

## **VITA**

### **ROBERT HINRICHS BATES**

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### **EDUCATION**

B.A., Haverford College, 1964  
Ph.D., Massachusetts Institute of Technology, 1969

### **AWARDS AND FELLOWSHIPS**

Haverford College: Phi Beta Kappa, Summa Cum Laude, Kurzman Prize in Political Science, Founder's Club.

John Simon Guggenheim Memorial Foundation, 1985-86.

John M. Olin, Visiting Research Professor, Graduate School of Business, Stanford University, January-July 1991.

Carnegie Corporation, Carnegie Scholar, 2001-2002.

Riker Prize for Political Science, 2000

Moore Distinguished Scholar, Caltech, 2004.

Russell Sage Foundation, Senior Scholar 2008-2009

Phillips Lecturer, Haverford College, 1992; 50th Anniversary, Distinguished Lecturer, Institute for Social Research, University of Michigan, 1999; Patten Lecture, University of Indiana, 2000; Inaugural Ralph Bunche Lecture, UCLA, 2001.

### **FIELD EXPERIENCE**

1968-69: fifteen months research in the urban communities of the copper belt in Zambia, studying the political party and the mineworker's union  
1971-72: fourteen months research in the rural areas of Zambia, studying the politics and economics of rural development programs in village communities  
1977: four weeks consultancy in the Sudan  
1978: two months research in West and Eastern Africa  
1981: three months in Uganda and Kenya  
1982: six weeks research in Uganda  
1983-84: six months research in Kenya  
1988: four weeks research in Zambia  
1989: eight weeks research in Colombia  
1990: five weeks research in Zambia  
1991: eight weeks research in Colombia  
1992: six weeks research in Colombia

1993: six weeks research in Brazil  
2000; six weeks research in Ghana and Tanzania  
2001: six weeks research in Rwanda and Kenya  
2010-2013: eight weeks research, each summer, in Ghana

## **APPOINTMENTS HELD**

Visiting Fellow: Institute for Development Studies, University of Nairobi, 1983 - 1985; Institute of Development Studies, University of Sussex 1985; Center for Advanced Studies in the Behavioral Sciences, Stanford, 1985-86 and 1993-94; the World Bank, 1998

Assistant Professor of Political Science, California Institute of Technology, 1969-1975; Associate Professor of Political Science, California Institute of Technology, 1975 - 1979; Professor of Political Science, California Institute of Technology, 1979 - 1985

Henry R. Luce Professor of Political Science and Economics, Duke University, 1985-1993; Director, Center in Political Economy, Duke University, 1985-1993

Professor of Government, Harvard University, 1993-- 1995; Eaton Professor of the Science of Government, 1995-2018; Emeritus Research Eaton Professor, 2018 --

Professeur associe, School of Economics, University of Toulouse, 2000 --

Resource Person, African Economic Research Consortium, 1997--

Member, Political Instability Task Force, 2000-2019

## **BOOKS AND EDITED VOLUMES**

Unions, Parties, and Political Development: A Study of Mineworkers in Zambia. New Haven: Yale University Press, 1971.

Rural Responses to Industrialization: A Study of Village Zambia. New Haven: Yale University Press, 1976.

Agricultural Development in Africa: Issues of Public Policy, co-editor Michael F. Lofchie. New York: Praeger Publications, Special Studies on International Development, 1980.

Markets and States in Tropical Africa: The Political Basis of Agricultural Policy. Berkeley: University of California Press, Series on Social Choice and Political Economy, 1981. Paperback edition, 1984. 2<sup>nd</sup> Edition, 2005. Chinese addition, 2001.

Essays on the Political Economy of Rural Africa. Cambridge: Cambridge University Press, 1983. Paperback edition, Berkeley: University of California Press, Series on Social Choice and Political Economy, 1987. Reprinted, Cambridge University Press, 2008. Third Edition, with new preface and concluding chapter, Cambridge University Press, 2014.

Toward A Political Economy of Development: A Rational Choice Perspective, editor and co-author. Berkeley: University of California Press, Series in Social Choice and Political Economy, 1988.

