cap \mathcal{S}(G)$ ,  $\mathcal{D}_3(G) \cap \mathcal{S}(G)$ ,  $\mathcal{D}_4(G) \cap \mathcal{S}(G)$ ,  $\mathcal{D}_5(G) \cap \mathcal{S}(G)$ .

Suppose 1.1  $\in J(G)$  and  $M \in \mathcal{D}_{1,1}(G) \cap \mathcal{S}(G)$ . Then  $\operatorname{mr}(G) = \operatorname{mr}(G_{\mathcal{A}}) + \operatorname{mr}(G_{\mathcal{B}})$  and there exist  $\widetilde{M}_{\mathcal{A}} \in \mathcal{MR}(\widetilde{G}_{\mathcal{A}})$  and  $\widetilde{M}_{\mathcal{B}} \in \mathcal{MR}(\widetilde{G}_{\mathcal{B}})$  such that  $M = \widetilde{M}_{\mathcal{A}} + \widetilde{M}_{\mathcal{B}} \in \mathcal{S}(G)$ . Then

$$mr(G) \leq \operatorname{rank} M \leq \operatorname{rank} \widetilde{M_{\mathcal{A}}} + \operatorname{rank} \widetilde{M_{\mathcal{B}}}$$
$$= mr(\widetilde{G_{\mathcal{A}}}) + mr(\widetilde{G_{\mathcal{B}}})$$
$$= mr(G_{\mathcal{A}}) + mr(G_{\mathcal{B}}) = mr(G)$$

Then rank M = mr(G) so  $M \in \mathcal{MR}(G)$ . Suppose  $1.2 \in J(G)$  and  $M \in \mathcal{D}_{1,2}(G) \cap \mathcal{S}(G)$ . Then  $mr(G) = mr(H_A) + mr(H_B)$  and there exist  $\widetilde{M_A} \in \mathcal{MR}(\widetilde{H_A})$  and  $\widetilde{M_B} \in \mathcal{MR}(\widetilde{H_B})$  such that

 $M = \widetilde{M_A} + \widetilde{M_B} \in \mathcal{S}(G)$ . Then

 $mr(G) \leq \operatorname{rank} M \leq \operatorname{rank} \widetilde{M_{\mathcal{A}}} + \operatorname{rank} M_{\mathcal{B}}$  $= mr(\widetilde{H_{\mathcal{A}}}) + mr(\widetilde{H_{\mathcal{B}}})$  $= mr(H_{\mathcal{A}}) + mr(H_{\mathcal{B}}) = mr(G).$ 

Then rank M = mr(G) so  $M \in \mathcal{MR}(G)$ .

Suppose  $2 \in J(G)$  and  $M \in \mathcal{D}_2(G) \cap \mathcal{S}(G)$ . Then  $\operatorname{mr}(G) = \operatorname{mr}(G_{\mathcal{A}} - r_2) + \operatorname{mr}(G_{\mathcal{B}} - r_2) + 2$  and there exist  $\widetilde{M}_{\mathcal{A}} \in \mathscr{MR}(\widetilde{G}_{\mathcal{A}} - r_2)$ ,  $\widetilde{M}_{\mathcal{B}} \in \mathscr{MR}(\widetilde{G}_{\mathcal{B}} - r_2)$  and  $M_1 \in \mathscr{MR}(\operatorname{Star}_2(G))$  such that  $M = \widetilde{M}_{\mathcal{A}} + \widetilde{M}_{\mathcal{B}} + M_1 \in \mathcal{S}(G)$ . Then similar to the previous cases and by Proposition 4.3

$$r(G) \leq \operatorname{rank} M \leq \operatorname{rank} \widetilde{M_{\mathcal{A}}} + \operatorname{rank} \widetilde{M_{\mathcal{B}}} + \operatorname{rank} M_{1}$$
  
= mr( $\widetilde{G_{\mathcal{A}}} - r_{2}$ ) + mr( $\widetilde{G_{\mathcal{B}}} - r_{2}$ ) + mr(Star<sub>2</sub>(G))  
= mr( $G_{\mathcal{A}} - r_{2}$ ) + mr( $G_{\mathcal{B}} - r_{2}$ ) + 2 = mr(G).

Then rank M = mr(G) so  $M \in \mathcal{MR}(G)$ .

m

Suppose  $3 \in J(G)$  and  $M \in \mathcal{D}_3(G) \cap \mathcal{S}(G)$ . This case follows by replacing 2 with 1 in the previous case.

Suppose  $4 \in J(G)$  and  $M \in \mathcal{D}_4(G) \cap \mathcal{S}(G)$ . Then  $\operatorname{mr}(G) = \operatorname{mr}(G_A - R) + \operatorname{mr}(G_B - R) + 4$  and there exist  $\widetilde{M_A} \in \mathcal{MR}(\widetilde{G_A - R}), \widetilde{M_B} \in \mathcal{MR}(\widetilde{G_B - R})$ and  $M_{12} \in \mathcal{MR}_0(\operatorname{Star}_{12}(G))$  such that  $M = \widetilde{M_A} + \widetilde{M_B} + M_{12} \in \mathcal{S}(G)$ . Then similar to the previous cases and by Proposition 4.3

 $mr(G) \leq \operatorname{rank} M \leq \operatorname{rank} \widetilde{M_{\mathcal{A}}} + \operatorname{rank} \widetilde{M_{\mathcal{B}}} + \operatorname{rank} M_{12}$  $= mr(\widetilde{G_{\mathcal{A}} - R}) + mr(\widetilde{G_{\mathcal{B}} - R}) + mr_0(\operatorname{Star}_{12}(G))$  $\leq mr(G_{\mathcal{A}} - R) + mr(G_{\mathcal{B}} - R) + 4 = mr(G).$ 

Then rank M = mr(G) so  $M \in \mathcal{MR}(G)$ .

Suppose  $5 \in J(G)$  and  $M \in D_5(G) \cap S(G)$ .

Then  $\operatorname{mr}(G) = \operatorname{mr}(\overline{G_A}) + \operatorname{mr}(\overline{G_B}) + 2$  and there exist  $\widetilde{M_A} \in \mathcal{MR}(\widetilde{G_A}), \widetilde{M_B} \in \mathcal{MR}(\widetilde{G_B})$  and  $M_1 \in \mathcal{MR}_0(\operatorname{TStar}_1(G))$  or  $\mathcal{MR}_0(\operatorname{TStar}_2(G))$  such that  $M = \widetilde{M_A} + \widetilde{M_B} + M_1 \in \mathcal{S}(G)$ . We suppose  $M_1 \in \mathcal{MR}_0(\operatorname{TStar}_1(G))$  and note that the argument is similar if  $M_1 \in \mathcal{MR}_0(\operatorname{TStar}_2(G))$ . Then similar to the previous cases and by Proposition 4.3

$$mr(G) \leq \operatorname{rank} M \leq \operatorname{rank} \widetilde{M_{\mathcal{A}}} + \operatorname{rank} \widetilde{M_{\mathcal{B}}} + \operatorname{rank} M_1$$
  
= mr( $\widetilde{\overline{G_{\mathcal{A}}}}$ ) + mr( $\widetilde{\overline{G_{\mathcal{B}}}}$ ) + mr<sub>0</sub>(TStar<sub>1</sub>(G))  
= mr( $\overline{G_{\mathcal{A}}}$ ) + mr( $\overline{G_{\mathcal{B}}}$ ) + 2 = mr(G).

Then rank M = mr(G) so  $M \in \mathcal{MR}(G)$ .

Thus in every case,  $M \in \mathscr{MR}(G)$  and so  $\mathscr{MR}(G) \supset (\bigcup_{i \in J(G)} \mathcal{D}_i(G)) \cap \mathcal{S}(G)$ . Therefore  $\mathscr{MR}(G) = (\bigcup_{i \in J(G)} \mathcal{D}_i(G)) \cap \mathcal{S}(G)$ .  $\Box$ 

Example 4.17. Let G be



as in Example 1.3. We use Theorem 4.16 and Corollary 3.3 to determine the structure of every minimum

rank matrix with graph G. Let  $M \in \mathcal{MR}(G)$ . Let  $G_A$  be and  $G_B$  be

Then  $(G_A, G_B)$  is a 2-separation of G,  $\operatorname{mr}(G) = \operatorname{mr}(G_A) + \operatorname{mr}(G_B)$  and no other term in Theorem 2.6 achieves the minimum rank (see Example 2.7). By Theorem 4.16,  $\mathcal{MR}(G) = (\mathcal{MR}(\widetilde{G}_A) + \mathcal{MR}(\widetilde{G}_B)) \cap S(G) = \mathcal{MR}(\widetilde{G}_A) + \mathcal{MR}(\widetilde{G}_B)$ . Thus there exist  $\widetilde{M}_A \in \mathcal{MR}(\widetilde{G}_A)$  and  $\widetilde{M}_B \in \mathcal{MR}(\widetilde{G}_B)$  such that  $M = \widetilde{M}_A + \widetilde{M}_B$ . Further,  $\widetilde{G}_B$  is a vertex sum of