Special issue of Politics and Society, edited with Margaret Levi, vol 16, nos. 2-3 (June-September 1988).

Beyond the Miracle of the Market: The Political Economy of Agrarian Development in Kenya. Cambridge: Cambridge University Press, 1989. Paperback edition, 1992. 2<sup>nd</sup> Edition, 2005. Chinese edition 2008.

Political and Economic Interactions in Economic Policy Reform. co-authored and co-edited with Anne O. Krueger. Oxford: Basil Blackwell, 1993.

Africa and the Disciplines: The Contribution of African Studies to the Humanities and Social Sciences, editor with Jean O'Barr and V. S. Mudimbe. Chicago: University of Chicago Press, 1993.

Open Economy Politics: The Politics and Economics of the International Coffee Market. Princeton University Press, 1997. One of *Choice's* Outstanding Academic Books of 1997. Spanish edition 2006.

Analytic Narratives, with Avner Greif, Margaret Levi, Jean-Laurent Rosenthal, and Barry Weingast. Princeton: Princeton University Press, 1999. Chinese edition, 2008.

Prosperity and Violence, W.W. Norton, 2001. Spanish edition, 2004, 2<sup>nd</sup> edition 2008.

Co-author with Benno Ndulu et al., The Political Economy of Economic Growth in Africa, 1960-2000: An Analytic Survey (Cambridge: Cambridge University Press, 2007)

Co-editor with Benno Ndulu et al., The Political Economy of Economic Growth in Africa, 1960-2000: Case Studies (Cambridge: Cambridge University Press, 2007)

When Things Fell Apart: State Failure in Late-Century Africa (Cambridge: Cambridge University Press, 2008). Chinese edition in press.

Co-editor and co-author with Emmanuel Akyeampong, Nathan Nunn, and James Robinson, Africa's Development in Historical Perspective 4 vols. (New York: Cambridge University Press, 2014).

The Development Dilemma: Security, Prosperity, and a Return to History. Princeton: Princeton University Press, 2017.

## **OTHER PUBLICATIONS**

### 1967-1969:

"The Angola Rebellion" and "The Algerian-Morocco Conflict." Chapters in The Control of Conflict: A Design Study on Arms Control and Limited War in the Developing Areas, edited by Amelia C. Leiss and Lincoln P. Bloomfield. Cambridge: Center for International Studies, MIT, 1967.

"Voluntary Associations, Social Change and Politics." Monograph published by the Center for International Studies, MIT, 1969.

### 1970-1975

"A Simulation Study of International Conflict in Southern Africa." African Studies Review (September 1970).

"Approaches to the Study of Ethnicity." Cahiers d'Etudes Africaines 10, 40 (1970).

"Approaches to the Study of Labor and Development." Industrial Relations: A Journal of Economy and Society (October 1970).

"Input Functions, Out Functions, Systems Capacity -- A Study of the Mineworkers Union of Zambia." Journal of Politics (November 1970).

"Trade Union Membership on the Copperbelt of Zambia: A Test of Some Hypotheses." Economic Development and Cultural Change (January 1972).

"Ethnicity in Contemporary Africa." Monograph published by the Program of Eastern African Studies, Syracuse University, 1973. Revised version published as "Ethnic Competition and Modernization in Contemporary Africa." Comparative Political Studies (January 1974). Subsequently republished as "Modernization, Ethnic Competition, and the Rationality of Politics in Africa" in Ethnic Conflict in Africa, edited by Donald Rothchild and Victor Olorunsola. Boulder, CO: Westview Press, 1982. Again reprinted in Governing Black Africa, edited by Marion E. Doro and Newell M. Schultz. New York and London: Africana Publishing Company, 1986.

"Determinants of the Rural Exodus in Zambia: A Study of Inter-Censal Migration, 1963-1969." Cahiers d'Etudes Africaines (December 1974).

"Patterns of Uneven Development in Zambia: Causes and Consequences." Monograph published by the Graduate School of International Studies, University of Denver, 1974.

"Rural Development in Kasumpa Village." Journal of African Studies (Fall 1975).