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Since  $r_5(G_1) = 0$  and  $r_5(G_2) = 0$  by Corollary 3.3,  $\mathcal{MR}(\widetilde{G}_{\mathcal{B}}) = \mathcal{MR}(\widetilde{G}_1) + \mathcal{MR}(\widetilde{G}_2)$ . Thus there exist  $\widetilde{M}_1 \in \mathcal{MR}(\widetilde{G}_1)$  and  $\widetilde{M}_2 \in \mathcal{MR}(\widetilde{G}_2)$  such that  $\widetilde{M}_{\mathcal{B}} = \widetilde{M}_1 + \widetilde{M}_2$ . Thus  $M = \widetilde{M}_{\mathcal{A}} + \widetilde{M}_1 + \widetilde{M}_2$ . The minimum rank of each of  $\widetilde{G}_{\mathcal{A}}$ ,  $\widetilde{G}_1$ , and  $\widetilde{G}_2$  is one. Since every rank one matrix has the form  $\pm xx^T$ ,

$$M = \pm \begin{bmatrix} a_{1} \\ a_{2} \\ a_{3} \\ 0 \\ 0 \\ 0 \end{bmatrix} \begin{bmatrix} a_{1} & a_{2} & a_{3} & 0 & 0 & 0 \end{bmatrix} \pm \begin{bmatrix} 0 \\ b_{2} \\ 0 \\ b_{4} \\ b_{5} \\ 0 \end{bmatrix} \begin{bmatrix} 0 & b_{2} & 0 & b_{4} & b_{5} & 0 \end{bmatrix}$$
$$\pm \begin{bmatrix} 0 \\ 0 \\ c_{3} \\ 0 \\ c_{5} \\ c_{6} \end{bmatrix} \begin{bmatrix} 0 & 0 & c_{3} & 0 & c_{5} & c_{6} \end{bmatrix}.$$

Thus every matrix in  $M\mathscr{R}(G)$  can be constructed using the form given above.

**Example 4.18.** Let *G* be the house graph



and let  $M \in \mathcal{MR}(G)$ . We apply Theorem 4.16 with the 2-separation

$$G_{\mathcal{A}}:$$
  $3$   $G_{\mathcal{B}}:$   $4$   $5$ 

It is then easy to check that  $J(G) = \{1.2, 5\}$ . By Theorem 4.16,

$$\mathcal{MR}(G) = (\mathcal{MR}(\widetilde{H_{\mathcal{A}}}) + \mathcal{MR}(\widetilde{H_{\mathcal{B}}}))$$
$$\cup (\mathcal{MR}(\widetilde{\widetilde{G_{\mathcal{A}}}}) + \mathcal{MR}(\widetilde{\widetilde{G_{\mathcal{B}}}}) + \mathcal{MR}_{0}(\mathrm{TStar}_{1}(G)))$$
$$\cup (\mathcal{MR}(\widetilde{\widetilde{G_{\mathcal{A}}}}) + \mathcal{MR}(\widetilde{\widetilde{G_{\mathcal{B}}}}) + \mathcal{MR}_{0}(\mathrm{TStar}_{2}(G)))) \cap \mathcal{S}(G)$$

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Case 1.  $M = \widetilde{M_A} + \widetilde{M_B}$  where  $\widetilde{M_A} \in \mathcal{MR}(\widetilde{H_A})$  and  $\widetilde{M_B} \in \mathcal{MR}(\widetilde{H_B})$ . Since  $\widetilde{H_A}$  is the union of a clique on 3 vertices and 2 isolated vertices, any matrix in  $\mathcal{MR}(\widetilde{H_A})$  can be expressed as  $\pm aa^T$  where  $a^T = [a_1 a_2 a_3 0 0]$ , all  $a_i \neq 0$ . To finish this case we need to decompose  $\mathcal{MR}(\widetilde{H_B})$  where  $\widetilde{H_B}$  is



To obtain a minimum rank matrix for  $\widetilde{H_{\beta}}$  the 2,3 entry must be nonzero and thus decomposing  $\mathcal{MR}(\widetilde{H_{\beta}})$ 

is equivalent to decomposing  $\mathcal{MR}(C_4 \cup K_1)$ . Consider the 2-separation  $G'_{\mathcal{A}} = \widetilde{H_{\mathcal{B}}} - \{5\}$  and  $G'_{\mathcal{B}} = \widetilde{H_{\mathcal{B}}} - \{1, 2\}$ . Then again  $J(\widetilde{H_{\mathcal{B}}}) = \{1.2, 5\}$  and  $\widetilde{M_{\mathcal{B}}} \in \mathcal{D}_{1,2}(\widetilde{H_{\mathcal{B}}}) \cup \mathcal{D}_{5}(\widetilde{H_{\mathcal{B}}}).$ 

1.  $\widetilde{M_{\mathcal{B}}} \in \mathcal{D}_{1,2}(\widetilde{H_{\mathcal{B}}}).$ 