#### 1976-1979:

"Politicians in Uniform, A Comment," co-author Barry Ames. American Political Science Review (September 1977).

"The Issue Basis of Rural Politics in Africa." Comparative Politics (April 1978). Republished in Benedicte Bull (University of Oslo, Norway) and Morten Bøås (Institute for Applied International Studies, Norway) 2009. International Development (SAGE Publications Ltd.)

"People in Villages: Micro-level Studies in Political Economy." World Politics (October 1978).

"The Preservation of Order in Stateless Societies: A Reinterpretation of Evans-Pritchard's, The Nuer." Accepted by Southwestern Journal of Anthropology but withdrawn. Frontiers of Economics (1979).

"The Roots of Rural Poverty." African Economic History 8 (Fall 1979).

"The Commercialization of Agriculture and the Rise of Rural Political Protest in Black Africa." In Food, Politics and Agricultural Development, edited by Raymond Hopkins, Donald Puchala and Ross Talbot. Boulder, CO: Westview Press, 1979.

#### 1980-1985:

"Pressure Groups, Public Policy, and Agricultural Development, A Study of Divergent Outcomes." In Agricultural Development in Africa: Issues of Public Policy, edited by Robert H. Bates and Michael F. Lofchie. New York: Praeger Publications, 1980.

"Agriculture in Development: A Coalitional Analysis," co-author William P. Public Choice 35 (December): 1980:513-27.

"Black Mineworkers in Central Africa." African Economic History 9 (1980).

"States and Market Intervention: A Case Study from Africa." Presented as the NSF Conference on Economic and Political Development. Social Science Working Paper No. 345, California Institute of Technology, September 1980.

- "Food Policy in Africa: Political Origins and Social Consequences." Food Policy (August 1981). Reprinted as "Nahrungsmittelpolitik" in Internationale Entwicklung, 1981.
- "Public Choice Processes." In Public Choice and Rural Development, edited by Clifford Russell. Washington, D.C.: Resources for the Future, 1981.
- "The Centralization of African Tribal Societies." Social Science Working Paper No. 400, California Institute of Technology, September 1981.
- "Some Conventional Orthodoxies in the Study of Agrarian Change," Social Science Working Paper No. 458, California Institute of Technology, December 1982. Heavily edited version in World Politics 36 (2) (January 1984), 234-54. Reprinted in The State and Development in the Third World, edited by Atul Kohli. Princeton: Princeton University Press, 1986.
- "A Note on Patterns of Market Intervention in Agrarian Africa," Food Policy (November 1983). Reprinted in World Food Marketing Systems, edited by Erdener Kaynak. London: Butterworth Scientific Publishers, 1986.
- "Some Core Assumptions in Development Economics." In Economic Anthropology: Topics and Theories, Monographs in Economic Anthropology, No. 1, edited by Sutti Ortiz. New York: University Press of America, 1983.
- "Models of Policy Formation." In The Role of Markets in the World Food Economy, edited by D. Gale Johnson and G. Edward Schuh. Boulder, Colorado: Westview Press, 1983. Reprinted in Development Studies: A Reader, ed. Stuart Corbridge (London: Edward Arnold, 1995).
- "The Hungry Continent." Washington Post (24 February 1984).
- "On the Operations of the International Coffee Agreement," with Da Hsiang Donald Lien. International Organizations 39, 5 (Summer 1985).
- "A Note on Taxation, Development, and Representative Government," with Da Hsiang Donald Lien, Politics and Society 14, 1 (1985): 53-70
- 1986 - 1989:
- "The Political Framework for Pricing Decisions." In Food Policy: Frameworks for Analysis and Action, edited by Charles Mann and Barbara Huddleston. Bloomington: Indiana University Press, 1986. Reprinted in John Staatz and Carl K. Eicher, Agricultural Development in The Third World Baltimore: Johns Hopkins University Press, 1990; 2nd ed., 1994, 3rd ed. 1997 .
- "The Politics of Agricultural Policy -- A Reply," IDS Bulletin 17, 1 (January 1986).
- "The Regulation of Rural Markets in Africa." In Africa's Agrarian Crisis, edited by Stephen K. Commins, Michael F. Lofchie, and Rhys Payne. Boulder, Colorado: Lynne Reiner, Publishers, 1986.
- "Agrarian Politics," in Understanding Political Development, edited by Myron Weiner and Samuel P. Huntington. Boston: Little, Brown, 1987.
- "The Agrarian Origins of Mau Mau: A Structural Account," Agricultural History 61 (Winter 1987).
- "Political Power in the International Coffee Organization," with Da Hsiang Donald Lien, Economic Development and Cultural Change 35 (April 1987).

"The Politics of Agricultural Pricing in Sub-Saharan Africa," in The African State in Transition, edited by Zaki Ergas. New York: Macmillan Press, 1987. Originally published as "The Regulation of Rural Markets in Africa," USAID Evaluation Special Study No. 14, June 1983. Republished as "Staatsinterventionismus und Verfall der Landwirtschaftlichen Produktivität in Schwarzafrika: Eine Untersuchung am Beispiel der Preisbildungspolitik," Stiftung für Wissenschaft und Politik, Forschungstitut für Internationale Politik und Sicherheit, SWP, 1987.

"The Politics of Drought in Kenya," in The Political Economy of Kenya, edited by Michael Schatzberg. New York: Praeger, 1987.

"Shelter from Domestic Competition," in Industrial Adjustment in Sub-Saharan Africa, edited by William Steel and Gerald Meier. Washington, D.C.: The World Bank, 1988.

"From Drought to Famine in Kenya," in Satisfying Africa's Food Needs, edited by Ronald Cohen. Boulder, Colorado: Lynne Reiner, 1988.

"Disaggregating the Political," States and Social Structures Newsletter, No. 6 (Winter, 1988).

"Lessons from History," World Politics Vol. 40 No. 4 (July 1988).

"The Informal Sector," in Beyond the Informal Sector, ed. Jerry Jenkins San Francisco: ICS Press, 1988.

"Anthropology and Development: A Note on the Structure of the Field," in Production and Autonomy, edited by John W. Bennett and John Bowen New York: University Press of America, 1988.

"The Political Basis for Agricultural Policy Reform," in Africa's Development Challenges and the World Bank: Hard Questions, Costly Choices, edited by Stephen K. Commins. Boulder, Colorado: Lynne Reiner Publishers, 1988.

"Contra Contractarianism: Some Reflections on the New Institutionalism," Politics and Society 16, 2-3 (June-September 1988).

"A Political Scientist Looks at Tax Reform," in Tax Reform in Developing Countries, Malcolm Gillis, ed. Durham, NC: Duke University Press, 1989.

"The Reality of Structural Adjustment: A Skeptical Appraisal," in Structural Adjustment and Agriculture, edited by Simon Commander. London: Overseas Development Institute in collaboration with James Curry, 1989.

"The End of Liberalism?" National Review, December 31, 1989.

"On de Janvry and Sadoulet," in Allen Maunier and Alberto Valdés, eds, Agriculture and Governments in an Interdependent World. Brookfield, Vermont: Gower Publishing, 1989.

#### 1990 -- 1995

"Cooperation by Design: Leadership, Structure, and Collective Dilemmas," with William T. Bianco, American Political Science Review 84 (March 1990).

"Capital, Kinship, and Conflict: The Structuring Influence of Capital in Kinship Societies," Canadian Journal of African Studies 24 (Winter 1990).

"Macropolitical Economy in the Field of Development," in Perspectives on Positive Political Economy, edited by Kenneth Shepsle and James Alt. Cambridge: Cambridge University Press, 1990.