Since  $\widetilde{H'_{A}}$  and  $\widetilde{H'_{B}}$  both consist of the union of a clique on 3 vertices and two isolated vertices  $\operatorname{mr}(\widetilde{H'_{A}}) = \operatorname{mr}(\widetilde{H'_{B}}) = 1$  and  $\widetilde{M_{B}}$  has the form  $\pm bb^{T} \pm cc^{T}$  with  $b^{T} = [0 \ b_{2} \ b_{3} \ b_{4} \ 0]$ , all  $b_{i} \neq 0$ , and  $c^{T} = [0 \ 0 \ c_{3} \ c_{4} \ c_{5}]$ , all  $c_{i} \neq 0$ , with the additional condition  $\pm b_{3}b_{4} \pm c_{3}c_{4} = 0$  since  $34 \notin E(\widetilde{H_{B}})$ . Then  $M = \pm aa^{T} \pm bb^{T} \pm cc^{T}$  with this same condition.

2. 
$$\widetilde{M_{\mathcal{B}}} \in \mathcal{D}_5(G)$$

Similar to Example 4.10,  $\operatorname{mr}(\widetilde{\widetilde{G'_{\mathcal{A}}}}) = \operatorname{mr}(\widetilde{\widetilde{G'_{\mathcal{B}}}}) = 0$  so  $\widetilde{M_{\mathcal{B}}} \in \mathcal{S}_0(\operatorname{TStar}_1(\widetilde{H_{\mathcal{B}}}))$ . Then rank  $\widetilde{M_{\mathcal{B}}} = 2$  implies that  $\widetilde{M_{\mathcal{B}}}$  can be written

$$\widetilde{M_{\mathcal{B}}} = \begin{bmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & a & ka & 0 \\ 0 & a & b & 0 & c \\ 0 & ka & 0 & -k^2b & kc \\ 0 & 0 & c & kc & 0 \end{bmatrix}$$

for some  $a, c, k \neq 0$ , and so  $M = \pm aa^T \pm \widetilde{M_B}$ , where  $\pm a_2a_3 + a \neq 0$ . Case 2.  $M \in \mathcal{MR}(\widetilde{G_A}) + \mathcal{MR}(\widetilde{G_B}) + \mathcal{MR}_0(\mathrm{TStar}_1(G))$ .

The graphs are:



The only matrix in  $\mathcal{MR}(\widetilde{\overline{G_A}})$  is the zero matrix, and any matrix in  $\mathcal{MR}(\widetilde{\overline{G_B}})$  has the form  $\pm cc^T$  with c as in Case 1. Since any matrix  $C \in \mathcal{MR}_0(\mathrm{TStar}_1(G))$  has rank 2, it can be written

 $C = \begin{bmatrix} 0 & a & ka & 0 & 0 \\ a & b & c & d & 0 \\ ka & c & 2kc - k^2b & kd & 0 \\ 0 & d & kd & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{bmatrix}, \quad a, c, d, k \neq 0.$ 

So in this case  $M = C \pm cc^T$  where  $kd \pm c_3c_4 = 0$ .

The case in which  $\mathcal{MR}_0(\mathrm{TStar}_1(G))$  is replaced by  $\mathcal{MR}_0(\mathrm{TStar}_2(G))$  is almost the same.

#### 5. Decompositions of positive semidefinite minimum rank matrices

In this section we establish analogues of Theorems 3.1 and 4.4 for positive semidefinite minimum rank.

We first provide some needed definitions and previous results.

**Definition 5.1.** Given a graph *G*, let  $S_+(G)$  be the subset of  $S^{\mathbb{R}}(G)$  consisting of all positive semidefinite matrices. The *minimum positive semidefinite rank* of *G* is

 $\mathrm{mr}_+(G) = \min_{A \in \mathcal{S}_+(G)} \{\mathrm{rank}\,A\}.$ 

Definition 5.2. Given a graph G, let

 $\mathscr{MR}_+(G) = \{A \in \mathcal{S}_+(G) \mid \operatorname{rank} A = \operatorname{mr}_+(G)\}.$ 

The following is a well known result for positive semidefinite matrices.

**Lemma 5.3** (Column inclusion). If 
$$A = \begin{bmatrix} B & y \\ y^T & c \end{bmatrix}$$
 is positive semidefinite, then  $y \in C(B)$ .

The following three results appear as Proposition 1.4 in [4] and Corollaries 2.5 and 2.9 in [6].

**Lemma 5.4.** Let A, B be real symmetric  $n \times n$  matrices. Then

 $\pi(A+B) \leqslant \pi(A) + \pi(B)$ 

where  $\pi(C)$  denotes the number of positive eigenvalues of C.