- "Socio-economic Basis of Democratization in Africa: Some Reflections," in African Governance in the 1990s, Working Papers from the Second Annual Seminar of the African Governance Program, ed. Richard Joseph. Atlanta, Georgia: The Carter Center of Emory University, 1990.
- "The New Organizational Theory," with William Bianco in The Limits of Rationality, edited by Karen Schweers Cook and Margaret Levi. Chicago: University of Chicago Press, 1990.
- "Agricultural Policy and the Study of Politics in Post-Independence Africa," in Africa 30 Years On, ed. Douglas Rimmer (London: James Currey with The Royal African Society, 1991).
- "Risk and Trade Regimes: Another Exploration," with Philip Brock and Jill Tiefenthaler, International Organization 45, 1 (Winter 1991).
- "The Economics of the Transition to Democracy." PS 24, 1 (March 1991). Reprinted in Taller Sobre Democratization, Santiago de Chile, 29 July - 24 August 1991, compiled by Terry L. Karl, Phillipe C. Schmitter, Manuel Antonio Garretón, and Oscar Goody and in Democracy and Political Transformation, ed. by Gyorgy Szobosklai (Budapest: Hungarian Political Science Association, 1991).
- "Political Economy Within Nations," with William Keech and Peter Lange in Political Science: Looking to the Future, vol IV, ed. William Crotty. Evanston, Illinois: Northwestern University Press, 1991.
- "A Critique by Political Scientists," in Politics and Policy Making in Developing Countries, edited by Gerald M. Meier (San Francisco: International Center for Economic Growth, 1991).
- "Community versus Market: A Note on Corporate Villages," with Amy Farmer Curry, American Political Science Review, 86, 2 (June 1992):457-463.
- "Ambition and Constraint: The Stabilizing Role of Institutions," with David Soskice and David Epstein, Journal of Law, Economics and Organization vol. 8 no. 3 (1992): 547-60.
- "Urban Bias: A Fresh Look," The Journal of Development Studies vol. 29, no. 4 (July 1993). Reprinted in Beyond Urban Bias, ed. Ashutosh Varshney (London: Frank Cass, 1993).
- "A Reply," World Development 21, 6 (1993). This piece responds to a series of articles contained in a special section of this journal devoted to reviewing and critiquing my work.
- "The Politics of Economic Policy Reform: A Review Essay," in The Journal of African Economies vol. 2 no. 3 (Winter 1993).
- "Social Dilemmas and Rational Individuals: An Essay on the New Institutionalism," in The New Institutionalism and Modern Anthropology, ed. James Acheson (Boston: University Press of America, 1994).
- "The Impulse to Reform in Africa," in Jennifer Widner, ed., Economic Change and Political Liberalization in Africa (Baltimore, Md.: Johns Hopkins University Press, 1994).
- "The Politics Behind the Technocrats," in John Williamson, ed., The Role of Technocrats in Economic Policy Reform (Washington, D.C.: Institute for International Economics, 1994).
- "Comment on McNollgast: Legislative Intent," Law and Contemporary Problems 57, 1-2 (1994).
- "Algunas reflexiones sobre la economía política del petróleo," Planeación & Desarrollo 25 (Mayo de 1994):197-203.

"La Economía Política del Café Colombiano en los Años Treinta," Ensayos Sobre Economía Cafetera 7, 10 (Enero-Diciembre de 1994): 9-28

"The Politics and Economics of Policy Reform in Zambia," with Paul Collier, The Journal of African Economies 4, 1 (1995).

"A New Comparative Politics: Integrating Rational Choice and Interpretivist Perspectives," with Barry R. Weingast, Center for International Studies, Working Paper Series, Paper No. 95-3, 1995. Republished as Working Paper No. 1, Trust Project, Russell Sage Foundation.

"Political Change in Contemporary Africa." Harvard Institute for International Development, Development Discussion Paper, 1995.

"Institutions and Development," in The New Institutional Economics and Third World Development, ed. John Harriss, Janet Hunter and Colin M. Lewis (London: Routledge, 1995).

#### 1996 -- 2000

"Intertemporal Institutions," with Kenneth Shepsle, in The Frontiers of the New Institutional Economics, ed. John Drobak and John Nye (New York: Academic Press, 1997).

Community versus Market: A Comparative Investigation," with Amy Farmer, Comparative Political Studies 29, 4 (August 1996): 379-399.

"Toward the Systematic Study of Transitions," with Karen Ferree, A. J. Robinson, Smita Singh, and Anne Wren, Harvard Institute for International Development, Development Discussion Paper, 1996.

"Political Institutions and Economic Reform in Africa," with Karen Ferree and Smita Singh, Harvard Institute for International Development, Development Discussion Paper, 1997.

"Area Studies and Political Science: Rupture and Possible Synthesis," Africa Today 44, 2 (1997), Special Issue on "The Future of Regional Studies".

"Area Studies and the Discipline: A Useful Controversy?," PS (June 1997). Reprinted in Changing Perspectives on International Education, ed. Patrick O'Meara, Howard Mehlinger, and Roxana Ma Newman (Bloomington and Indianapolis: Indiana University Press, 2001). Reprinted in Comparative Politics: Notes and Readings, ed. Bernard Brown (New York: Harcourt, Brace, 2005).

"Comparative Politics and Rational Choice," American Political Science Review (October 1997).

"Institutions as Investments," in The Political Dimensions of Economic Growth, ed. Silvio Borner and Martin Paldham (MacMillan, 1998). Republished in: External Finance for Low-Income Countries, ed. Zubair Iqbal and Ravi Kanbur (Washington D.C.: IMF, 1997); as "Instituciones como Inversiones," in La Dimensión Política del Crecimiento Económico (Heredia, Costa Rica: Editorial Fundación UNA, 1997); and in Journal of African Economies 6, 3 (1997).

"Instituciones y Desarrollo," in Café, Instituciones, y Desarrollo Económico, ed Diego Pizano-Salazar y José Chalarca (Bogotá: Federación Nacional de Cafeteros de Colombia, 1997).

"The Future of Comparative Politics," The Chinese Journal of Political Science 4, 2: (1998)

"The Economic Bases of Democratization in Africa," in State, Conflict and Democracy in Africa, ed. Richard Joseph (Boulder: Lynne Rienner, 1998).



- “The Politics of Interpretation: Rationality, and Culture,” with Barry Weingast and Rui de Figueiredo, Politics and Society 26, 4 (December 1998): 603-642.
- “Un Enfoque de economía política ‘macro’ para el estudio del desarrollo,” en La Nueva Economía Política, ed. Sbastián Saiegh y Mariano Tommasi (Buenos Aires, Eudeba, 1998).
- “Comment on ‘Structure and Strategy in Ethnic Conflict,” Annual World Bank Conference on Development Economics, 1998 ed. Boris Pleskovic and Joseph Stigler (Washington DC: The World Bank, 1999)
- "Implications for Theory," in Risk and Investment in Africa, ed. Paul Collier and Katherine Potillo (Oxford: Oxford University Press, 2000).
- “Ethnicity and Development in Africa: A Reappraisal,” The American Economic Review 90, 2 (May 2000) 131-34.
- “The Analytic Narrative Project,” with Avner Greif, Margaret Levi, Jean-Laurent Rosenthal, and Barry R. Weingast. The American Political Science Review 94, 3 (September 2000): 696-702.
- “Analytic Narratives Revisited,” with Avner Greif, Margaret Levi, Jean-Laurent Rosenthal, and Barry R. Weingast, Social Science History 24 4 (Winter 2000): 685-96.
- 2001 - 2005
- “Institutions and Development,” in Report of the Proceedings, The First ICO World Coffee Conference (London: International Coffee Organization, 2001)
- “Institutions and Economic Performance,” IMF September 22 199. Reprinted in The Institutional Foundations of a Market Economy, ed. Gudrun Kochendorfer and Boris Pleskovic (Berlin: Deutsche Stiftung for Internationale Entwicklung, 2001).
- “Political Competition in Weak States,” with Eliana La Ferrara, Economics and Politics, 13, 2 (2001). 159-184
- “Ethnicity in Africa,” with Irene Yackolev. In Christiaan Grootaert and Thierry van Bastelaer, eds. The Role of Social Capital in Development: An Empirical Assessment Cambridge University Press, 2002.
- With Jack A. Goldstone, Ted Robert Gurr, Barbara Harff, Marc A. Levy, Monty G. Marshall, David L. Epstein, Colin H. Kahl, Mark R. Woodward, Pamela T. Surko, John C. Ulfelder, Jr., & Alan N. Unger. State Failure Task Force Report: Phase III. McLean, VA: Science Applications International Corp., 30 September 2002.
- “Organizing Violence,” with Avner Greif and Smita Singh, Journal of Conflict Resolution (October 2002):599-608
- Robert Bates, Avner Greif and Smita Singh, “Tribal Societies.” In Morris Irwin, Joe Oppenheimer, and Karol Edward Soltan, ed., Politics from Anarchy to Democracy: Rational Choice in Political Science (Stanford CA: Stanford University Press, 2004).
- “On *The Politics of Property Rights*,” Journal of Economic Literature, 42 (June 2004): 494-500.
- “Rental Havens and Productive Shelters,” in Readings in African Politics, ed. Tom Young (London: James Curry, 2004).