**Theorem 5.5.** Let G be the vertex-sum of  $G_1$  and  $G_2$ . Then

 $mr_+(G) = mr_+(G_1) + mr_+(G_2).$ 

**Theorem 5.6.** Let  $G = (G_A, G_B)$  be a 2-separation of a graph G and let  $H_A$  and  $H_B$  be as in Definition 4.1. Then

 $\mathrm{mr}_+(G) = \mathrm{min}\{\mathrm{mr}_+(G_{\mathcal{A}}) + \mathrm{mr}_+(G_{\mathcal{B}}), \mathrm{mr}_+(H_{\mathcal{A}}) + \mathrm{mr}_+(H_{\mathcal{B}})\}.$ 

We now give the analogues of Theorems 3.1 and 4.4 for positive semidefinite minimum rank.

**Theorem 5.7.** If G is the vertex-sum at v of  $G_1$  and  $G_2$ , then

 $\mathscr{MR}_{+}(G) = \mathscr{MR}_{+}(\widetilde{G_{1}}) + \mathscr{MR}_{+}(\widetilde{G_{2}}).$ 

**Proof.** Let *G* be as stated in the theorem with  $M \in \mathcal{MR}_+(G)$  given. Labeling the vertices of *G* appropriately  $M = \begin{bmatrix} a & x_1^T & x_2^T \\ x_1 & C_1 & 0 \\ x_2 & 0 & C_2 \end{bmatrix}$  where the first row and column of *M* correspond to the vertex *v*. Note that

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each  $C_i \in S_+(G_i - v)$  since they are principal submatrices of a positive semidefinite matrix. By Lemma 5.3,  $\begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$  is in the column space of  $\begin{bmatrix} C_1 & 0 \\ 0 & C_2 \end{bmatrix}$ . Thus there exist vectors  $y_1$  and  $y_2$  such that  $x_1 = C_1y_1$  and  $x_2 = C_2y_2$ . Now rewrite the matrix as  $M = \begin{bmatrix} a & y_1^TC_1 & y_2^TC_2 \\ C_1y_1 & C_1 & 0 \\ C_2y_2 & 0 & C_2 \end{bmatrix}$ . Now consider  $\begin{bmatrix} y_1^T & y_2^T \end{bmatrix} \begin{bmatrix} C_1 & 0 \end{bmatrix} \begin{bmatrix} y_1^TC_1y_1 + y_2^TC_2y_2 & y_1^TC_1 & y_2^TC_2 \end{bmatrix}$ .

$$N = \begin{bmatrix} I & 0 \\ 0 & I \end{bmatrix} \begin{bmatrix} C_1 & 0 \\ 0 & C_2 \end{bmatrix} \begin{bmatrix} y_1 & I & 0 \\ y_2 & 0 & I \end{bmatrix} = \begin{bmatrix} C_1 y_1 & C_1 & 0 \\ C_2 y_2 & 0 & C_2 \end{bmatrix}.$$

Since *N* is of the form  $A^T BA$  where *B* is positive semidefinite, *N* is positive semidefinite. Thus  $N \in S_+(G)$ . Furthermore rank  $N = \operatorname{rank} C_1 + \operatorname{rank} C_2$ . Since  $M \in \mathcal{MR}_+(G)$ 

 $\operatorname{rank} C_1 + \operatorname{rank} C_2 \leqslant \operatorname{rank} M \leqslant \operatorname{rank} N = \operatorname{rank} C_1 + \operatorname{rank} C_2.$ 

Therefore rank  $M = \operatorname{rank} C_1 + \operatorname{rank} C_2$ . We note that the first row of M is a linear combination of the other rows of M and using block Gaussian elimination we see that  $a = y_1^T C_1 y_1 + y_2^T C_2 y_2$ ; i.e., M = N. Let

$$\widetilde{M_1} = \begin{bmatrix} y_1^T C_1 y_1 & y_1^T C_1 & 0 \\ C_1 y_1 & C_1 & 0 \\ 0 & 0 & 0 \end{bmatrix} \text{ and } \widetilde{M_2} = \begin{bmatrix} y_2^T C_2 y_2 & 0 & y_2^T C_2 \\ 0 & 0 & 0 \\ C_2 y_2 & 0 & C_2 \end{bmatrix}.$$

Then  $M = \widetilde{M_1} + \widetilde{M_2}$  and rank  $\widetilde{M_i} = \operatorname{rank} C_i$ , i = 1, 2. Furthermore

$$\widetilde{M}_{1} = \begin{bmatrix} y_{1}^{T} & 0 \\ I & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} C_{1} & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} y_{1} & I & 0 \\ 0 & 0 & 0 \end{bmatrix} \text{ and } \widetilde{M}_{2} = \begin{bmatrix} y_{2}^{T} & 0 \\ 0 & 0 \\ I & 0 \end{bmatrix} \begin{bmatrix} C_{2} & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} y_{2} & 0 & I \\ 0 & 0 & 0 \end{bmatrix}.$$