- “Preface,” in Markets and States in Tropical Africa, 2<sup>nd</sup> Ed. (Berkeley and Los Angeles: University of California Press, 2005).
- “Preface to New Edition,” in Beyond the Miracle of the Market, 2<sup>nd</sup> Ed. (New York: Cambridge University Press, 2005).
- “Globalization: Less than Meets the Ear?” Development Dilemmas: The Method And Political Ethics Of Growth Policy ed. Melvin Ayogu and Donald Ross. (New York, London: Routledge, 2005).
- "Political Reform," CID Working Paper No. 114 (January 2005).
- "Political Insecurity and State Failure in Contemporary Africa," CID Working Paper No. 115 (January 2005).
- With Macartan Humphreys, “Political Institutions and Economic Policies: Lessons from Africa,” British Journal of Political Science 35(2005): 403-428
- 2006--2010
- With Augustin Fosu and Anke Hoeffler. 2006 “Governance and Economic Development in Africa: An Overview,” Journal of African Economies 15, 1 (2006): 1-9
- “Institutions and Development” Journal of African Economies. 2006. 15 (1): 10-61.
- With David L. Epstein, Jack Goldstone, Ida Kristensen, and Sharyn O’Halloran. “Democratic Transitions,” American Journal of Political Science vol 50, No. 3 (July 2006): 551-569
- With Paul Collier, David Hale, Jeffrey Herbst et al. African Security, Commodities, and Development: Whitehall Report 4-06 (London: Royal United Services Institute, Whitehall, 2006)
- “Making Aid Work: A Comment,” in Boston Review (September 7 2006). Republished in Making Aid Work, ed. Abhijit Bannerjee (Cambridge MA: MIT Press, 2007)
- “Ethnicity,” in Elgar Companion to Development Studies, ed. David Clark (Cheltenham UK: Edward Elgar, 2006).
- “The State and Development,” Oxford Handbook of Political Economy, ed. Barry Weingast and Donald Wittman (Oxford: Oxford University Press, 2006)
- With Jack A. Goldstone, Ted Robert Gurr, Barbara Harff, Marc A. Levy, Monty G. Marshall, David L. Epstein, Colin H. Kahl, Mark R. Woodward, Pamela T. Surko, John C. Ulfelder, Jr., & Alan N. Unger. State Failure Task Force Report: Phase IV. McLean, VA: Science Applications International Corp., 2006.
- “Institutions and Development,” Journal of African Economies 15, 1 (2006): 10-61.
- “Research Methods in Comparative Politics” in Oxford Handbook of Comparative Politics, eds. Carlos Boix and Susan Stokes. (New York: Oxford University Press, 2007).
- “Colapso estatal y violencia” in Fernando Lopez Castellano, ed. Desarrollo: Cronica de un desafio permanente (Grenada: University of Grenada Press, 2007).
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- “Political Conflict and State Failure,” in Benno Ndulu et al., The Political Economy of Economic Growth in Africa, 1960-2000: An Analytic Survey (Cambridge: Cambridge University Press, 2007)
- “Endogenizing Syndromes,” in Benno Ndulu et al., The Political Economy of Economic Growth in Africa, 1960-2000: An Analytic Survey (Cambridge: Cambridge University Press, 2007)
- “Lost Decades: Lessons from Post-independence Latin America for Post-independence Africa,” with John Coatsworth and Jeffrey Williamson, The Journal of Economic History 67, 4 (December 2007): 917-43
- “State Failure,” Annual Review of Political Science 11 (2008):1-12.
- “The Structures Remain Un-altered,” Boston Review 33,3 (May/June 2008), 13ab.
- “Probing the Sources of Political Order.” In Stathis Kalyvas, Ian Shapiro and Tarek Masoud, eds. Political Violence (Cambridge: Cambridge University Press, 2008)
- “The Logic of State Failure: Learning from Late-Century Africa.” Conflict Management and Peace Science, 25, 4 (2008): 297-314. Reprinted in Dealing with Failed States, ed. Harvey Starr. (New York: Routledge, 2009).
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- With Steven Block. “Political Institutions and Agricultural Trade in Africa.” American Journal of Agricultural Economics 92, 5 (2010) : 1-7.
- “Violence and Social Orders: A Conceptual Framework for Interpreting Recorded Human History” Review article in Journal of Economic Literature 48, 3 (September 2010): 752–756
- “The Democratic Wave in Africa,” Social Research 77, 4 (Winter 2010).
- With Steven Block, “Agricultural Distortions” (May 2009), The World Bank; Working Paper 87. Republished in Kym Anderson, ed. The Political Economy of Agricultural Development (New York: Cambridge University Press, 2010).
- “Democracy in Africa: A Very Short History.” Social Research 77, no. 4 (Winter 2010): 1133-1148.
- 2011—2017
- “Political Development.” (2011) International Encyclopedia of Political Science, ed. Bertrand Badie, Dirk Berg-Schlosser, Leonardo A. Morlino. Los Angeles: Sage Publishers.
- With Brett Carter, “Public Policy, Price Shocks, and Conflict: Price Shocks and Civil War in Developing Countries,” Working paper, 2011.