Thus  $\widetilde{M_1}$  and  $\widetilde{M_2}$  are positive semidefinite. By Theorem 5.5

$$mr_{+}(G) = mr_{+}(G_{1}) + mr_{+}(G_{2}) = mr_{+}(\widetilde{G_{1}}) + mr_{+}(\widetilde{G_{2}})$$
$$\leq rank \widetilde{M_{1}} + rank \widetilde{M_{2}} = rank M = mr_{+}(G).$$

Therefore rank  $\widetilde{M}_i = \operatorname{mr}_+(\widetilde{G}_i), i = 1, 2 \text{ and } \widetilde{M}_i \in \mathcal{MR}_+(\widetilde{G}_i), i = 1, 2.$  Thus  $\mathcal{MR}_+(G) \subset \mathcal{MR}_+(\widetilde{G}_1) + \mathcal{MR}_+(\widetilde{G}_2).$ 

Let  $M_1 \in \mathcal{MR}_+(\widetilde{G_1})$  and  $M_2 \in \mathcal{MR}_+(\widetilde{G_2})$ . Let  $M = M_1 + M_2$ . Note that M is positive semidefinite and in  $S_+(G)$ . Then

$$mr_{+}(G) \leq \operatorname{rank} M \leq \operatorname{rank} M_{1} + \operatorname{rank} M_{2}$$
$$= mr_{+}(\widetilde{G_{1}}) + mr_{+}(\widetilde{G_{2}})$$
$$= mr_{+}(G_{1}) + mr_{+}(G_{2})$$
$$= mr_{+}(G)$$

where the last equality follows from Theorem 5.5. Thus rank  $M = mr_+(G)$  so  $M \in \mathcal{MR}_+(G)$  and  $\mathcal{MR}_+(G) \supset \mathcal{MR}_+(\widetilde{G_1}) + \mathcal{MR}_+(\widetilde{G_2})$ .

Therefore  $\mathscr{MR}_+(G) = \mathscr{MR}_+(\widetilde{G_1}) + \mathscr{MR}_+(\widetilde{G_2}).$   $\Box$ 

**Definition 5.8.** Let  $\mathcal{G}_2$  be as in Definition 4.15. Let

 $\mathscr{C}_{1,1+} = \{ G \in \mathcal{G}_2 \mid mr_+(G) = mr_+(G_A) + mr_+(G_B) \}$  $\mathscr{C}_{1,2+} = \{ G \in \mathcal{G}_2 \mid mr_+(G) = mr_+(H_A) + mr_+(H_B) \}$ 

For each  $G \in \mathcal{G}_2$  let

$$J(G) = \{i \in \{1.1, 1.2\} \mid G \in \mathscr{C}_{i+}\}.$$

Now define the following matrix classes for  $G \in \mathcal{G}_2$ ,

$$\mathcal{D}_{1.1+}(G) = \mathscr{M}\mathscr{R}_+(\widetilde{G}_{\mathcal{A}}) + \mathscr{M}\mathscr{R}_+(\widetilde{G}_{\mathcal{B}})$$
  
$$\mathcal{D}_{1.2+}(G) = \mathscr{M}\mathscr{R}_+(\widetilde{H}_{\mathcal{A}}) + \mathscr{M}\mathscr{R}_+(\widetilde{H}_{\mathcal{B}})$$

**Theorem 5.9.** Let  $G \in \mathcal{G}_2$ . Then

$$\mathscr{MR}_+(G) = \left(\bigcup_{i \in J(G)} \mathscr{D}_{i+}(G)\right) \cap \mathscr{S}_+(G).$$

**Proof.** First note that  $J(G) \neq \emptyset$  by Theorem 5.6.

Let  $M \in \mathcal{MR}_+(G)$ . Then  $M \in \mathcal{S}_+(G)$ . Labeling the vertices of G appropriately,

$$M = \begin{bmatrix} A & x_1 & x_2 & 0 \\ x_1^T & a & b & y_1^T \\ x_2^T & b & c & y_2^T \\ 0 & y_1 & y_2 & B \end{bmatrix}.$$

Since  $\begin{bmatrix} A & x_1 \\ x_1^T & a \end{bmatrix}$  and  $\begin{bmatrix} A & x_2 \\ x_2^T & c \end{bmatrix}$  are principal submatrices of a positive semidefinite matrix, they are also

positive semidefinite matrices. By Lemma 5.3,  $x_1, x_2 \in C(A)$ . By a similar argument  $y_1, y_2 \in C(B)$ . Thus

 $\operatorname{rank} A + \operatorname{rank} B = \operatorname{rank} [A x_1 x_2] + \operatorname{rank} [y_1 y_2 B].$ 

Then following the proof of I in Theorem 4.4, in either Case 1 or Case 2 we have

 $M = \widetilde{M_A} + \widetilde{M_B}$  and rank  $M = \operatorname{rank} \widetilde{M_A} + \operatorname{rank} \widetilde{M_B}$ .

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Then

$$\pi(\widetilde{M_{\mathcal{A}}}) + \pi(\widetilde{M_{\mathcal{B}}}) \leqslant \operatorname{rank} \widetilde{M_{\mathcal{A}}} + \operatorname{rank} \widetilde{M_{\mathcal{B}}} = \operatorname{rank} M = \pi(M) \leqslant \pi(\widetilde{M_{\mathcal{A}}}) + \pi(\widetilde{M_{\mathcal{B}}})$$

where the last inequality follows from Lemma 5.4. It follows that we have equality throughout and therefore  $\widetilde{M_A}$  and  $\widetilde{M_B}$  are both positive semidefinite.

Continuing with the proof of I, we see if  $v_1^T B v_2 = 0$  then  $\widetilde{M_A} \in S_+(G_A)$  and  $\widetilde{M_B} \in S_+(G_B)$ . Also by Theorem 5.6

$$\operatorname{mr}_{+}(G_{\mathcal{A}}) + \operatorname{mr}_{+}(G_{\mathcal{B}}) \ge \operatorname{mr}_{+}(G) = \operatorname{rank} M = \operatorname{rank} \widetilde{M_{\mathcal{A}}} + \operatorname{rank} \widetilde{M_{\mathcal{B}}}$$

$$\geq \mathrm{mr}_+(\widetilde{G_{\mathcal{A}}}) + \mathrm{mr}_+(\widetilde{G_{\mathcal{B}}}) = \mathrm{mr}_+(G_{\mathcal{A}}) + \mathrm{mr}_+(G_{\mathcal{B}}).$$

Thus equality holds throughout and

$$\operatorname{mr}_+(G) = \operatorname{mr}_+(G_{\mathcal{A}}) + \operatorname{mr}_+(G_{\mathcal{B}}) \text{ and } \widetilde{M_{\mathcal{A}}} \in \mathscr{MR}_+(\widetilde{G_{\mathcal{A}}}), \ \widetilde{M_{\mathcal{B}}} \in \mathscr{MR}_+(\widetilde{G_{\mathcal{B}}}).$$

If  $v_1^T B v_2 \neq 0$  then a similar argument shows that

$$\operatorname{mr}_+(G) = \operatorname{mr}_+(H_{\mathcal{A}}) + \operatorname{mr}_+(H_{\mathcal{B}}) \text{ and } \widetilde{M_{\mathcal{A}}} \in \mathscr{MR}_+(\widetilde{H_{\mathcal{A}}}), \ \widetilde{M_{\mathcal{B}}} \in \mathscr{MR}_+(\widetilde{H_{\mathcal{B}}}).$$

We have shown that  $\mathcal{MR}_+(G) \subset \mathcal{D}_{1,1+}(G) \cup \mathcal{D}_{1,2+}(G)$ . If  $1.2 \notin J(G)$ ,  $\operatorname{mr}_+(G) < \operatorname{mr}_+(H_A) + \operatorname{mr}_+(H_B)$ . Then necessarily  $v_1^T B v_2 = 0$  and  $M \in \mathcal{D}_{1,1+}(G) \cap \mathcal{S}_+(G) = (\bigcup_{i \in J(G)} \mathcal{D}_{i+}(G)) \cap \mathcal{S}_+(G)$ . If  $1.1 \notin J(G)$ , similarly  $M \in \mathcal{D}_{1,2+}(G) \cap \mathcal{S}_+(G) = (\bigcup_{i \in J(G)} \mathcal{D}_{i+}(G)) \cap \mathcal{S}_+(G)$ . Therefore  $\mathcal{MR}_+(G) \subset (\bigcup_{i \in J(G)} \mathcal{D}_{i+}(G)) \cap \mathcal{S}_+(G)$ .

Let  $M \in (\bigcup_{i \in J(G)} \mathcal{D}_{i+}(G)) \cap \mathcal{S}_{+}(G)$ . Then M is in  $\mathcal{D}_{1,1+}(G) \cap \mathcal{S}_{+}(G)$  or  $\mathcal{D}_{1,2+}(G) \cap \mathcal{S}_{+}(G)$ . Suppose  $1.1 \in J(G)$  and  $M \in \mathcal{D}_{1,1+}(G) \cap \mathcal{S}_{+}(G)$ . Then  $\operatorname{mr}_{+}(G) = \operatorname{mr}_{+}(G_{\mathcal{A}}) + \operatorname{mr}_{+}(G_{\mathcal{B}})$  and there exist  $\widetilde{M_{\mathcal{A}}} \in \mathcal{MR}_{+}(\widetilde{G_{\mathcal{A}}})$  and  $\widetilde{M_{\mathcal{B}}} \in \mathcal{MR}_{+}(\widetilde{G_{\mathcal{B}}})$  such that  $\operatorname{max}_{+}(\widetilde{M_{\mathcal{A}}}) = \widetilde{M_{\mathcal{A}}} + \widetilde{M_{\mathcal{A}}} \subset \mathcal{S}_{+}(G)$ . Then