- “Political Economy of Africa’s Economic Performance in the Post-Independence Period,” The Oxford Companion to the Economics of Africa ed. Ernest Aryeetey, Ravi Kanbur, Louis Kasekende, Shanta Devarajan (New York: Oxford University Press, 2012)
- “Mas alla de las urnas, (2012) Financia & Desarrollo, pp. 26-29.
- Anke Hoeffler, Ghada Fayad, "The State of Democracy in Sub-Saharan Africa", International Area Studies Review, 15(4)(2012): 323-258.
- Ghada Fayad, Robert H. Bates, and Anke Hoeffler (2012) “Income and Democracy: Lipset’s Law Revisited” Working Paper IMF, Washington DC.
- With Maria Petrova, “Evolution of Risk and Political Regimes,” Economics & Politics 24, no. 2 (June 2012): 200-225. Earlier published as Center for Economic and Financial Research (CEFIR) Working Paper Number 0137.
- “Ethnicity” (2013) Elgar Companion to Civil War and Fragile States, ed. Graham K. Brown and Arnim Langer (Cheltenham UK: Edward Elgar).
- “The New Institutionalism.” In Institutions, Economic Growth, and Property Rights: The Legacy of Douglass North, edited by Sebastian Galiani and Itai Sened, 50-65 (Cambridge: Cambridge University Press, 2014).
- “Political Development,” The Oxford Companion to Comparative Politics ed. Joel Krieger (New York: Oxford University Press, 2012)
- With Steven Block, “Revisiting African Agriculture: Institutional Change and Productivity Growth,” Journal of Politics 75.2 (April 2013)
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- With Anke Hoeffler, Ghada Fayad “Income and Democracy: Lipset’s Law Inverted,” The Journal of Applied Economics, revise and resubmit.
- With Anke Hoeffler, Ghada Fayad, and Stephen Block, “The New Institutionalism and Africa,” Journal of African Economies, 22 (4) 2013 (July 30): 499-522
- “The Imperial Peace,” in Emmanuel Akyeampong, Robert Bates, Nathan Nunn and James Robinson, editors and co-authors, Africa's Development in Historical Perspective, 424-446 (New York: Cambridge University Press, 2014).
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