 $M = \widetilde{M_A} + \widetilde{M_B} \in \mathcal{S}_+(G)$ . Then

$$mr_{+}(G) \leq \operatorname{rank} M \leq \operatorname{rank} \widetilde{M_{\mathcal{A}}} + \operatorname{rank} \widetilde{M_{\mathcal{B}}}$$
$$= mr_{+}(\widetilde{G_{\mathcal{A}}}) + mr_{+}(\widetilde{G_{\mathcal{B}}})$$
$$= mr_{+}(G_{\mathcal{A}}) + mr_{+}(G_{\mathcal{B}}) = mr_{+}(G).$$

Then rank  $M = mr_+(G)$  so  $M \in \mathcal{MR}_+(G)$ .

Suppose 1.2  $\in J(G)$  and  $M \in \mathcal{D}_{1,2+}(G) \cap \mathcal{S}_+(G)$ . Then  $\operatorname{mr}_+(G) = \operatorname{mr}_+(H_A) + \operatorname{mr}_+(H_B)$  and there exist  $\widetilde{M_A} \in \mathcal{MR}_+(\widetilde{H_A})$  and  $\widetilde{M_B} \in \mathcal{MR}_+(\widetilde{H_B})$  such that

 $M = \widetilde{M_A} + \widetilde{M_B} \in \mathcal{S}_+(G)$ . Then

 $mr_{+}(G) \leq \operatorname{rank} M \leq \operatorname{rank} \widetilde{M_{\mathcal{A}}} + \operatorname{rank} \widetilde{M_{\mathcal{B}}}$  $= mr_{+}(\widetilde{H_{\mathcal{A}}}) + mr_{+}(\widetilde{H_{\mathcal{B}}})$ 

$$= \operatorname{mr}_{+}(H_{\mathcal{A}}) + \operatorname{mr}_{+}(H_{\mathcal{B}}) = \operatorname{mr}_{+}(G).$$

Then rank  $M = mr_+(G)$  so  $M \in \mathcal{MR}_+(G)$ .

Thus in both cases,  $M \in \mathscr{MR}_+(G)$  and so  $\mathscr{MR}_+(G) \supset (\bigcup_{i \in J(G)} \mathcal{D}_{i+}(G)) \cap \mathcal{S}_+(G)$ . Therefore  $\mathscr{MR}_+(G) = (\bigcup_{i \in J(G)} \mathcal{D}_{i+}(G)) \cap \mathcal{S}_+(G)$ .  $\Box$ 

#### 6. Conclusion

Our aim in this work was to go beyond the problem of determining the minimum rank of a specified graph *G* to understanding the structure of the class of matrices which attain the minimum rank of *G*.

For graphs with a cut vertex, the structure is given by Theorem 3.1 and Corollary 3.3. For graphs with a 2-separation, it is given by Theorems 4.4 and 4.16. Theorems 5.7 and 5.9 give the positive semidefinite analogues. As a by-product our results have clarified some of the principal results on minimum rank. There are two terms on the right hand side of the formula in Theorem 2.3 for  $mr^F(G)$  because of the two different ways a matrix in  $MR^F(G)$  can decompose according to Theorem 3.1. Theorem 4.4 explains more clearly the reason for the six terms on the right hand side of Theorem 4.4 involving equalities and inequalities on ranks of particular submatrices of a given minimum rank matrix.

More importantly, we expect our results to provide a simpler approach to the inverse eigenvalue problem for  $\mathcal{MR}(G)$  (see [2]) for graphs for which Corollary 3.3 and Theorem 4.16 (or the positive semidefinite analogues) can be applied recursively to obtain a parametric representation of all matrices in  $\mathcal{MR}(G)$  as in Example 4.17. This will be possible not only for trees, but for many graphs with relatively few edges.

For those graphs *G* with a complete characterization of  $\mathcal{MR}(G)$ , one can think of extending these results to other matrices in  $\mathcal{S}(G)$ , for example

 $\{A \in \mathcal{S}(G) \mid \operatorname{rank} A = \operatorname{mr}(G) + 1\}.$ 

Such results would conceivably help in solving the inverse eigenvalue problem for  $\mathcal{S}(G)$ .

Finally, we expect that many of these results will extend directly to inertia classes of graphs, a line of inquiry that some of the co-authors plan to pursue.

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